

DEPARTMENT OF THE NAVY  
NAVAL FACILITIES ENGINEERING COMMAND, MID-ATLANTIC  
MARINE CORPS AIR STATION, CHERRY POINT, NORTH CAROLINA

REPAIR FOUR ELEVATORS, BUILDING 4881  
AT THE  
MARINE CORPS AIR STATION  
CHERRY POINT, NORTH CAROLINA

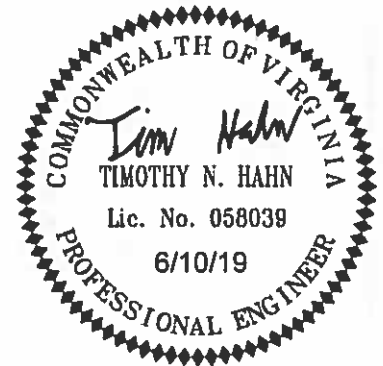
PROJECT: 6863656  
WO #6863656

DESIGNED BY:

PACE COLLABORATIVE, P.C.  
VIRGINIA BEACH, VIRGINIA

SPECIFICATION PREPARED BY:

NICK HALL, P.E.  
TIM HAHN, P.E.  
MIKE LINDALE



SPECIFICATION APPROVED BY:

Design Director:   
PATRICK FAULKNER, PE.

Date: 6/20/19

**PROJECT TABLE OF CONTENTS**

**DIVISION 00 - PROCUREMENT AND CONTRACTING REQUIREMENTS**

00 01 15 LIST OF DRAWINGS

**DIVISION 01 - GENERAL REQUIREMENTS**

01 11 00 SUMMARY OF WORK  
01 14 00 WORK RESTRICTIONS  
01 20 00.00 20 PRICE AND PAYMENT PROCEDURES  
01 30 00 ADMINISTRATIVE REQUIREMENTS  
01 31 23.13 20 ELECTRONIC CONSTRUCTION AND FACILITY SUPPORT CONTRACT  
MANAGEMENT SYSTEM  
01 33 00 SUBMITTAL PROCEDURES  
01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS  
01 42 00 SOURCES FOR REFERENCE PUBLICATIONS  
01 45 00.00 20 QUALITY CONTROL  
01 50 00 TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS  
01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS  
01 78 00 CLOSEOUT SUBMITTALS  
01 78 23 OPERATION AND MAINTENANCE DATA

**DIVISION 07 - THERMAL AND MOISTURE PROTECTION**

07 84 00 FIRESTOPPING

**DIVISION 09 - FINISHES**

09 90 00 PAINTS AND COATINGS

**DIVISION 14 - CONVEYING EQUIPMENT**

14 24 23 HYDRAULIC PASSENGER ELEVATORS

**DIVISION 23 - HEATING, VENTILATING, AND AIR CONDITIONING (HVAC)**

23 00 00 AIR SUPPLY, DISTRIBUTION, VENTILATION, AND EXHAUST SYSTEMS  
23 03 00.00 20 BASIC MECHANICAL MATERIALS AND METHODS  
23 05 92 TESTING/ADJUSTING/BALANCING: SMALL  
HEATING/VENTILATING/COOLING SYSTEMS  
23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS  
23 23 00 REFRIGERANT PIPING  
23 81 00 DECENTRALIZED UNITARY HVAC EQUIPMENT

**DIVISION 26 - ELECTRICAL**

26 00 00.00 20 BASIC ELECTRICAL MATERIALS AND METHODS  
26 20 00 INTERIOR DISTRIBUTION SYSTEM

**DIVISION 28 - ELECTRONIC SAFETY AND SECURITY**

28 31 76.00 22 INTERIOR COMBINATION EMERGENCY COMMUNICATIONS SYSTEMS

-- End of Project Table of Contents --

DOCUMENT 00 01 15

LIST OF DRAWINGS  
**02/11**

PART 1 GENERAL

1.1 SUMMARY

This section lists the drawings for the project pursuant to contract clause "DFARS 252.236-7001, Contract Drawings, Maps and Specifications."

1.2 CONTRACT DRAWINGS

Contract drawings are as follows:

DRAWING NO.	NAVFAC DWG NO.	TITLE
G-001	12782873	TITLE SHEET
G-101	12782874	OVERALL FIRST FLOOR PLAN
G-301	12782875	ELEVATOR SHAFT SECTIONS
G-401	12782876	ENLARGED ELEVATOR AND MACHINE ROOM PLAN
G-501	12782877	ELEVATOR DETAILS
M-001	12782878	GENERAL NOTES, LEGEND, ABBREVIATIONS, SCHEDULES, AND CONTROLS
M-101	12782879	MECHANICAL PARTIAL FLOOR PLAN
M-501	12782880	DETAILS
E-101	12782881	GENERAL NOTES, LEGEND AND ABBREVIATIONS
E-102	12782882	PARTIAL FLOOR PLAN
E-301	12782883	ELEVATOR SHAFT SECTIONS
E-501	12782884	FIXTURE PLATES AND DETAILS
FA001	12782885	GENERAL NOTES, LEGEND AND ABBREVIATIONS
FA101	12782886	PARTIAL FLOOR PLAN

REPAIR FOUR ELEVATORS, BUILDING 4881  
N40085-15-D4709

DRAWING NO.	NAVFAC DWG NO.	TITLE
FA601	12782887	RISER DIAGRAMS AND MATRIX

-- End of Document --

SECTION 01 11 00

SUMMARY OF WORK  
08/15

PART 1 GENERAL

1.1 WORK COVERED BY CONTRACT DOCUMENTS

1.1.1 Project Description

The work includes repairs and adjustments to four (4) elevator cars, replacement of HVAC in Elevator Rooms 113 and 118, modification to electrical utilities, and incidental related work.

1.1.2 Location

The work is located at the Building 4881, MCAS Cherry Point, approximately as indicated. The exact location will be shown by the Contracting Officer.

1.2 OCCUPANCY OF PREMISES

Building(s) will be occupied during performance of work under this Contract. Occupancy notifications will be posted in a prominent location in the work area.

Before work is started, arrange with the Contracting Officer a sequence of procedure, means of access, space for storage of materials and equipment, and use of approaches, corridors, and stairways.

1.3 EXISTING WORK

In addition to "FAR 52.236-9, Protection of Existing Vegetation, Structures, Equipment, Utilities, and Improvements":

- a. Remove or alter existing work in such a manner as to prevent injury or damage to any portions of the existing work which remain.
- b. Repair or replace portions of existing work which have been altered during construction operations to match existing or adjoining work, as approved by the Contracting Officer. At the completion of operations, existing work must be in a condition equal to or better than that which existed before new work started.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 14 00

WORK RESTRICTIONS

11/11

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

List of Contact Personnel

1.2 SPECIAL SCHEDULING REQUIREMENTS

- a. Have materials, equipment, and personnel required to perform the work at the site prior to the commencement of the work.
- b. Building 4881 will remain in operation during the entire construction period. The Contractor must conduct his operations so as to cause the least possible interference with normal operations of the activity. Contractor shall repair 1 South elevator, then 1 North elevator, and continue to alternate until all elevators are fully functioning.
- c. Permission to interrupt any Activity roads, railroads, or utility service must be requested in writing a minimum of 15 calendar days prior to the desired date of interruption.

1.3 CONTRACTOR ACCESS AND USE OF PREMISES

DBIDS has gone live at MCAS Cherry Point. In order to reduce wait time when exchanging your RapidGate credential, it is highly recommended each applicant pre-register at <https://dbids-global.dmdc.mil/enroll#!/>

Non-DoD cardholding visitors to Marine Corps Installations with a driver's license or ID issued by a state that is not compliant with the Real ID Act of 2005 will now need to provide an alternate form of acceptable identification to gain entry, or be escorted by an authorized patron of the air station.

North Carolina now issues REAL ID compliant drivers licenses, but many drivers have yet to be issued the new license. Drivers may get a North Carolina REAL ID driver's license at any NCDMV driver's license office.

The Act established minimum security standards for license issuance and production and prohibits Federal agencies from accepting driver's licenses and identification cards from states not meeting the Act's minimum standards.

In absence of a compliant state issued driver's license or ID, one of the following federally approved forms of identification must also be provided in addition to the non-compliant driver's license or ID:

1. U.S. Passport
2. U.S. Passport Card

3. U.S. Coast Guard Merchant Mariner Card
4. Personal Identity Verification (PIV) Card
5. Personal Identity Verification - Interoperable (PIV-I)
6. U.S. State Department Driver's License
7. Veteran's Health Identification Card (Issued by the U.S. Department of Veterans Affairs)
8. U.S. Permanent Resident Card (Form I-551)
9. U.S. Certificate of Naturalization or Certificate of Citizenship (Form N-550)
10. Department of Homeland Security Employment Authorization Document (Form I-766)

### 1.3.1 Activity Regulations

Ensure that Contractor personnel employed on the Activity become familiar with and obey Activity regulations including safety, fire, traffic and security regulations. Keep within the limits of the work and avenues of ingress and egress. Wear hard hats in designated areas. Do not enter any restricted areas unless required to do so and until cleared for such entry. Mark Contractor equipment for identification.

#### 1.3.1.1 Subcontractors and Personnel Contacts

Provide a list of contact personnel of the Contractor and subcontractors including addresses and telephone numbers for use in the event of an emergency. As changes occur and additional information becomes available, correct and change the information contained in previous lists.

#### 1.3.1.2 Installation Access

Obtain access to Navy and Marine Corps installations through participation in the Defense Biometrics Identification System (DBIDS). Requirements for Contractor employee registration, and transition for employees currently under Navy Commercial Access Control System (NCACS), are available at <https://www.cnid.navy.mil/om/dbids.html>. No fees are associated with obtaining a DBIDS credential.

Participation in DBIDS is not mandatory, and Contractor personnel may apply for One-Day Passes at the Base Visitor Control Office to access an installation.

##### 1.3.1.2.1 Registration for DBIDS

Registration for DBIDS is available at <https://www.cnid.navy.mil/om/dbids.html>. Procedure includes:

- a. Present a letter or official award document (i.e. DD Form 1155 or SF 1442) from the Contracting Officer, that provides the purpose for access, to the base Visitor Control Center representative.
- b. Present valid identification, such as a passport or Real ID Act-compliant state driver's license.
- c. Provide completed SECNAV FORM 5512/1 to the base Visitor Control Center representative to obtain a background check. This form is available for download at <https://www.cnid.navy.mil/om/dbids.html>.
- d. Upon successful completion of the background check, the Government will complete the DBIDS enrollment process, which includes Contractor

employee photo, finger prints, base restriction and several other assessments.

- e. Upon successful completion of the enrollment process, the Contractor employee will be issued a DBIDS credential, and will be allowed to proceed to worksite.

#### 1.3.1.2.2 DBIDS Eligibility Requirements

Throughout the length of the contract, the Contractor employee must continue to meet background screen standards. Periodic background screenings are conducted to verify continued DBIDS participation and installation access privileges. DBIDS access privileges will be immediately suspended or revoked if at any time a Contractor employee becomes ineligible.

An adjudication process may be initiated when a background screen failure results in disqualification from participation in the DBIDS, and Contractor employee does not agree with the reason for disqualification. The Government is the final authority.

#### 1.3.1.2.3 DBIDS Notification Requirements

- a. Immediately report instances of lost or stolen badges to the Contracting Officer.
- b. Immediately collect DBIDS credentials and notify the Contracting Officer in writing under the following circumstances:
  - (1) An employee has departed the company without having properly returned or surrendered their DBIDS credentials.
  - (2) There is a reasonable basis to conclude that an employee, or former employee, might pose a risk, compromise, or threat to the safety or security of the Installation or anyone therein.

#### 1.3.1.2.4 One-Day Passes

Personnel applying for One-Day passes at the Base Visitor Control Office are subject to daily mandatory vehicle inspection, and will have limited access to the installation. The Government is not responsible for any cost or lost time associated with obtaining daily passes or added vehicle inspections incurred by non-participants in DBIDS.

#### 1.3.1.3 No Smoking Policy

Smoking is prohibited within and outside of all buildings on installation, except in designated smoking areas. This applies to existing buildings, buildings under construction and buildings under renovation. Discarding tobacco materials other than into designated tobacco receptacles is considered littering and is subject to fines. The Contracting Officer will identify designated smoking areas.

#### 1.3.2 Working Hours

Regular working hours must consist of an 8 1/2 hour period, between 7:00 a.m. and 3:30 p.m., Monday through Friday, excluding Government holidays.

### 1.3.3 Work Outside Regular Hours

Work outside regular working hours requires Contracting Officer approval. Make application 15 calendar days prior to such work to allow arrangements to be made by the Government for inspecting the work in progress, giving the specific dates, hours, location, type of work to be performed, contract number and project title. Based on the justification provided, the Contracting Officer may approve work outside regular hours. During periods of darkness, the different parts of the work must be lighted in a manner approved by the Contracting Officer. Make utility cutovers after normal working hours or on Saturdays, Sundays, and Government holidays unless directed otherwise.

### 1.3.4 Occupied Building

The Contractor shall be working in and around existing building which is occupied. Do not enter the building without prior approval of the Contracting Officer.

The existing buildings and their contents must be kept secure at all times. Provide temporary closures as required to maintain security as directed by the Contracting Officer.

Provide dust covers or protective enclosures to protect existing work that remains and Government material located in and around the building during the construction period.

Relocate movable furniture as required to perform the work, protect the furniture, and replace the furniture in its original location upon completion of the work. Leave attached equipment in place, and protect it against damage, or temporarily disconnect, relocate, protect, and reinstall it at the completion of the work.

### 1.3.5 Utility Cutovers and Interruptions

- a. Make utility cutovers and interruptions after normal working hours or on Saturdays, Sundays, and Government holidays. Conform to procedures required in paragraph WORK OUTSIDE REGULAR HOURS.
- b. Ensure that new utility lines are complete, except for the connection, before interrupting existing service.
- c. Interruption to water, sanitary sewer, storm sewer, telephone service, electric service, air conditioning, heating, fire alarm, and compressed air are considered utility cutovers pursuant to the paragraph WORK OUTSIDE REGULAR HOURS.
- d. Operation of Station Utilities: The Contractor must not operate nor disturb the setting of control devices in the station utilities system, including water, sewer, electrical, and steam services. The Government will operate the control devices as required for normal conduct of the work. The Contractor must notify the Contracting Officer giving reasonable advance notice when such operation is required.

#### 1.4 SECURITY REQUIREMENTS

##### 1.4.1 Station Regulations

No employee or representative of the contractor will be admitted to the work site without an Identification Badge or is specifically authorized admittance to the work site by the FEAD, Facilities Engineering & Acquisition Division.

IMPORTANT NOTE: FEAD personnel (Construction Managers, Engineers/Architects, Engineering Technicians, Contract Specialists, or Contract Surveillance Representatives) will not receive, process, re-transmit or otherwise handle IN ANY WAY Personally Identifiable Information (PII) related to the badging process. Do NOT forward any of this information to the FEAD.

##### 1.4.2 Contractor Access to MCAS Cherry Point and Outlying Areas

1. Documentation requirements for granting access to MCAS Cherry Point for commercial and contract employers and employees. This document is an aid in meeting ASO 5560.6A requirements and is not a substitute for the order.

2. The Pass & Identification Office at Building 251 will issue credentials to authorized contractors. Sub-Contractors and suppliers must coordinate through the Prime-Contractor:

3. Criminal Activity. In accordance with ASO 5560.6A, the below list of criminal activities within an applicant's record are considered not in the best interest of the Marine Corps and will be grounds for automatic denial of access aboard the Installation:

- a. Conviction of any felony offense.
- b. Conviction of any misdemeanor offense, which was the result of a plea bargain of a felony offense.
- c. Conviction of any offense involving a weapon.
- d. Conviction of any drug offense involving manufacturing or trafficking.
- e. More than one misdemeanor conviction of drug related offenses over the applicant's lifetime or one misdemeanor drug related offense within the last five years.
- f. Conviction of any assault charge.
- g. Conviction of any offense involving theft or larceny.
- h. Conviction of any offense of domestic violence.
- i. Conviction of any offense related to the abuse/neglect of a child.
- j. Conviction of any sexual in nature related offense or registration as a sex offender.
- k. Commission of any grievous criminal offense/misconduct while

aboard any Federal installation, including blatant disregard for rules and regulations of the Installation, but excluding minor traffic offenses.

l. Other than Honorable, Bad Conduct, and Dishonorable discharges from the U.S. Military.

m. Those identified as undocumented citizens.

n. Those on the National Terrorist Watch List.

o. Any individual who attempts to hide or purposely fails to disclose all past criminal history during the vetting process.

p. Any individual that the Provost Marshal's Office determines to present a risk to the security and safety of the Installation and whose access is deemed not in the best interest of the Marine Corps.

q. Any individual who has been debarred from the Installation by the Installation Commander or is currently listed as debarred from any other Federal installation.

r. Any individual with an outstanding warrant for their arrest or apprehension.

s. Any individual with a pending criminal court case that, if convicted, would result in access denial in accordance with the criteria listed above.

#### 1.4.3 Staging Area

As indicated on the plans, the Contractor staging area will be (PM to coordinate). Amount of material on site shall be kept to a minimum and shall only be material that is pertinent to the work currently being performed. All stockpiling of equipment and materials shall be closely coordinated with the Government and shall not disrupt activities at the site.

#### PART 2 PRODUCTS

Not Used

#### PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 20 00.00 20

PRICE AND PAYMENT PROCEDURES

11/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EP-1110-1-8 (2009) Construction Equipment Ownership  
and Operating Expense Schedule

1.2 SUBMITTALS

Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Schedule of Prices

1.3 SCHEDULE OF PRICES

1.3.1 Data Required

Within 15 calendar days of notice of award, prepare and deliver to the Contracting Officer a Schedule of Prices (construction contract) as directed by the Contracting Officer. Provide a detailed breakdown of the contract price, giving quantities for each of the various kinds of work, unit prices, and extended prices. Costs shall be summarized and totals provided for each construction category.

1.3.2 Schedule Instructions

Payments will not be made until the Schedule of Prices has been submitted to and accepted by the Contracting Officer. Identify the cost for site work, and include incidental work to the 5 ft line. Identify costs for the building(s), and include work out to the 5 ft line. Work out to the 5 ft line shall include construction encompassed within a theoretical line 5 ft from the face of exterior walls and shall include attendant construction, such as pad mounted HVAC cooling equipment, cooling towers, and transformers placed beyond the 5 ft line.

1.4 CONTRACT MODIFICATIONS

In conjunction with the Contract Clause "DFARS 252.236-7000, Modification Proposals-Price Breakdown," and where actual ownership and operating costs of construction equipment cannot be determined from Contractor accounting records, equipment use rates shall be based upon the applicable provisions of the EP-1110-1-8.

1.5 CONTRACTOR'S INVOICE AND CONTRACT PERFORMANCE STATEMENT

1.5.1 Content of Invoice

Requests for payment will be processed in accordance with the Contract Clause FAR 52.232-27, Prompt Payment Construction Contracts and FAR 52.232-5, Payments Under Fixed-Price Construction Contracts. The requests for payment shall include the documents listed below.

- a. The Contractor's invoice, on NAVFAC Form 7300/30 furnished by the Government, showing in summary form, the basis for arriving at the amount of the invoice. Form 7300/30 shall include certification by Contractor and Quality Control (QC) Manager.
- b. The Estimate for Voucher/Contract Performance Statement on NAVFAC Form 4330/54 furnished by the Government, showing in detail: the estimated cost, percentage of completion, and value of completed performance. Use NAVFAC Form 4330/54 on NAVFAC contracts when a Monthly Estimate for Voucher is required.
- c. Updated Project Schedule and reports required by the contract.
- d. Contractor Safety Self Evaluation Checklist.
- e. Other supporting documents as requested.
- f. Updated copy of submittal register.
- g. Invoices not completed in accordance with contract requirements will be returned to the Contractor for correction of the deficiencies.
- h. Contractor's Monthly Estimate for Voucher and Contractors Certification (NAVFAC Form 4330/54) with Subcontractor and supplier payment certification.
- i. Materials on Site.

1.5.2 Submission of Invoices

If DFARS Clause 5252.232-7006 is included in the contract, provide the documents listed in paragraph CONTENT OF INVOICE in their entirety as attachments in Wide Area Work Flow (WAWF) for each invoice submitted. The maximum size of each WAWF attachment is two megabytes, but there are no limits on the number of attachments. If a document cannot be attached in WAWF due to system or size restriction, provide it as instructed by the Contracting Officer.

Monthly invoices and supporting forms for work performed through the anniversary award date of the contract shall be submitted to the Contracting Officer within 5 calendar days of the date of invoice. For example, contract award date is the 7th of the month, the date of each monthly invoice shall be the 7th and the invoice shall be submitted by the 12th of the month.

1.5.3 Final Invoice

- a. A final invoice shall be accompanied by the certification required by DFARS 252.247.7023 TRANSPORTATION OF SUPPLIES BY SEA, and the Contractor's Final Release. If the Contractor is incorporated, the

Final Release shall contain the corporate seal. An officer of the corporation shall sign and the corporate secretary shall certify the Final Release.

- b. For final invoices being submitted via WAWF, the original Contractor's Final Release Form and required certification of Transportation of Supplies by Sea must be provided directly to the respective Contracting Officer prior to submission of the final invoice. Once receipt of the original Final Release Form and required certification of Transportation of Supplies by Sea has been confirmed by the Contracting Officer, the Contractor shall then submit final invoice and attach a copy of the Final Release Form and required certification of Transportation of Supplies by Sea in WAWF.
- c. Final invoices not accompanied by the Contractor's Final Release and required certification of Transportation of Supplies by Sea will be considered incomplete and will be returned to the Contractor.

#### 1.6 PAYMENTS TO THE CONTRACTOR

Payments will be made on submission of itemized requests by the Contractor which comply with the requirements of this section, and will be subject to reduction for overpayments or increase for underpayments made on previous payments to the Contractor.

##### 1.6.1 Obligation of Government Payments

The obligation of the Government to make payments required under the provisions of this contract will, at the discretion of the Contracting Officer, be subject to reductions and suspensions permitted under the FAR and agency regulations including the following in accordance with FAR 32.503-6:

- a. Reasonable deductions due to defects in material or workmanship;
- b. Claims which the Government may have against the Contractor under or in connection with this contract;
- c. Unless otherwise adjusted, repayment to the Government upon demand for overpayments made to the Contractor; and
- d. Failure to provide up to date record drawings not current as stated in Contract Clause "FAC 5252.236-9310, Record Drawings."

##### 1.6.2 Payment for Onsite and Offsite Materials

Progress payments may be made to the contractor for materials delivered on the site, for materials stored off construction sites, or materials that are in transit to the construction sites under the following conditions:

- a. FAR 52.232-5(b) Payments Under Fixed Price Construction Contracts.
- b. Materials delivered on the site but not installed, including completed preparatory work, and off-site materials to be considered for progress payment shall be major high cost, long lead, special order, or specialty items, not susceptible to deterioration or physical damage in storage or in transit to the construction site. Examples of materials acceptable for payment consideration include, but are not limited to, structural steel, non-magnetic steel, non-magnetic

aggregate, equipment, machinery, large pipe and fittings, precast/prestressed concrete products, plastic lumber (e.g., fender piles/curbs), and high-voltage electrical cable. Materials not acceptable for payment include consumable materials such as nails, fasteners, conduits, gypsum board, glass, insulation, and wall coverings.

- c. Materials to be considered for progress payment prior to installation shall be specifically and separately identified in the Contractor's estimates of work submitted for the Contracting Officer's approval in accordance with Schedule of Prices requirement of this contract. Requests for progress payment consideration for such items shall be supported by documents establishing their value and that the title requirements of the clause at FAR 52.232-5 have been met.
- d. Materials are adequately insured and protected from theft and exposure.
- e. Provide a written consent from the surety company with each payment request for offsite materials.
- f. Materials to be considered for progress payments prior to installation shall be stored either in Hawaii, Guam, Puerto Rico, or the Continental United States. Other locations are subject to written approval by the Contracting Officer.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 30 00

ADMINISTRATIVE REQUIREMENTS

08/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2014) Safety and Health Requirements  
Manual

1.2 SUBMITTALS

Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

List of contact personnel

1.2.1 Contract Personnel

Furnish a list of contact personnel of the Contractor and subcontractors including addresses and telephone numbers for use in the event of an emergency. As changes occur and additional information becomes available, correct and change the information contained in previous lists.

1.3 MINIMUM INSURANCE REQUIREMENTS

Provide the minimum insurance coverage required by FAR 28.307-2 LIABILITY, during the entire period of performance under this contract. Provide other insurance coverage as required by North Carolina State law.

1.4 SUPERVISION

1.4.1 Minimum Communication Requirements

Have at least one qualified superintendent, or competent alternate, capable of reading, writing, and conversing fluently in the English language, on the job-site at all times during the performance of contract work. In addition, if a Quality Control (QC) representative is required on the contract, then that individual must also have fluent English communication skills.

1.4.2 Superintendent Qualifications

The project superintendent must have a minimum of 10 years experience in construction with at least 5 of those years as a superintendent on projects similar in size and complexity. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification and safety compliance. The individual must be

capable of interpreting a critical path schedule and construction drawings. The qualification requirements for the alternate superintendent are the same as for the project superintendent. The Contracting Officer may request proof of the superintendent's qualifications at any point in the project if the performance of the superintendent is in question.

#### 1.4.2.1 Duties

The project superintendent is primarily responsible for managing and coordinating day-to-day production and schedule adherence on the project. The superintendent is required to attend NAVFAC Red Zone meetings, partnering meetings, and quality control meetings. The superintendent or qualified alternative must be on-site at all times during the performance of this contract until the work is completed and accepted.

#### 1.4.3 Non-Compliance Actions

The Project Superintendent is subject to removal by the Contracting Officer for non-compliance with requirements specified in the contract and for failure to manage the project to insure timely completion. Furthermore, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders is acceptable as the subject of claim for extension of time for excess costs or damages by the Contractor.

#### 1.5 PRECONSTRUCTION MEETING

After award of the contract but prior to commencement of any work at the site, meet with the Contracting Officer to discuss and develop a mutual understanding relative to the administration of the value engineering and safety program, preparation of the schedule of prices, shop drawings, and other submittals, scheduling programming, prosecution of the work, and clear expectations of the "Interim DD Form 1354" Submittal. Major subcontractors who will engage in the work must also attend.

#### 1.6 PARTNERING

To most effectively accomplish this contract, the Government requires the formation of a cohesive partnership within the Project Team whose members are from the Government, the Contractor and their Subcontractors. Key personnel from the Supported Command, the End User (who will occupy the facility), the Government Design and Construction team and Subject Matter Experts, the Installation, the Contractor and Subcontractors, and the Designer of Record will be invited to participate in the Partnering process. The Partnership will draw on the strength of each organization in an effort to achieve a project that is without any safety mishaps, conforms to the Contract, and stays within budget and on schedule.

The Contracting Officer will provide Information on the Partnering Process and a list of key and optional personnel who should attend the Partnering meeting.

##### 1.6.1 Informal Partnering

The Contracting Officer will organize the Partnering Sessions with key personnel of the project team, including Contractor personnel and Government personnel.

The Initial Partnering session should be a part of the Pre-Construction Meeting. Partnering sessions will be held at a location agreed to by the Contracting Officer and the Contractor (typically a conference room provided by the PWD FEAD office or the Contractor). The Initial Informal Partnering Session will be conducted and facilitated using electronic media (a video and accompanying forms) provided by the Contracting Officer. The Partners will determine the frequency of the follow-on sessions, at no more than 3 to six month intervals.

1.7 ELECTRONIC MAIL (E-MAIL) ADDRESS

Establish and maintain electronic mail (e-mail) capability along with the capability to open various electronic attachments as text files, pdf files, and other similar formats. Within 10 days after contract award, provide the Contracting Officer a single (only one) e-mail address for electronic communications from the Contracting Officer related to this contract including, but not limited to contract documents, invoice information, request for proposals, and other correspondence. The Contracting Officer may also use email to notify the Contractor of base access conditions when emergency conditions warrant, such as hurricanes or terrorist threats. Multiple email addresses are not allowed.

It is the Contractor's responsibility to make timely distribution of all Contracting Officer initiated e-mail with its own organization including field office(s). Promptly notify the Contracting Officer, in writing, of any changes to this email address.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 31 23.13 20

ELECTRONIC CONSTRUCTION AND FACILITY SUPPORT CONTRACT MANAGEMENT SYSTEM  
05/17

PART 1 GENERAL

1.1 CONTRACT ADMINISTRATION

Utilize the Naval Facilities Engineering Command's (NAVFAC's) Electronic Construction and Facility Support Contract Management System (eCMS) for the transfer, sharing and management of electronic technical submittals and documents. The web-based eCMS is the designated means of transferring technical documents between the Contractor and the Government. Paper media or e-mail submission, including originals or copies, of the documents identified in Table 1 are not permitted, except where eCMS is unavailable or non-functional. Contact the Contracting Officer's Representative (COR) regarding availability of eCMS training and reference materials.

1.2 USER PRIVILEGES

The Contractor will be provided access to eCMS. All technical submittals and documents must be transmitted to the Government via the COR. Project roles and system roles will be established to control each user's menu, application, and software privileges, including the ability to create, edit, or delete objects.

1.3 SUBMITTALS

Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

List of Contractor's Personnel

1.4 SYSTEM REQUIREMENTS AND CONNECTIVITY

1.4.1 General

The eCMS requires a web-browser (platform-neutral) and Internet connection. Obtain from an approved vendor an External Certification Authority (ECA), Primary Key Infrastructure (PKI) certificate, or other similar digital identification to support two-factor authentication and access to eCMS. Provide and maintain computer hardware and software for the eCMS access throughout the duration of the contract for all Contractor-designated users. Provide connectivity, speed, bandwidth, and access to the Internet to ensure adequate functionality. Neither upgrading of the Contractor's computer system nor delays associated from the usage of the eCMS will be justification or grounds for a time extension or cost adjustment to the Contract.

1.4.2 Contractor Personnel List

Within 20 calendar days of contract award, provide to the Contracting Officer a list of Contractor's personnel who will have the responsibility for the transfer, sharing and management of electronic technical

submittals and documents and will require access to the eCMS. Project personnel roles to be filled in the eCMS include the Contractor's Project Manager, Superintendent, Quality Control (QC) Manager, and Site Safety and Health Officer (SSHO). Personnel must be capable of electronic document management. Notify the COR immediately of any personnel changes to the project. The Contracting Officer reserves the right to perform a security check on all potential users. Provide the following information:

First Name  
Last Name  
E-mail Address  
Office Address  
Project Role (e.g. Project Manager, QC Manager, Superintendent)

#### 1.4.3 Field Administration

Provide a tablet computer with a Common Access Card (CAC) reader at the job site for Government use only. The tablet computer must have a web-browser, built-in camera, and cellular data connectivity. The tablet computer must be fully charged and made available at all times for Government use to facilitate the input of construction data at the job site. After completion of the work, reset the tablet computer to factory default settings. The tablet computer remains the property of the Contractor and must be removed from the site.

#### 1.5 SECURITY CLASSIFICATION

In accordance with Department of Navy guidance, all military construction contract data are unclassified, unless specified otherwise by a properly designated Original Classification Authority (OCA) and in accordance with an established Security Classification Guide (SCG). Refer to the project's OCA when questions arise about the proper classification of information.

The eCMS and tablet computer must only be used for the transaction of unclassified information associated with construction projects. In conformance with the Freedom of Information Act (FOIA), Department of Defense Manual 5200.01-V4: DoD Information Security Program: Controlled Unclassified Information (CUI), and DoD requirements, any unclassified project documentation uploaded into the eCMS must be designated either "U - UNCLASSIFIED" (U) or "FOUO - UNCLASSIFIED-FOR OFFICIAL USE ONLY" (FOUO).

#### 1.6 ECMS UTILIZATION

Establish, maintain, and update data and documentation in the eCMS throughout the duration of the contract.

##### 1.6.1 Information Security Classification/Identification

The eCMS must be used for the transmittal of the following documents. This requirement supersedes conflicting requirements in other sections, however, submittal review times in Section 01 33 00 SUBMITTAL PROCEDURES remain applicable. Table 1 - Project Documentation Types provides the appropriate U and FOUO designations for various types of project documents. Construction documents requiring FOUO status must be marked accordingly. Apply the appropriate markings before any document is uploaded into eCMS. Markings are not required on U documents.

Table 1 also identifies which eCMS application is to be used in the

transmittal of data (these are subject to change based on the latest software configuration). If a designated application is not functional within 4 hours of initial attempt, defer to the Submittal application and submit the required data as an uploaded portable document (e.g. PDF), word processor, spreadsheet, drawing, or other appropriate format. Hard copy or e-mail submission of these items is acceptable only if eCMS is documented to be not available or not functional. For Submittals, select the following:

- Preparation by = Contractor personnel assigned to prepare the submittal
- Approval by = Contracting Officer Representative (COR)
- Returned by = Design Lead/Manager
- Forwarded to = Contractor project manager

Table 1 - Project Documentation Types

SUBJECT/NAME	CLASS	REMARKS	ECMS APPLICATION
As-Built Drawings	U	Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager	Document management, documents, submittals
Base Access Request/Approval	FOUO	1. Verify applicability with local installation security procedures via the Administrative Contracting Office 2. Redact Social Security Numbers (SSNs) prior to upload into eCMS, unless SSNs are required by the local security office to support security clearance investigations or verifications	Communications management, communications
Building Information Modeling (BIM)	U	1. Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager 2. Design reviews will be performed in existing "Dr Checks"	Document management, documents, submittals
Construction Permits	U	Refer to rules of the issuing activity, state or jurisdiction	Document management, documents, submittals

REPAIR FOUR ELEVATORS, BUILDING 4881  
 N40085-15-D4709

SUBJECT/NAME	CLASS	REMARKS	ECMS APPLICATION
Construction Schedules (Activities and Milestones)	U	After the schedule submittal is approved by the COR, import the schedule file into the scheduling application, and select "Approve" to establish a new schedule baseline	Document management, documents, submittals
Construction Schedules (Cost-Loaded)	FOUO		Document management, documents, submittals
DD 1354 Transfer of Real Property	U		Document management, documents, submittals
Daily Production Reports	FOUO	Provide weather conditions, crew size, man-hours, equipment, and materials information	Site management, Daily Report
Daily Quality Control (QC) Reports	FOUO	Provide QC Phase, Definable Features of Work Identify visitors	Site management, Daily Report
Designs and Specifications	U	1. Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager 2. Design reviews will be performed in existing "Dr Checks"	Document management, documents, submittals
Environmental Notice of Violation (NOV), Corrective Action Plan	U	Refer to rules of the issuing activity, state or jurisdiction	Document management, documents, submittals
Environmental Protection Plan (EPP)	FOUO		Document management, documents, submittals
Jobsite Documentation, Bulletin Board, Labor Laws, SDS	U		Document management, documents, submittals

REPAIR FOUR ELEVATORS, BUILDING 4881  
 N40085-15-D4709

SUBJECT/NAME	CLASS	REMARKS	ECMS APPLICATION
Meeting Minutes	FOUO		Communication management, meeting minutes
Operations & Maintenance Support Information (OMSI/eOMSI), Facility Data Worksheet	U	1. Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager 2. Design reviews will be performed in existing "Dr Checks"	Document management, documents, submittals
Photographs	U	Subject to base/installation restrictions	Document management, documents, submittals
QCM Initial Phase Checklists	FOUO		Site management, Checklists
QCM Preparatory Phase Checklists	FOUO		Site management, Checklists
Quality Control Plans	FOUO		Document management, documents, submittals
QC Certifications	U		Document management, documents, submittals
QC Punch List	U		Site management, Punch lists
Red-Zone Checklist	U		Site management, Checklists
Rework Items List	FOUO		Site management, Punch lists
Request for Information (RFI) Post-Award	FOUO		Communications management, RFIs

REPAIR FOUR ELEVATORS, BUILDING 4881  
 N40085-15-D4709

SUBJECT/NAME	CLASS	REMARKS	ECMS APPLICATION
Safety Plan	FOUO		Site management, Daily Report
Safety - Activity Hazard Analyses (AHA)	FOUO		Site management, Daily Report
Safety - Mishap Reports	FOUO		Site management, Daily Report
SCIF/SAPF Accreditation Support Documents	FOUO	Note: Some Construction Security plans may be classified as Secret. Classified information must not be uploaded into eCMS. Refer to the Site Security Manager, as applicable.	Document management, documents, submittals
Shop Drawings	U	Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager	Document management, documents, submittals
Storm Water Pollution Prevention (Notice of Intent - Notice of Termination)	U	Refer to rules of the issuing activity, state or jurisdiction	Document management, documents, submittals
Submittals and Submittal Log	U		Document management, documents, submittals
Testing Plans, Logs, and Reports	FOUO		Site management, QC inspection and testing plan
Training/Reference Materials	U		Document management, documents, submittals
Training Records (Personnel)	FOUO		Document management, documents, submittals

SUBJECT/NAME	CLASS	REMARKS	ECMS APPLICATION
Utility Outage/Tie-In Request/Approval	FOUO		Document management, documents, submittals
Warranties/BOD Letter	FOUO		Document management, documents, submittals
Quality Assurance Reports and Compliance Notices	FOUO		GOV ONLY
Other Government-prepared documents	FOUO		GOV ONLY
All Other Documents	FOUO	Refer to FOIA guidelines and contact the FOIA official to determine whether exemptions exist	As applicable

1.6.2 Markings on FOUO documents

- a. Only FOUO documents being electronically uploaded into the eCMS (.docx, .xlsx, .pptx, .pdf, .jpg, .zip, and others as appropriate), and associated paper documents described in the paragraph CONTRACT ADMINISTRATION require FOUO markings as indicated in the subparagraphs below.
- b. FOUO documents that are originally created within the eCMS application using the web-based forms (RFIs, Daily Reports, and others as appropriate) will be automatically watermarked by the eCMS software, and these do not require additional markings.
- c. FOUO documents must be marked "UNCLASSIFIED//FOR OFFICIAL USE ONLY" at the bottom of the outside of the front cover (if there is one), the title page, the first page, and the outside of the back cover (if there is one).
- d. FOUO documents must be marked on the internal pages of the document as "UNCLASSIFIED//FOR OFFICIAL USE ONLY" at top and bottom.
- e. Where Installations require digital photographs to be designated FOUO, place the markings on the face of the photograph.
- f. For visual documentation, other than photographs and audio documentation, mark with either visual or audio statements as appropriate at both the beginning and end of the file.

REPAIR FOUR ELEVATORS, BUILDING 4881  
N40085-15-D4709

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

-- End of Section --

SECTION 01 33 00

SUBMITTAL PROCEDURES

08/18

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 Submittal Descriptions (SD)

Submittal requirements are specified in the technical sections. Examples and descriptions of submittals identified by the Submittal Description (SD) numbers and titles follow:

SD-01 Preconstruction Submittals

Submittals that are required prior to or commencing with the start of work on site.

Preconstruction Submittals include schedules and a tabular list of locations, features, and other pertinent information regarding products, materials, equipment, or components to be used in the work.

Certificates Of Insurance  
Surety Bonds  
List Of Proposed Subcontractors  
List Of Proposed Products  
Submittal Register  
Schedule Of Prices  
Accident Prevention Plan  
Work Plan  
Quality Control (QC) plan  
Environmental Protection Plan

SD-02 Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work.

Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project.

Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

SD-03 Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions and brochures illustrating size, physical appearance and other characteristics of materials, systems or equipment for some portion of the work.

Samples of warranty language when the contract requires extended product warranties.

SD-04 Samples

Fabricated or unfabricated physical examples of materials, equipment or workmanship that illustrate functional and aesthetic characteristics of a material or product and establish standards by which the work can be judged.

Color samples from the manufacturer's standard line (or custom color samples if specified) to be used in selecting or approving colors for the project.

Field samples and mock-ups constructed on the project site establish standards ensuring work can be judged. Includes assemblies or portions of assemblies that are to be incorporated into the project and those that will be removed at conclusion of the work.

#### SD-05 Design Data

Design calculations, mix designs, analyses or other data pertaining to a part of work.

#### SD-06 Test Reports

Report signed by authorized official of testing laboratory that a material, product or system identical to the material, product or system to be provided has been tested in accord with specified requirements. Unless specified in another section, testing must have been within three years of date of contract award for the project.

Report that includes findings of a test required to be performed on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report that includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports  
Daily logs and checklists  
Final acceptance test and operational test procedure

#### SD-07 Certificates

Statements printed on the manufacturer's letterhead and signed by responsible officials of manufacturer of product, system or material attesting that the product, system, or material meets specification requirements. Must be dated after award of project contract and clearly name the project.

Document required of Contractor, or of a manufacturer, supplier, installer or Subcontractor through Contractor. The document purpose is to further promote the orderly progression of a portion of the work by documenting procedures, acceptability of methods, or personnel qualifications.

Confined space entry permits  
Text of posted operating instructions

#### SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system or material, including special notices and (SDS) concerning impedances, hazards and safety precautions.

#### SD-10 Operation and Maintenance Data

Data provided by the manufacturer, or the system provider, including manufacturer's help and product line documentation, necessary to maintain and install equipment, for operating and maintenance use by facility personnel.

Data required by operating and maintenance personnel for the safe and efficient operation, maintenance and repair of the item.

Data incorporated in an operations and maintenance manual or control system.

#### SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

Submittals required for Guiding Principle Validation (GPV) or Third Party Certification (TPC).

Special requirements necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a major phase of construction on a multi-phase contract.

##### 1.1.2 Approving Authority

Office or designated person authorized to approve the submittal.

##### 1.1.3 Work

As used in this section, on-site and off-site construction required by contract documents, including labor necessary to produce submittals, construction, materials, products, equipment, and systems incorporated or to be incorporated in such construction. In exception, excludes work to produce SD-01 submittals.

#### 1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with this section.

##### SD-01 Preconstruction Submittals

###### Submittal Register

#### 1.3 SUBMITTAL CLASSIFICATION

##### 1.3.1 Government Approved (G)

Government approval is required for extensions of design, critical materials, variations, equipment whose compatibility with the entire system must be checked, and other items as designated by the Government.

Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, submittals are considered to be "shop drawings."

#### 1.3.2 For Information Only

Submittals not requiring Government approval will be for information only. Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, they are not considered to be "shop drawings."

#### 1.4 FORWARDING SUBMITTALS REQUIRING GOVERNMENT APPROVAL

As soon as practicable after award of contract, and before procurement or fabrication, forward to the Architect-Engineer: Pace Collaborative, PC, 12277 Perimeter Parkway, Virginia Beach, VA 2454-5690 submittals required in the technical sections of this specification, including shop drawings, product data and samples. In addition, forward a copy of the submittals to the Contracting Officer at: Commander, NAVFAC Mid-Atlantic, FEAD Cherry Point, PSC Box 8006, Building 163, Cherry Point, North Carolina, 28533-0006

##### 1.4.1 O&M Data

Submit data specified for a given item within 30 calendar days after the item is delivered to the contract site.

In the event the Contractor fails to deliver O&M data within the time limits specified, the Contracting Officer may withhold from progress payments 50 percent of the price of the items to which such O&M data apply.

##### 1.4.2 Submittals Reserved for NAVFAC Mid-Atlantic Approval

As an exception to the standard submittal procedure for Government Approval, submit the following to the Commander, NAVFAC Mid-Atlantic, 9742 Maryland Avenue, Building Z-140, Room 219, Norfolk, Virginia, 23511-3095:

- a. All elevator submittals

#### 1.5 PREPARATION

##### 1.5.1 Transmittal Form

Transmit each submittal, except sample installations and sample panels to the office of the approving authority using the transmittal form prescribed by the Contracting Officer. Include all information prescribed by the transmittal form and required in paragraph IDENTIFYING SUBMITTALS. Use the submittal transmittal forms to record actions regarding samples.

##### 1.5.2 Identifying Submittals

The Contractor's Quality Control Manager must prepare, review and stamp submittals, including those provided by a subcontractor, before submittal to the Government.

Identify submittals, except sample installations and sample panels, with the following information permanently adhered to or noted on each separate component of each submittal and noted on transmittal form. Mark each copy of each submittal identically, with the following:

- a. Project title and location

- b. Construction contract number
- c. Dates of the drawings and revisions
- d. Name, address, and telephone number of Subcontractor, supplier, manufacturer, and any other Subcontractor associated with the submittal.
- e. Section number of the specification by which submittal is required
- f. Submittal description (SD) number of each component of submittal
- g. For a resubmission, add alphabetic suffix on submittal description, for example, submittal 18 would become 18A, to indicate resubmission
- h. Product identification and location in project.

### 1.5.3 Submittal Format

#### 1.5.3.1 Format of SD-01 Preconstruction Submittals

When the submittal includes a document that is to be used in the project, or is to become part of the project record, other than as a submittal, do not apply the Contractor's certification stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

#### 1.5.3.2 Format for SD-02 Shop Drawings

Provide shop drawings not less than 8 1/2 by 11 inches nor more than 30 by 42 inches, except for full-size patterns or templates. Prepare drawings to accurate size, with scale indicated, unless another form is required. Ensure drawings are suitable for reproduction and of a quality to produce clear, distinct lines and letters, with dark lines on a white background.

- a. Include the nameplate data, size, and capacity on drawings. Also include applicable federal, military, industry, and technical society publication references.
- b. Dimension drawings, except diagrams and schematic drawings. Prepare drawings demonstrating interface with other trades to scale. Use the same unit of measure for shop drawings as indicated on the contract drawings. Identify materials and products for work shown.

Present shop drawings sized 8 1/2 by 11 inches as part of the bound volume for submittals. Present larger drawings in sets. Submit an electronic copy of drawings in PDF format.

#### 1.5.3.2.1 Drawing Identification

Include on each drawing the drawing title, number, date, and revision numbers and dates, in addition to information required in paragraph IDENTIFYING SUBMITTALS.

Number drawings in a logical sequence. Each drawing is to bear the number of the submittal in a uniform location next to the title block. Place the Government contract number in the margin, immediately below the title

block, for each drawing.

Reserve a blank space, no smaller than four inches on the right-hand side of each sheet for the Government disposition stamp.

#### 1.5.3.3 Format of SD-03 Product Data

Present product data submittals for each section as a complete, bound volume. Include a table of contents, listing the page and catalog item numbers for product data.

Indicate, by prominent notation, each product that is being submitted; indicate the specification section number and paragraph number to which it pertains.

##### 1.5.3.3.1 Product Information

Supplement product data with material prepared for the project to satisfy the submittal requirements where product data does not exist. Identify this material as developed specifically for the project, with information and format as required for submission of SD-07 Certificates.

Provide product data in units used in the Contract documents. Where product data are included in preprinted catalogs with another unit, submit the dimensions in contract document units, on a separate sheet.

##### 1.5.3.3.2 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

##### 1.5.3.3.3 Data Submission

Collect required data submittals for each specific material, product, unit of work, or system into a single submittal that is marked for choices, options, and portions applicable to the submittal. Mark each copy of the product data identically. Partial submittals will not be accepted for expedition of the construction effort.

Submit the manufacturer's instructions before installation.

#### 1.5.3.4 Format of SD-04 Samples

##### 1.5.3.4.1 Sample Characteristics

Furnish samples in the following sizes, unless otherwise specified or unless the manufacturer has prepackaged samples of approximately the same size as specified:

- a. Sample of Equipment or Device: Full size.
- b. Sample of Materials Less Than 2 by 3 inches: Built up to 8 1/2 by 11 inches.
- c. Sample of Materials Exceeding 8 1/2 by 11 inches: Cut down to 8 1/2 by 11 inches and adequate to indicate color, texture, and material variations.
- d. Sample of Linear Devices or Materials: 10 inch length or length to be supplied, if less than 10 inches. Examples of linear devices or materials are conduit and handrails.
- e. Sample Volume of Nonsolid Materials: Pint. Examples of nonsolid materials are sand and paint.
- f. Color Selection Samples: 2 by 4 inches. Where samples are specified for selection of color, finish, pattern, or texture, submit the full set of available choices for the material or product specified. Sizes and quantities of samples are to represent their respective standard unit.
- g. Sample Panel: 4 by 4 feet.
- h. Sample Installation: 100 square feet.

#### 1.5.3.4.2 Sample Incorporation

Reusable Samples: Incorporate returned samples into work only if so specified or indicated. Incorporated samples are to be in undamaged condition at the time of use.

Recording of Sample Installation: Note and preserve the notation of any area constituting a sample installation, but remove the notation at the final clean-up of the project.

#### 1.5.3.4.3 Comparison Sample

Samples Showing Range of Variation: Where variations in color, finish, pattern, or texture are unavoidable due to nature of the materials, submit sets of samples of not less than three units showing extremes and middle of range. Mark each unit to describe its relation to the range of the variation.

When color, texture, or pattern is specified by naming a particular manufacturer and style, include one sample of that manufacturer and style, for comparison.

#### 1.5.3.5 Format of SD-05 Design Data

Provide design data and certificates on 8 1/2 by 11 inch paper. Provide a bound volume for submittals containing numerous pages.

#### 1.5.3.6 Format of SD-06 Test Reports

Provide reports on 8 1/2 by 11 inch paper in a complete bound volume.

By prominent notation, indicate each report in the submittal. Indicate

the specification number and paragraph number to which each report pertains.

#### 1.5.3.7 Format of SD-07 Certificates

Provide design data and certificates on 8 1/2 by 11 inch paper. Provide a bound volume for submittals containing numerous pages.

#### 1.5.3.8 Format of SD-08 Manufacturer's Instructions

Present manufacturer's instructions submittals for each section as a complete, bound volume. Include the manufacturer's name, trade name, place of manufacture, and catalog model or number on product data. Also include applicable federal, military, industry, and technical-society publication references. If supplemental information is needed to clarify the manufacturer's data, submit it as specified for SD-07 Certificates.

Submit the manufacturer's instructions before installation.

#### 1.5.3.8.1 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

#### 1.5.3.9 Format of SD-09 Manufacturer's Field Reports

Provide reports on 8 1/2 by 11 inch paper in a complete bound volume.

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

#### 1.5.3.10 Format of SD-10 Operation and Maintenance Data (O&M)

Comply with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA for O&M Data format.

#### 1.5.3.11 Format of SD-11 Closeout Submittals

When the submittal includes a document that is to be used in the project or is to become part of the project record, other than as a submittal, do not apply the Contractor's certification stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

#### 1.5.4 Source Drawings for Shop Drawings

##### 1.5.4.1 Source Drawings

The entire set of source drawing files (DWG) will not be provided to the Contractor. Request the specific Drawing Number for the preparation of shop drawings. Only those drawings requested to prepare shop drawings will be provided. These drawings are provided only after award.

##### 1.5.4.2 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction data for the referenced project. Any other use or reuse is at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim, and waives to the fullest extent permitted by law any claim or cause of action of any nature against the Government, its agents, or its subconsultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities, or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic source drawing files are not construction documents. Differences may exist between the source drawing files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic source drawing files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. The Contractor is responsible for determining if any conflict exists. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished source drawing files, the signed and sealed construction documents govern. Use of these source drawing files does not relieve the Contractor of the duty to fully comply with the contract documents, including and without limitation the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates or modifies these electronic source drawing files for use in producing construction data related to this contract, remove all previous indication of ownership (seals, logos, signatures, initials and dates).

##### 1.5.5 Electronic File Format

Provide submittals in electronic format, with the exception of material samples required for SD-04 Samples items. In addition to the electronic submittal, provide three hard copies of the submittals. Compile the submittal file as a single, complete document, to include the Transmittal Form described within. Name the electronic submittal file specifically according to its contents, and coordinate the file naming convention with the Contracting Officer. Electronic files must be of sufficient quality that all information is legible. Use PDF as the electronic format, unless otherwise specified or directed by the Contracting Officer. Generate PDF files from original documents with bookmarks so that the text included in the PDF file is searchable and can be copied. If documents are scanned, optical character resolution (OCR) routines are required. Index and bookmark files exceeding 30 pages to allow efficient navigation of the file. When required, the electronic file must include a valid electronic signature or a scan of a signature.

E-mail electronic submittal documents smaller than 10MB to an e-mail address as directed by the Contracting Officer. Provide electronic documents over 10 MB on an optical disc or through an electronic file sharing system such as the AMRDEC SAFE Web Application located at the following website: <https://safe.amrdec.army.mil/safe/>.

#### 1.6 QUANTITY OF SUBMITTALS

##### 1.6.1 Number of SD-01 Preconstruction Submittal Copies

Unless otherwise specified, submit three sets of administrative submittals.

##### 1.6.2 Number of SD-02 Shop Drawing Copies

Submit seven copies of shop drawings requiring review and approval by the Contracting Officer.

##### 1.6.3 Number of SD-03 Product Data Copies

Submit in compliance with quantity requirements specified for shop drawings.

##### 1.6.4 Number of SD-04 Samples

- a. Submit two samples, or two sets of samples showing the range of variation, of each required item. One approved sample or set of samples will be retained by the approving authority and one will be returned to the Contractor.
- b. Submit one sample panel or provide one sample installation where directed. Include components listed in the technical section or as directed.
- c. Submit one sample installation, where directed.
- d. Submit one sample of nonsolid materials.

##### 1.6.5 Number of SD-05 Design Data Copies

Submit in compliance with quantity requirements specified for shop drawings.

##### 1.6.6 Number of SD-06 Test Report Copies

Submit in compliance with quantity and quality requirements specified for shop drawings, other than field test results that will be submitted with QC reports.

##### 1.6.7 Number of SD-07 Certificate Copies

Submit in compliance with quantity requirements specified for shop drawings.

##### 1.6.8 Number of SD-08 Manufacturer's Instructions Copies

Submit in compliance with quantity requirements specified for shop drawings.

1.6.9 Number of SD-09 Manufacturer's Field Report Copies

Submit in compliance with quantity and quality requirements specified for shop drawings other than field test results that will be submitted with QC reports.

1.6.10 Number of SD-10 Operation and Maintenance Data Copies

Submit three copies of O&M data to the Contracting Officer for review and approval.

1.6.11 Number of SD-11 Closeout Submittals Copies

Unless otherwise specified, submit three sets of administrative submittals.

1.7 INFORMATION ONLY SUBMITTALS

Submittals without a "G" designation must be certified by the QC manager and submitted to the Contracting Officer for information-only. Approval of the Contracting Officer is not required on information only submittals. The Contracting Officer will mark "receipt acknowledged" on submittals for information and will return only the transmittal cover sheet to the Contractor. Normally, submittals for information only will not be returned. However, the Government reserves the right to return unsatisfactory submittals and require the Contractor to resubmit any item found not to comply with the contract. This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications; will not prevent the Contracting Officer from requiring removal and replacement of nonconforming material incorporated in the work; and does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or for check testing by the Government in those instances where the technical specifications so prescribe.

1.8 PROJECT SUBMITTAL REGISTER

A sample Project Submittal Register showing items of equipment and materials for when submittals are required by the specifications is provided as "Appendix A - Submittal Register."

1.8.1 Submittal Management

Prepare and maintain a submittal register, as the work progresses. Do not change data that is output in columns (c), (d), (e), and (f) as delivered by Government; retain data that is output in columns (a), (g), (h), and (i) as approved. As an attachment, provide a submittal register showing items of equipment and materials for which submittals are required by the specifications. This list may not be all-inclusive and additional submittals may be required.

Column (c): Lists specification section in which submittal is required.

Column (d): Lists each submittal description (SD Number. and type, e.g., SD-02 Shop Drawings) required in each specification section.

Column (e): Lists one principal paragraph in each specification section where a material or product is specified. This listing is

only to facilitate locating submitted requirements. Do not consider entries in column (e) as limiting the project requirements.

Column (f): Lists the approving authority for each submittal. Thereafter, the Contractor is to track all submittals by maintaining a complete list, including completion of all data columns and all dates on which submittals are received by and returned by the Government.

#### 1.8.2 Preconstruction Use of Submittal Register

Submit the submittal register. Include the QC plan and the project schedule. Verify that all submittals required for the project are listed and add missing submittals. Coordinate and complete the following fields on the register submitted with the QC plan and the project schedule:

Column (a) Activity Number: Activity number from the project schedule.

Column (g) Contractor Submit Date: Scheduled date for the approving authority to receive submittals.

Column (h) Contractor Approval Date: Date that Contractor needs approval of submittal.

Column (i) Contractor Material: Date that Contractor needs material delivered to Contractor control.

#### 1.8.3 Contractor Use of Submittal Register

Update the following fields with each submittal throughout the contract.

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (j) Action Code (k): Date of action used to record Contractor's review when forwarding submittals to QC.

Column (l) Date submittal transmitted.

Column (q) Date approval was received.

#### 1.8.4 Approving Authority Use of Submittal Register

Update the following fields:

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (l) Date submittal was received.

Column (m) through (p) Dates of review actions.

Column (q) Date of return to Contractor.

#### 1.8.5 Action Codes

##### 1.8.5.1 Government Review Action Codes

"A" - "Approved as submitted"  
"AN" - "Approved as noted"  
"RR" - "Disapproved as submitted"; "Completed"  
"NR" - "Not Reviewed"  
"RA" - "Receipt Acknowledged"

#### 1.8.6 Delivery of Copies

Submit an updated electronic copy of the submittal register to the Contracting Officer with each invoice request. Provide an updated Submittal Register monthly regardless of whether an invoice is submitted.

#### 1.9 VARIATIONS

Variations from contract requirements require Contracting Officer approval pursuant to contract Clause FAR 52.236-21 Specifications and Drawings for Construction, and will be considered where advantageous to the Government.

##### 1.9.1 Considering Variations

Discussion of variations with the Contracting Officer before submission will help ensure that functional and quality requirements are met and minimize rejections and resubmittals. When contemplating a variation that results in lower cost, consider submission of the variation as a Value Engineering Change Proposal (VECP).

Specifically point out variations from contract requirements in transmittal letters. Failure to point out variations may cause the Government to require rejection and removal of such work at no additional cost to the Government.

##### 1.9.2 Proposing Variations

When proposing variation, deliver a written request to the Contracting Officer, with documentation of the nature and features of the variation and why the variation is desirable and beneficial to Government. Include the DOR's written analysis and approval. If lower cost is a benefit, also include an estimate of the cost savings. In addition to documentation required for variation, include the submittals required for the item. Clearly mark the proposed variation in all documentation.

##### 1.9.3 Warranting that Variations are Compatible

When delivering a variation for approval, the Contractor warrants that this contract has been reviewed to establish that the variation, if incorporated, will be compatible with other elements of work.

##### 1.9.4 Review Schedule Extension

In addition to the normal submittal review period, a period of 14 working days will be allowed for the Government to consider submittals with variations.

#### 1.10 SCHEDULING

Schedule and submit concurrently product data and shop drawings covering component items forming a system or items that are interrelated. Submit pertinent certifications at the same time. No delay damages or time extensions will be allowed for time lost in late submittals.

- a. Coordinate scheduling, sequencing, preparing, and processing of submittals with performance of work so that work will not be delayed by submittal processing. The Contractor is responsible for additional time required for Government reviews resulting from required resubmittals. The review period for each resubmittal is the same as for the initial submittal.
- b. Submittals required by the contract documents are listed on the submittal register. If a submittal is listed in the submittal register but does not pertain to the contract work, the Contractor is to include the submittal in the register and annotate it "N/A" with a brief explanation. Approval by the Contracting Officer does not relieve the Contractor of supplying submittals required by the contract documents but that have been omitted from the register or marked "N/A."
- c. Resubmit the submittal register and annotate it monthly with actual submission and approval dates. When all items on the register have been fully approved, no further resubmittal is required.

Contracting Officer review will be completed within 20 working days after the date of submission.

- d. Except as specified otherwise, allow a review period, beginning with receipt by the approving authority, that includes at least 20 working days for submittals where the Contracting Officer is the approving authority. The period of review for submittals with Contracting Officer approval begins when the Government receives the submittal from the QC organization.
- e. For submittals requiring review by a Government fire protection engineer, allow a review period, beginning when the Government receives the submittal from the QC organization, of 30 working days for return of the submittal to the Contractor.

##### 1.10.1 Reviewing, Certifying, and Approving Authority

The QC Manager is responsible for reviewing all submittals and certifying that they are in compliance with contract requirements. The approving authority on submittals is the Contracting Officer unless otherwise specified.

##### 1.10.2 Constraints

Conform to provisions of this section, unless explicitly stated otherwise for submittals listed or specified in this contract.

Submit complete submittals for each definable feature of the work. At the same time, submit components of definable features that are interrelated as a system.

When acceptability of a submittal is dependent on conditions, items, or

materials included in separate subsequent submittals, the submittal will be returned without review.

Approval of a separate material, product, or component does not imply approval of the assembly in which the item functions.

### 1.10.3 QC Organization Responsibilities

- a. Review submittals for conformance with project design concepts and compliance with contract documents.
- b. Process submittals based on the approving authority indicated in the submittal register.

(1) When the Contracting Officer is the approving authority or when variation has been proposed, forward the submittal to the Government, along with a certifying statement, or return the submittal marked "not reviewed" or "revise and resubmit" as appropriate. The QC organization's review of the submittal determines the appropriate action.

- c. Ensure that material is clearly legible.
- d. Stamp each sheet of each submittal with a QC certifying statement, except that data submitted in a bound volume or on one sheet printed on two sides may be stamped on the front of the first sheet only.

(1) When the approving authority is the Contracting Officer, the QC organization will certify submittals forwarded to the Contracting Officer with the following certifying statement:

"I hereby certify that the (equipment) (material) (article) shown and marked in this submittal is that proposed to be incorporated with Contract Number (\_\_\_\_\_) is in compliance with the contract drawings and specification, can be installed in the allocated spaces, and is submitted for Government approval.

Certified by Submittal Reviewer \_\_\_\_\_, Date \_\_\_\_\_  
(Signature when applicable)

Certified by QC Manager \_\_\_\_\_, Date \_\_\_\_\_"  
(Signature)

- e. Sign the certifying statement. The QC organization member designated in the approved QC plan is the person signing certifying statements. The use of original ink for signatures is required. Stamped signatures are not acceptable.
- f. Update the submittal register as submittal actions occur, and maintain the submittal register at the project site until final acceptance of all work by the Contracting Officer.
- g. Retain a copy of approved submittals and approved samples at the project site.
- h. For "S" submittals, provide a copy of the approved submittal to the Government Approving authority.

#### 1.11 GOVERNMENT APPROVING AUTHORITY

When the approving authority is the Contracting Officer, the Government will:

- a. Note the date on which the submittal was received from the QC manager.
- b. Review submittals for approval within the scheduling period specified and only for conformance with project design concepts and compliance with contract documents.
- c. Identify returned submittals with one of the actions defined in paragraph REVIEW NOTATIONS and with comments and markings appropriate for the action indicated.

Upon completion of review of submittals requiring Government approval, stamp and date submittals. Three copies of the submittal will be retained by the Contracting Officer and four copies of the submittal will be returned to the Contractor.

##### 1.11.1 Review Notations

Submittals will be returned to the Contractor with the following notations:

- a. Submittals marked "approved" or "accepted" authorize proceeding with the work covered.
- b. Submittals marked "approved as noted" or "approved, except as noted, resubmittal not required", authorize proceeding with the work covered provided that the Contractor takes no exception to the corrections.
- c. Submittals marked "not approved", "disapproved", or "revise and resubmit" indicate incomplete submittal or noncompliance with the contract requirements or design concept. Resubmit with appropriate changes. Do not proceed with work for this item until the resubmittal is approved.
- d. Submittals marked "not reviewed" indicate that the submittal has been previously reviewed and approved, is not required, does not have evidence of being reviewed and approved by Contractor, or is not complete. A submittal marked "not reviewed" will be returned with an explanation of the reason it is not reviewed. Resubmit submittals returned for lack of review by Contractor or for being incomplete, with appropriate action, coordination, or change.
- e. Submittals marked "receipt acknowledged" indicate that submittals have been received by the Government. This applies only to "information-only submittals" as previously defined.

##### 1.12 DISAPPROVED SUBMITTALS

Make corrections required by the Contracting Officer. If the Contractor considers any correction or notation on the returned submittals to constitute a change to the contract drawings or specifications, give notice to the Contracting Officer as required under the FAR clause titled CHANGES. The Contractor is responsible for the dimensions and design of connection details and the construction of work. Failure to point out variations may cause the Government to require rejection and removal of such work at the Contractor's expense.

If changes are necessary to submittals, make such revisions and resubmit in accordance with the procedures above. No item of work requiring a submittal change is to be accomplished until the changed submittals are approved.

#### 1.13 APPROVED SUBMITTALS

The Contracting Officer's approval of submittals is not to be construed as a complete check, and indicates only that the general method of construction, materials, detailing, and other information are satisfactory.

Approval or acceptance by the Government for a submittal does not relieve the Contractor of the responsibility for meeting the contract requirements or for any error that may exist, because under the Quality Control (QC) requirements of this contract, the Contractor is responsible for ensuring information contained within each submittal accurately conforms with the requirements of the contract documents.

After submittals have been approved or accepted by the Contracting Officer, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

#### 1.14 APPROVED SAMPLES

Approval of a sample is only for the characteristics or use named in such approval and is not to be construed to change or modify any contract requirements. Before submitting samples, provide assurance that the materials or equipment will be available in quantities required in the project. No change or substitution will be permitted after a sample has been approved.

Match the approved samples for materials and equipment incorporated in the work. If requested, approved samples, including those that may be damaged in testing, will be returned to the Contractor, at its expense, upon completion of the contract. Unapproved samples will also be returned to the Contractor at its expense, if so requested.

Failure of any materials to pass the specified tests will be sufficient cause for refusal to consider, under this contract, any further samples of the same brand or make as that material. The Government reserves the right to disapprove any material or equipment that has previously proved unsatisfactory in service.

Samples of various materials or equipment delivered on the site or in place may be taken by the Contracting Officer for testing. Samples failing to meet contract requirements will automatically void previous approvals. Replace such materials or equipment to meet contract requirements.

#### PART 2 PRODUCTS

Not Used

#### PART 3 EXECUTION

Not Used

REPAIR FOUR ELEVATORS, BUILDING 4881  
N40085-15-D4709

-- End of Section --

# SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

REPAIR FOUR ELEVATORS, BUILDING 4881

CONTRACTOR

A C T I V I T Y N O	T R A N S M I T T A L N O	S P E C S E C T	D E S C R I P T I O N I T E M S U B M I T T E D	P A R A G R A P H	G O V T C L A S S I F I C A T I O N	CONTRACTOR SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY			M A I L E D T O C O N T R / D A T E R C D F R M A P P R A U T H	R E M A R K S	
						S U B M I T	A P P R O V A L N E E D E D B Y	M A T E R I A L N E E D E D B Y	A C T I O N C O D E	D A T E O F A C T I O N	D A T E F W D T O A P P R A U T H /	D A T E R C D F R O M C O N T R	D A T E F W D T O O T H E R R E V I E W E R	D A T E R C D F R O M O T H R E V I E W E R			A C T I O N C O D E
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
	01 14 00		SD-01 Preconstruction Submittals														
			List of Contact Personnel	1.3.1.1													
	01 20 00.00 20		SD-01 Preconstruction Submittals														
			Schedule of Prices	1.3													
	01 30 00		SD-01 Preconstruction Submittals														
			List of contact personnel	1.2.1													
	01 31 23.13 20		SD-01 Preconstruction Submittals														
			List of Contractor's Personnel	1.4.2													
	01 33 00		SD-01 Preconstruction Submittals														
			Submittal Register	1.8													
	01 35 26		SD-01 Preconstruction Submittals														
			Accident Prevention Plan (APP)	1.8													
			SD-06 Test Reports														
			Monthly Exposure Reports	1.4													
			Notifications and Reports	1.13													
			Accident Reports	1.13.2													
			LHE Inspection Reports	1.13.3													
			SD-07 Certificates														
			Contractor Safety Self-Evaluation	1.5													
			Checklist														
			Crane Operators/Riggers	1.7.1.4													
			Standard Lift Plan	1.8.2.2													
			Critical Lift Plan	1.8.2.3													
			Activity Hazard Analysis (AHA)	1.9													
			Confined Space Entry Permit	1.10.1													
			Hot Work Permit	1.10.1													

# SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

REPAIR FOUR ELEVATORS, BUILDING 4881

CONTRACTOR

A C T I V I T Y N O	T R A N S M I T T A L N O	S P E C S E C T	D E S C R I P T I O N I T E M S U B M I T T E D	P A R A G R A P H	G O V T C L A S S I F I C A T I O N	C O N T R A C T O R S C H E D U L E D A T E S			C O N T R A C T O R A C T I O N			A P P R O V I N G A U T H O R I T Y			M A I L E D T O C O N T R / D A T E R C D F R M A P P R A U T H	R E M A R K S	
						S U B M I T	A P P R O V A L N E E D E D B Y	M A T E R I A L N E E D E D B Y	A C T I O N C O D E	D A T E O F A C T I O N	D A T E F W D T O O T H E R R E V I E W E R	D A T E R C D F R O M O T H R E V I E W E R	D A T E F W D T O A P P R A U T H /	D A T E R C D F R O M O T H R E V I E W E R			D A T E O F A C T I O N
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
	01 35 26		Certificate of Compliance	1.13.4													
	01 45 00.00 20		SD-01 Preconstruction Submittals														
			Construction Quality Control (QC) Plan	1.6.1													
			Indoor Air Quality (IAQ) Management Plan	1.16													
	01 50 00		SD-01 Preconstruction Submittals														
			Construction Site Plan	1.3													
	01 57 19		SD-01 Preconstruction Submittals														
			Preconstruction Survey	1.5.1													
			Solid Waste Management Permit	1.8													
			Regulatory Notifications	1.5.2													
			Environmental Protection Plan	1.6													
			Employee Training Records	1.5.4													
			SD-06 Test Reports														
			Solid Waste Management Report	3.3.2.1													
			SD-07 Certificates														
			Employee Training Records	1.5.4													
			ECATTS Certificate Of Completion	1.4.1.2													
			SD-11 Closeout Submittals														
			Waste Determination	3.3.1													
			Documentation														
			Disposal Documentation for Hazardous and Regulated Waste	3.3.3.6													

# SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

REPAIR FOUR ELEVATORS, BUILDING 4881

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	DESCRIPTION ITEM SUBMITTED	PARAGRAPH #	GOVERNOR CLASSIFICATION	CONTRACTOR SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY			REMARKS				
					SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION		DATE OF ACTION	DATE RCD FRM APPR AUTH		
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)	
	01 57 19	Assembled Employee Training Records	1.5.4															
		Solid Waste Management Permit	1.8															
		Solid Waste Management Report	3.3.2.1															
		Contractor Hazardous Material	3.4.1															
		Inventory Log																
		Hazardous Waste/Debris Management	3.3.3.1															
		Regulatory Notifications	1.5.2															
		Sales Documentation	3.3.2.1															
		Contractor Certification	3.3.2.1															
	01 78 00	SD-03 Product Data																
		Warranty Management Plan	1.5.1															
		Warranty Tags	1.5.4															
		Final Cleaning	3.3															
		Spare Parts Data	1.4															
		SD-08 Manufacturer's Instructions																
		Instructions	1.5.1															
		SD-10 Operation and Maintenance																
		Data																
		Operation and Maintenance	3.2															
		Manuals																
		SD-11 Closeout Submittals																
		As-Built Drawings	3.1															
		As-Built Record of Equipment and Materials	1.5.1															

# SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

REPAIR FOUR ELEVATORS, BUILDING 4881

CONTRACTOR

A C T I V I T Y N O	T R A N S M I T T A L N O	S P E C S E C T	D E S C R I P T I O N I T E M S U B M I T T E D	P A R A G R A P H	G O V T C L A S S I F I C A T I O N	C O N T R A C T O R S C H E D U L E D A T E S			A P P R O V I N G A U T H O R I T Y			M A I L E D T O C O N T R / D A T E R C D F R M A P P R A U T H	R E M A R K S				
						S U B M I T	A P P R O V A L N E E D E D B Y	M A T E R I A L N E E D E D B Y	A C T I O N C O D E	D A T E F W D T O O T H E R R E V I E W E R	D A T E R C D F R O M O T H R E V I E W E R			D A T E F W D T O A P P R A U T H /	A C T I O N C O D E	D A T E O F A C T I O N	
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
	01 78 00		Certification of EPA Designated Items	2.1													
	01 78 23		SD-10 Operation and Maintenance Data														
			O&M Database	1.4													
			Training Plan	3.1.1													
			Training Outline	3.1.3													
			Training Content	3.1.2													
			SD-11 Closeout Submittals														
			Validation of Training Completion	3.1.5													
	07 84 00		SD-06 Test Reports														
			Inspection	3.3													
			SD-07 Certificates														
			Inspector Qualifications	1.4.2													
			Firestopping Materials	2.1													
			Installer Qualifications	1.4.1													
	09 90 00		SD-02 Shop Drawings														
			Piping identification stencil	3.9													
			SD-03 Product Data	3.9													
			Coating	2.1													
			Manufacturer's Technical Data	2.1													
			Sheets														
			Sealant	3.2.5													
			SD-04 Samples														
			Color	2.2													

# SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

REPAIR FOUR ELEVATORS, BUILDING 4881

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC S E C T	DESCRIPTION ITEM SUBMITTED	P A R A G R A P H	G O V T C L A S S I F I C A T I O N	CONTRACTOR SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY			REMARKS		
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION	DATE OF ACTION	DATE FWD TO APPR AUTH/	DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER		ACTION	DATE OF ACTION
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
	09 90 00		SD-07 Certificates														
			Applicator's qualifications	1.3													
			Qualification Testing	1.4.1.2													
			SD-08 Manufacturer's Instructions														
			Application instructions	3.3.1													
			Mixing	3.6.2													
			Manufacturer's Material Safety	1.7.2													
			Data Sheets														
			SD-10 Operation and Maintenance														
			Data														
			Coatings:														
	14 24 23		SD-02 Shop Drawings	2.1													
			Elevator	2.1													
			Elevator Components	1.2.1													
			Elevator Components	1.2.2													
			Elevator Controller	2.5.2													
			Wiring Diagrams	1.3.4													
			SD-03 Product Data														
			Elevator	2.1													
			Elevator Components	1.2.1													
			Elevator Components	1.2.2													
			Data Sheets	1.2.2													
			Elevator Microprocessor	2.5.2													
			Controller														
			SD-05 Design Data														
			Emergency Power Systems	1.2.3.1													

# SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

REPAIR FOUR ELEVATORS, BUILDING 4881

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPECIES	DESCRIPTION ITEM SUBMITTED	PARAGRAPH #	GOVERNOR CLASSIFICATION	CONTRACTOR SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY			REMARKS		
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	DATE OF ACTION	DATE FWD TO APPR AUTH/	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	DATE OF ACTION	DATE RCD FROM APPR AUTH		DATE OF ACTION	DATE RCD FROM APPR AUTH
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
	14 24 23		SD-07 Certificates														
			Price Lists	1.3.2													
			Warranty	1.4													
			Endorsement Letter	1.3.1.1													
			Welders' Qualifications	1.2.4													
			Elevator Controller Certification	2.5.2.3													
			SD-10 Operation and Maintenance Data														
			Elevator	2.1													
			Maintenance Control Program (MCP)	1.2.5													
			Software and Documentation	2.5.2.2													
	23 00 00		SD-06 Test Reports														
			Performance Tests	3.10													
			SD-08 Manufacturer's Instructions														
			Manufacturer's Installation Instructions	3.2													
			SD-10 Operation and Maintenance Data														
			Operation and Maintenance Manuals	3.12.1													
	23 05 92		SD-06 Test Reports														
			TAB Report	1.4.1													
	23 07 00		SD-02 Shop Drawings														
			MICA Plates	3.2.2.4													
			Pipe Insulation Systems	2.3													

# SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

REPAIR FOUR ELEVATORS, BUILDING 4881

CONTRACTOR

A C T I V I T Y N O	T R A N S M I T T A L N O	S P E C S E C T	D E S C R I P T I O N I T E M S U B M I T T E D	P A R A G R A P H	G O V T C L A S S I F I C A T I O N	CONTRACTOR SCHEDULE DATES		CONTRACTOR ACTION		APPROVING AUTHORITY			M A I L E D T O C O N T R / D A T E R C D F R M A P P R A U T H	R E M A R K S			
						S U B M I T	A P P R O V A L N E E D E D B Y	M A T E R I A L N E E D E D B Y	A C T I O N C O D E	D A T E F W D T O O T H E R R E V I E W E R	D A T E R C D F R O M O T H R E V I E W E R	D A T E F W D T O A P P R A U T H /			A C T I O N C O D E	D A T E O F A C T I O N	D A T E R C D F R M A P P R A U T H
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
	23 07 00		Pipe Insulation Systems	3.2													
			SD-03 Product Data														
			Pipe Insulation Systems	2.3													
			Pipe Insulation Systems	3.2													
			SD-08 Manufacturer's Instructions														
			Pipe Insulation Systems	2.3													
			Pipe Insulation Systems	3.2													
	23 23 00		SD-02 Shop Drawings														
			Refrigerant Piping System	2.3													
			SD-03 Product Data														
			Refrigerant Piping System	2.3													
			Qualifications	1.3.1													
			Refrigerant Piping Tests	3.4													
			Verification of Dimensions	3.1													
			SD-06 Test Reports														
			Refrigerant Piping Tests	3.4													
			SD-07 Certificates														
			Service Organization	2.1													
	23 81 00		SD-03 Product Data														
			Spare Parts	3.7.1													
			System Performance Tests	3.6													
			Inventory	1.5													
			Manufacturer's Standard Catalog	2.1													
			Data														
			SD-06 Test Reports														

# SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

REPAIR FOUR ELEVATORS, BUILDING 4881

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPECIES	DESCRIPTION ITEM SUBMITTED	PARAGRAPH #	GOVT CLASSIFICATION	CONTRACTOR SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY			REMARKS		
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	DATE OF ACTION	ACTION	DATE FWD TO OTHER REVIEWER	DATE FWD TO APPR AUTH/ CONTR	DATE FWD TO OTHER REVIEWER	DATE OF ACTION		DATE RCD FROM APPR AUTH	DATE RCD FROM CONTR
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
	23 81 00		Refrigerant Tests, Charging, and Start-Up	3.5													
			System Performance Tests	3.6													
			SD-10 Operation and Maintenance Data														
			Operation and Maintenance Manuals	3.4													
	26 20 00		SD-02 Shop Drawings														
			Panelboards	2.10													
			SD-03 Product Data														
			Circuit breakers	2.10.1													
			Switches	2.8													
			SD-06 Test Reports														
			600-volt wiring test	3.5.2													
			SD-07 Certificates														
			Fuses	2.9													
	28 31 76.00 22		SD-01 Preconstruction Submittals														
			Qualifications for Fire Alarm	1.6.1.1													
			System Subcontractor														
			On Staff Fire Alarm System Designer(s)	1.6.1.1.1													
			Supervising Fire Alarm Technician	1.6.1.1.2													
			Installing Technicians	1.6.1.1.3													
			Testing Personnel	1.6.1.1.4													
			SD-02 Shop Drawings														



SECTION 01 35 26

GOVERNMENTAL SAFETY REQUIREMENTS  
11/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF SAFETY ENGINEERS (ASSE/SAFE)

ASSE/SAFE A10.22	(2007; R 2012) Safety Requirements for Rope-Guided and Non-Guided Workers' Hoists
ASSE/SAFE A10.34	(2001; R 2012) Protection of the Public on or Adjacent to Construction Sites
ASSE/SAFE A10.44	(2014) Control of Energy Sources (Lockout/Tagout) for Construction and Demolition Operations
ASSE/SAFE Z244.1	(2003; R 2014) Control of Hazardous Energy Lockout/Tagout and Alternative Methods
ASSE/SAFE Z359.0	(2012) Definitions and Nomenclature Used for Fall Protection and Fall Arrest
ASSE/SAFE Z359.1	(2016) The Fall Protection Code
ASSE/SAFE Z359.11	(2014) Safety Requirements for Full Body Harnesses
ASSE/SAFE Z359.12	(2009) Connecting Components for Personal Fall Arrest Systems
ASSE/SAFE Z359.13	(2013) Personal Energy Absorbers and Energy Absorbing Lanyards
ASSE/SAFE Z359.14	(2014) Safety Requirements for Self-Retracting Devices for Personal Fall Arrest and Rescue Systems
ASSE/SAFE Z359.15	(2014) Safety Requirements for Single Anchor Lifelines and Fall Arresters for Personal Fall Arrest Systems
ASSE/SAFE Z359.2	(2007) Minimum Requirements for a Comprehensive Managed Fall Protection Program
ASSE/SAFE Z359.3	(2007) Safety Requirements for Positioning and Travel Restraint Systems
ASSE/SAFE Z359.4	(2013) Safety Requirements for

Assisted-Rescue and Self-Rescue Systems,  
Subsystems and Components

ASSE/SAFE Z359.6 (2009) Specifications and Design  
Requirements for Active Fall Protection  
Systems

ASSE/SAFE Z359.7 (2011) Qualification and Verification  
Testing of Fall Protection Products

ASME INTERNATIONAL (ASME)

ASME B30.20 (2013; INT Oct 2010 - May 2012)  
Below-the-Hook Lifting Devices

ASME B30.22 (2016) Articulating Boom Cranes

ASME B30.23 (2011) Personnel Lifting Systems Safety  
Standard for Cableways, Cranes, Derricks,  
Hoists, Hooks, Jacks, and Slings

ASME B30.26 (2015; INT Jun 2010 - Jun 2014) Rigging  
Hardware

ASME B30.3 (2016) Tower Cranes

ASME B30.5 (2014) Mobile and Locomotive Cranes

ASME B30.7 (2011) Winches

ASME B30.8 (2015) Floating Cranes and Floating  
Derricks

ASME B30.9 (2014; INT Feb 2011 - Nov 2013) Slings

ASTM INTERNATIONAL (ASTM)

ASTM F855 (2015) Standard Specifications for  
Temporary Protective Grounds to Be Used on  
De-energized Electric Power Lines and  
Equipment

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 1048 (2003) Guide for Protective Grounding of  
Power Lines

IEEE C2 (2017; Errata 1-2 2017; INT 1 2017)  
National Electrical Safety Code

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA Z535.2 (2011) Environmental and Facility Safety  
Signs

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 10 (2018; TIA 18-1) Standard for Portable  
Fire Extinguishers

NFPA 241 (2013; Errata 2015) Standard for Safeguarding Construction, Alteration, and Demolition Operations

NFPA 51B (2014) Standard for Fire Prevention During Welding, Cutting, and Other Hot Work

NFPA 70 (2017; ERTA 1-2 2017; TIA 17-1; TIA 17-2; TIA 17-3; TIA 17-4; TIA 17-5; TIA 17-6; TIA 17-7; TIA 17-8; TIA 17-9; TIA 17-10; TIA 17-11; TIA 17-12; TIA 17-13; TIA 17-14; TIA 17-15; TIA 17-16; TIA 17-17 ) National Electrical Code

NFPA 70E (2018; TIA 18-1; TIA 81-2) Standard for Electrical Safety in the Workplace

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-1019 (2012; R 2016) Standard for Installation, Alteration and Maintenance of Antenna Supporting Structures and Antennas

TIA-222 (2005G; Add 1 2007; Add 2 2009; Add 3 2014; Add 4 2014; R 2014; R 2016) Structural Standards for Steel Antenna Towers and Antenna Supporting Structures

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2014) Safety and Health Requirements Manual

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910 Occupational Safety and Health Standards

29 CFR 1910.146 Permit-required Confined Spaces

29 CFR 1910.147 The Control of Hazardous Energy (Lock Out/Tag Out)

29 CFR 1910.333 Selection and Use of Work Practices

29 CFR 1915 Confined and Enclosed Spaces and Other Dangerous Atmospheres in Shipyard Employment

29 CFR 1915.89 Control of Hazardous Energy (Lockout/Tags-Plus)

29 CFR 1926 Safety and Health Regulations for Construction

29 CFR 1926.1400 Cranes and Derricks in Construction

29 CFR 1926.16 Rules of Construction

29 CFR 1926.450	Scaffolds
29 CFR 1926.500	Fall Protection
29 CFR 1926.552	Material Hoists, Personal Hoists, and Elevators
29 CFR 1926.553	Base-Mounted Drum Hoists
CPL 02-01-056	(2014) Inspection Procedures for Accessing Communication Towers by Hoist
CPL 2.100	(1995) Application of the Permit-Required Confined Spaces (PRCS) Standards, 29 CFR 1910.146

## 1.2 DEFINITIONS

### 1.2.1 Competent Person (CP)

The CP is a person designated in writing, who, through training, knowledge and experience, is capable of identifying, evaluating, and addressing existing and predictable hazards in the working environment or working conditions that are dangerous to personnel, and who has authorization to take prompt corrective measures with regards to such hazards.

### 1.2.2 Competent Person, Confined Space

The CP, Confined Space, is a person meeting the competent person requirements as defined EM 385-1-1 Appendix Q, with thorough knowledge of OSHA's Confined Space Standard, 29 CFR 1910.146, and designated in writing to be responsible for the immediate supervision, implementation and monitoring of the confined space program, who through training, knowledge and experience in confined space entry is capable of identifying, evaluating and addressing existing and potential confined space hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

### 1.2.3 Competent Person, Cranes and Rigging

The CP, Cranes and Rigging, as defined in EM 385-1-1 Appendix Q, is a person meeting the competent person requirements as defined in EM 385-1-1 Appendix Q, who has been designated in writing to be responsible for the immediate supervision, implementation and monitoring of the Crane and Rigging Program, who through training, knowledge and experience in crane and rigging is capable of identifying, evaluating and addressing existing and potential hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

### 1.2.4 Competent Person, Fall Protection

The CP, Fall Protection, is a person meeting the competent person requirements as defined in EM 385-1-1 Appendix Q and in accordance with ASSE/SAFE Z359.0, who has been designated in writing by the employer to be responsible for immediate supervising, implementing and monitoring of the fall protection program, who through training, knowledge and experience in fall protection and rescue systems and equipment, is capable of identifying, evaluating and addressing existing and potential fall hazards and, who has the authority to take prompt corrective measures with regard

to such hazards.

#### 1.2.5 Competent Person, Scaffolding

The CP, Scaffolding is a person meeting the competent person requirements in EM 385-1-1 Appendix Q, and designated in writing by the employer to be responsible for immediate supervising, implementing and monitoring of the scaffolding program. The CP for Scaffolding has enough training, knowledge and experience in scaffolding to correctly identify, evaluate and address existing and potential hazards and also has the authority to take prompt corrective measures with regard to these hazards. CP qualifications must be documented and include experience on the specific scaffolding systems/types being used, assessment of the base material that the scaffold will be erected upon, load calculations for materials and personnel, and erection and dismantling. The CP for scaffolding must have a documented, minimum of 8-hours of scaffold training to include training on the specific type of scaffold being used (e.g. mast-climbing, adjustable, tubular frame), in accordance with EM 385-1-1 Section 22.B.02.

#### 1.2.6 Competent Person (CP) Trainer

A competent person trainer as defined in EM 385-1-1 Appendix Q, who is qualified in the material presented, and who possesses a working knowledge of applicable technical regulations, standards, equipment and systems related to the subject matter on which they are training Competent Persons. A competent person trainer must be familiar with the typical hazards and the equipment used in the industry they are instructing. The training provided by the competent person trainer must be appropriate to that specific industry. The competent person trainer must evaluate the knowledge and skills of the competent persons as part of the training process.

#### 1.2.7 High Risk Activities

High Risk Activities are activities that involve work at heights, crane and rigging, excavations and trenching, scaffolding, electrical work, and confined space entry.

#### 1.2.8 High Visibility Accident

A High Visibility Accident is any mishap which may generate publicity or high visibility.

#### 1.2.9 Load Handling Equipment (LHE)

LHE is a term used to describe cranes, hoists and all other hoisting equipment (hoisting equipment means equipment, including crane, derricks, hoists and power operated equipment used with rigging to raise, lower or horizontally move a load).

#### 1.2.10 Medical Treatment

Medical Treatment is treatment administered by a physician or by registered professional personnel under the standing orders of a physician. Medical treatment does not include first aid treatment even through provided by a physician or registered personnel.

#### 1.2.11 Near Miss

A Near Miss is a mishap resulting in no personal injury and zero property damage, but given a shift in time or position, damage or injury may have occurred (e.g., a worker falls off a scaffold and is not injured; a crane swings around to move the load and narrowly misses a parked vehicle).

#### 1.2.12 Operating Envelope

The Operating Envelope is the area surrounding any crane or load handling equipment. Inside this "envelope" is the crane, the operator, riggers and crane walkers, other personnel involved in the operation, rigging gear between the hook, the load, the crane's supporting structure (i.e. ground or rail), the load's rigging path, the lift and rigging procedure.

#### 1.2.13 Qualified Person (QP)

The QP is a person designated in writing, who, by possession of a recognized degree, certificate, or professional standing, or extensive knowledge, training, and experience, has successfully demonstrated their ability to solve or resolve problems related to the subject matter, the work, or the project.

#### 1.2.14 Qualified Person, Fall Protection (QP for FP)

A QP for FP is a person meeting the requirements of EM 385-1-1 Appendix Q, and ASSE/SAFE Z359.0, with a recognized degree or professional certificate and with extensive knowledge, training and experience in the fall protection and rescue field who is capable of designing, analyzing, and evaluating and specifying fall protection and rescue systems.

#### 1.2.15 USACE Property and Equipment

Interpret "USACE" property and equipment specified in USACE EM 385-1-1 as Government property and equipment.

#### 1.2.16 Load Handling Equipment (LHE) Accident or Load Handling Equipment Mishap

A LHE accident occurs when any one or more of the eight elements in the operating envelope fails to perform correctly during operation, including operation during maintenance or testing resulting in personnel injury or death; material or equipment damage; dropped load; derailment; two-blocking; overload; or collision, including unplanned contact between the load, crane, or other objects. A dropped load, derailment, two-blocking, overload and collision are considered accidents, even though no material damage or injury occurs. A component failure (e.g., motor burnout, gear tooth failure, bearing failure) is not considered an accident solely due to material or equipment damage unless the component failure results in damage to other components (e.g., dropped boom, dropped load, or roll over). Document an LHE mishap or accident using the NAVFAC prescribed Navy Crane Center (NCC) accident form.

### 1.3 SUBMITTALS

Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Accident Prevention Plan (APP)

SD-06 Test Reports

Monthly Exposure Reports  
Notifications and Reports  
Accident Reports  
LHE Inspection Reports

SD-07 Certificates

Contractor Safety Self-Evaluation Checklist  
Crane Operators/Riggers  
Standard Lift Plan  
Critical Lift Plan

Activity Hazard Analysis (AHA)  
Confined Space Entry Permit  
Hot Work Permit  
Certificate of Compliance

1.4 MONTHLY EXPOSURE REPORTS

Provide a Monthly Exposure Report and attach to the monthly billing request. This report is a compilation of employee-hours worked each month for all site workers, both Prime and subcontractor. Failure to submit the report may result in retention of up to 10 percent of the voucher.

1.5 CONTRACTOR SAFETY SELF-EVALUATION CHECKLIST

Contracting Officer will provide a "Contractor Safety Self-Evaluation Checklist" to the Contractor at the pre-construction conference. Complete the checklist monthly and submit with each request for payment voucher. An acceptable score of 90 or greater is required. Failure to submit the completed safety self-evaluation checklist or achieve a score of at least 90 may result in retention of up to 10 percent of the voucher.

1.6 REGULATORY REQUIREMENTS

In addition to the detailed requirements included in the provisions of this contract, comply with the most recent edition of USACE EM 385-1-1, and the following federal, state, and local laws, ordinances, criteria, rules and regulations. Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirements govern.

1.6.1 Subcontractor Safety Requirements

For this contract, neither Contractor nor any subcontractor may enter into contract with any subcontractor that fails to meet the following requirements. The term subcontractor in this and the following paragraphs means any entity holding a contract with the Contractor or with a subcontractor at any tier.

1.6.1.1 Experience Modification Rate (EMR)

Subcontractors on this contract must have an effective EMR less than or equal to 1.10, as computed by the National Council on Compensation Insurance (NCCI) or if not available, as computed by the state agency's rating bureau in the state where the subcontractor is registered, when entering into a subcontract agreement with the Prime Contractor or a subcontractor at any tier. The Prime Contractor may submit a written request for additional consideration to the Contracting Officer where the specified acceptable EMR range cannot be achieved. Relaxation of the EMR range will only be considered for approval on a case-by-case basis for special conditions and must not be anticipated as tacit approval. Contractor's Site Safety and Health Officer (SSHO) must collect and maintain the certified EMR ratings for all subcontractors on the project and make them available to the Government at the Government's request.

1.6.1.2 OSHA Days Away from Work, Restricted Duty, or Job Transfer (DART) Rate

Subcontractors on this contract must have a DART rate, calculated from the most recent, complete calendar year, less than or equal to 3.4 when entering into a subcontract agreement with the Prime Contractor or a subcontractor at any tier. The OSHA Dart Rate is calculated using the following formula:

$$(N/EH) \times 200,000$$

where:

N = number of injuries and illnesses with days away, restricted work, or job transfer

EH = total hours worked by all employees during most recent, complete calendar year

200,000 = base for 100 full-time equivalent workers (working 40 hours per week, 50 weeks per year)

The Prime Contractor may submit a written request for additional consideration to the Contracting Officer where the specified acceptable OSHA Dart rate range cannot be achieved for a particular subcontractor. Relaxation of the OSHA DART rate range will only be considered for approval on a case-by-case basis for special conditions and must not be anticipated as tacit approval. Contractor's SSHO must collect and maintain self-certified OSHA DART rates for all subcontractors on the project and make them available to the Government at the Government's request.

1.7 SITE QUALIFICATIONS, DUTIES, AND MEETINGS

1.7.1 Personnel Qualifications

1.7.1.1 Site Safety and Health Officer (SSHO)

Provide an SSHO that meets the requirements of EM 385-1-1 Section 1. The SSHO must ensure that the requirements of 29 CFR 1926.16 are met for the project. Provide a Safety oversight team that includes a minimum of one (1) person at each project site to function as the SSHO. The SSHO or an equally-qualified Alternate SSHO must be at the work site at all times to

implement and administer the Contractor's safety program and government-accepted APP. The SSHO and Alternate SSHO must have the required training, experience, and qualifications in accordance with EM 385-1-1 Section 01.A.17, and all associated sub-paragraphs.

If the SSHO is off-site for a period longer than 24 hours, an equally-qualified alternate SSHO must be provided and must fulfill the same roles and responsibilities as the primary SSHO. When the SSHO is temporarily (up to 24 hours) off-site, a Designated Representative (DR), as identified in the AHA may be used in lieu of an Alternate SSHO, and must be on the project site at all times when work is being performed. Note that the DR is a collateral duty safety position, with safety duties in addition to their full time occupation.

#### 1.7.1.1.1 Additional Site Safety and Health Officer (SSHO) Requirements and Duties

The SSHO may also serve as the Quality Control Manager. The SSHO may not serve as the Superintendent.

#### 1.7.1.2 Competent Person Qualifications

Provide Competent Persons in accordance with EM 385-1-1, Appendix Q and herein. Competent Persons for high risk activities include confined space, cranes and rigging, excavation/trenching, fall protection, and electrical work. The CP for these activities must be designated in writing, and meet the requirements for the specific activity (i.e. competent person, fall protection).

The Competent Person identified in the Contractor's Safety and Health Program and accepted APP, must be on-site at all times when the work that presents the hazards associated with their professional expertise is being performed. Provide the credentials of the Competent Persons(s) to the the Contracting Officer for information in consultation with the Safety Office.

##### 1.7.1.2.1 Competent Person for Confined Space Entry

Provide a Confined Space (CP) Competent Person who meets the requirements of EM 385-1-1, Appendix Q, and herein. The CP for Confined Space Entry must supervise the entry into each confined space in accordance with EM 385-1-1, Section 34.

##### 1.7.1.2.2 Competent Person for Scaffolding

Provide a Competent Person for Scaffolding who meets the requirements of EM 385-1-1, Section 22.B.02 and herein.

##### 1.7.1.2.3 Competent Person for Fall Protection

Provide a Competent Person for Fall Protection who meets the requirements of EM 385-1-1, Section 21.C.04, 21.B.03, and herein.

#### 1.7.1.3 Qualified Trainer Requirements

Individuals qualified to instruct the 40 hour contract safety awareness course, or portions thereof, must meet the definition of a Competent Person Trainer, and, at a minimum, possess a working knowledge of the following subject areas: EM 385-1-1, Electrical Standards, Lockout/Tagout, Fall Protection, Confined Space Entry for Construction; Excavation,

Trenching and Soil Mechanics, and Scaffolds in accordance with 29 CFR 1926.450, Subpart L.

Instructors are required to:

- a. Prepare class presentations that cover construction-related safety requirements.
- b. Ensure that all attendees attend all sessions by using a class roster signed daily by each attendee. Maintain copies of the roster for at least five (5) years. This is a certification class and must be attended 100 percent. In cases of emergency where an attendee cannot make it to a session, the attendee can make it up in another class session for the same subject.
- c. Update training course materials whenever an update of the EM 385-1-1 becomes available.
- d. Provide a written exam of at least 50 questions. Students are required to answer 80 percent correctly to pass.
- e. Request, review and incorporate student feedback into a continuous course improvement program.

#### 1.7.1.4 Crane Operators/Riggers

Provide Operators, Signal Persons, and Riggers meeting the requirements in EM 385-1-1, Section 15.B for Riggers and Section 16.B for Crane Operators and Signal Persons. Provide proof of current qualification.

#### 1.7.2 Personnel Duties

##### 1.7.2.1 Duties of the Site Safety and Health Officer (SSHO)

The SSHO must:

- a. Conduct daily safety and health inspections and maintain a written log which includes area/operation inspected, date of inspection, identified hazards, recommended corrective actions, estimated and actual dates of corrections. Attach safety inspection logs to the Contractors' daily production report.
- b. Conduct mishap investigations and complete required accident reports. Report mishaps and near misses.
- c. Use and maintain OSHA's Form 300 to log work-related injuries and illnesses occurring on the project site for Prime Contractors and subcontractors, and make available to the Contracting Officer upon request. Post and maintain the Form 300A on the site Safety Bulletin Board.
- d. Maintain applicable safety reference material on the job site.
- e. Attend the pre-construction conference, pre-work meetings including preparatory meetings, and periodic in-progress meetings.
- f. Review the APP and AHAs for compliance with EM 385-1-1, and approve, sign, implement and enforce them.

- g. Establish a Safety and Occupational Health (SOH) Deficiency Tracking System that lists and monitors outstanding deficiencies until resolution.
- h. Ensure subcontractor compliance with safety and health requirements.
- i. Maintain a list of hazardous chemicals on site and their Safety Data Sheets (SDS).
- j. Maintain a weekly list of high hazard activities involving energy, equipment, excavation, entry into confined space, and elevation, and be prepared to discuss details during QC Meetings.
- k. Provide and keep a record of site safety orientation and indoctrination for Contractor employees, subcontractor employees, and site visitors.

Superintendent, QC Manager, and SSHO are subject to dismissal if the above duties are not being effectively carried out. If Superintendent, QC Manager, or SSHO are dismissed, project work will be stopped and will not be allowed to resume until a suitable replacement is approved and the above duties are again being effectively carried out.

### 1.7.3 Meetings

#### 1.7.3.1 Preconstruction Conference

- a. Contractor representatives who have a responsibility or significant role in accident prevention on the project must attend the preconstruction conference. This includes the project superintendent, SSHO, QC manager, or any other assigned safety and health professionals who participated in the development of the APP (including the AHAs and special plans, program and procedures associated with it).
- b. Discuss the details of the submitted APP to include incorporated plans, programs, procedures and a listing of anticipated AHAs that will be developed and implemented during the performance of the contract. This list of proposed AHAs will be reviewed at the conference and an agreement will be reached between the Contractor and the Contracting Officer as to which phases will require an analysis. In addition, establish a schedule for the preparation, submittal, and Government review of AHAs to preclude project delays.
- c. Deficiencies in the submitted APP, identified during the Contracting Officer's review, must be corrected, and the APP re-submitted for review prior to the start of construction. Work is not permitted to begin until an APP is established that is acceptable to the Contracting Officer.

#### 1.7.3.2 Safety Meetings

Conduct safety meetings to review past activities, plan for new or changed operations, review pertinent aspects of appropriate AHA (by trade), establish safe working procedures for anticipated hazards, and provide pertinent Safety and Occupational Health (SOH) training and motivation. Conduct meetings at least once a month for all supervisors on the project location. The SSHO, supervisors, foremen, or CDSOs must conduct meetings at least once a week for the trade workers. Document meeting minutes to

include the date, persons in attendance, subjects discussed, and names of individual(s) who conducted the meeting. Maintain documentation on-site and furnish copies to the Contracting Officer on request. Notify the Contracting Officer of all scheduled meetings 7 calendar days in advance.

#### 1.8 ACCIDENT PREVENTION PLAN (APP)

A qualified person must prepare the written site-specific APP. Prepare the APP in accordance with the format and requirements of EM 385-1-1, Appendix A, and as supplemented herein. Cover all paragraph and subparagraph elements in EM 385-1-1, Appendix A. The APP must be job-specific and address any unusual or unique aspects of the project or activity for which it is written. The APP must interface with the Contractor's overall safety and health program referenced in the APP in the applicable APP element, and made site-specific. Describe the methods to evaluate past safety performance of potential subcontractors in the selection process. Also, describe innovative methods used to ensure and monitor safe work practices of subcontractors. The Government considers the Prime Contractor to be the "controlling authority" for all work site safety and health of the subcontractors. Contractors are responsible for informing their subcontractors of the safety provisions under the terms of the contract and the penalties for noncompliance, coordinating the work to prevent one craft from interfering with or creating hazardous working conditions for other crafts, and inspecting subcontractor operations to ensure that accident prevention responsibilities are being carried out. The APP must be signed by an officer of the firm (Prime Contractor senior person), the individual preparing the APP, the on-site superintendent, the designated SSHO, the Contractor QC Manager, and any designated Certified Safety Professional (CSP) or Certified Health Physicist (CIH). The SSHO must provide and maintain the APP and a log of signatures by each subcontractor foreman, attesting that they have read and understand the APP, and make the APP and log available on-site to the Contracting Officer. If English is not the foreman's primary language, the Prime Contractor must provide an interpreter.

Submit the APP to the Contracting Officer 15 calendar days prior to the date of the preconstruction conference for acceptance. Work cannot proceed without an accepted APP. Once reviewed and accepted by the Contracting Officer, the APP and attachments will be enforced as part of the contract. Disregarding the provisions of this contract or the accepted APP is cause for stopping of work, at the discretion of the Contracting Officer, until the matter has been rectified. Continuously review and amend the APP, as necessary, throughout the life of the contract. Changes to the accepted APP must be made with the knowledge and concurrence of the Contracting Officer, project superintendent, SSHO and QC Manager. Incorporate unusual or high-hazard activities not identified in the original APP as they are discovered. Should any severe hazard exposure (i.e. imminent danger) become evident, stop work in the area, secure the area, and develop a plan to remove the exposure and control the hazard. Notify the Contracting Officer within 24 hours of discovery. Eliminate and remove the hazard. In the interim, take all necessary action to restore and maintain safe working conditions in order to safeguard onsite personnel, visitors, the public (as defined by ASSE/SAFE A10.34), and the environment.

##### 1.8.1 Names and Qualifications

Provide plans in accordance with the requirements outlined in Appendix A of EM 385-1-1, including the following:

- a. Names and qualifications (resumes including education, training, experience and certifications) of site safety and health personnel designated to perform work on this project to include the designated SSHO and other competent and qualified personnel to be used. Specify the duties of each position.
- b. Qualifications of competent and of qualified persons. As a minimum, designate and submit qualifications of competent persons for each of the following major areas: excavation; scaffolding; fall protection; hazardous energy; confined space; health hazard recognition, evaluation and control of chemical, physical and biological agents; and personal protective equipment and clothing to include selection, use and maintenance.

#### 1.8.2 Plans

Provide plans in the APP in accordance with the requirements outlined in Appendix A of EM 385-1-1, including the following:

##### 1.8.2.1 Confined Space Entry Plan

Develop a confined or enclosed space entry plan in accordance with EM 385-1-1, applicable OSHA standards 29 CFR 1910, 29 CFR 1915, and 29 CFR 1926, OSHA Directive CPL 2.100, and any other federal, state and local regulatory requirements identified in this contract. Identify the qualified person's name and qualifications, training, and experience. Delineate the qualified person's authority to direct work stoppage in the event of hazardous conditions. Include procedure for rescue by contractor personnel and the coordination with emergency responders. (If there is no confined space work, include a statement that no confined space work exists and none will be created.)

##### 1.8.2.2 Standard Lift Plan (SLP)

Plan lifts to avoid situations where the operator cannot maintain safe control of the lift. Prepare a written SLP in accordance with EM 385-1-1, Section 16.A.03, using Form 16-2 for every lift or series of lifts (if duty cycle or routine lifts are being performed). The SLP must be developed, reviewed and accepted by all personnel involved in the lift in conjunction with the associated AHA. Signature on the AHA constitutes acceptance of the plan. Maintain the SLP on the LHE for the current lift(s) being made. Maintain historical SLPs for a minimum of 3 months.

##### 1.8.2.3 Critical Lift Plan - Crane or Load Handling Equipment

Provide a Critical Lift Plan as required by EM 385-1-1, Section 16.H.01, using Form 16-3. In addition, Critical Lift Plans are required for the following:

- a. When working around energized power lines where the work will get closer than the minimum clearance distance in EM 385-1-1 Table 16-1.
- b. For lifts with anticipated binding conditions.
- c. When erecting cranes.

#### 1.8.2.3.1 Critical Lift Plan Planning and Schedule

Critical lifts require detailed planning and additional or unusual safety precautions. Develop and submit a critical lift plan to the Contracting Officer 30 calendar days prior to critical lift. Comply with load testing requirements in accordance with EM 385-1-1, Section 16.F.03.

#### 1.8.2.3.2 Lifts of Personnel

In addition to the requirements of EM 385-1-1, Section 16.H.02, for lifts of personnel, demonstrate compliance with the requirements of 29 CFR 1926.1400 and EM 385-1-1, Section 16.T.

#### 1.8.2.4 Multi-Purpose Machines, Material Handling Equipment, and Construction Equipment Lift Plan

Multi-purpose machines, material handling equipment, and construction equipment used to lift loads that are suspended by rigging gear, require proof of authorization from the machine OEM that the machine is capable of making lifts of loads suspended by rigging equipment. Written approval from a qualified registered professional engineer, after a safety analysis is performed, is allowed in lieu of the OEM's approval. Demonstrate that the operator is properly trained and that the equipment is properly configured to make such lifts and is equipped with a load chart.

#### 1.8.2.5 Fall Protection and Prevention (FP&P) Plan

The plan must comply with the requirements of EM 385-1-1, Section 21.D and ASSE/SAFE Z359.2, be site specific, and address all fall hazards in the work place and during different phases of construction. Address how to protect and prevent workers from falling to lower levels when they are exposed to fall hazards above 6 feet. A competent person or qualified person for fall protection must prepare and sign the plan documentation. Include FP&P systems, equipment and methods employed for every phase of work, roles and responsibilities, assisted rescue, self-rescue and evacuation procedures, training requirements, and monitoring methods. Review and revise, as necessary, the FP&P Plan documentation as conditions change, but at a minimum every six months, for lengthy projects, reflecting any changes during the course of construction due to changes in personnel, equipment, systems or work habits. Keep and maintain the accepted FP&P Plan documentation at the job site for the duration of the project. Include the FP&P Plan documentation in the APP.

#### 1.8.2.6 Rescue and Evacuation Plan

Provide a Rescue and Evacuation Plan in accordance with EM 385-1-1 Section 21.N and ASSE/SAFE Z359.2, and include in the FP&P Plan and as part of the APP. Include a detailed discussion of the following: methods of rescue; methods of self-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility.

#### 1.8.2.7 Hazardous Energy Control Program (HECP)

Develop a HECP in accordance with EM 385-1-1 Section 12, 29 CFR 1910.147, 29 CFR 1910.333, 29 CFR 1915.89, ASSE/SAFE Z244.1, and ASSE/SAFE A10.44. Submit this HECP as part of the APP. Conduct a preparatory meeting and inspection with all effected personnel to coordinate all HECP activities. Document this meeting and inspection in accordance with EM 385-1-1,

Section 12.A.02. Ensure that each employee is familiar with and complies with these procedures.

#### 1.9 ACTIVITY HAZARD ANALYSIS (AHA)

Before beginning each activity, task or Definable Feature of Work (DFOW) involving a type of work presenting hazards not experienced in previous project operations, or where a new work crew or subcontractor is to perform the work, the Contractor(s) performing that work activity must prepare an AHA. AHAs must be developed by the Prime Contractor, subcontractor, or supplier performing the work, and provided for Prime Contractor review and approval before submitting to the Contracting Officer. AHAs must be signed by the SSHO, Superintendent, QC Manager and the subcontractor Foreman performing the work. Format the AHA in accordance with EM 385-1-1, Section 1 or as directed by the Contracting Officer. Submit the AHA for review at least 15 working days prior to the start of each activity task, or DFOW. The Government reserves the right to require the Contractor to revise and resubmit the AHA if it fails to effectively identify the work sequences, specific anticipated hazards, site conditions, equipment, materials, personnel and the control measures to be implemented.

AHAs must identify competent persons required for phases involving high risk activities, including confined entry, crane and rigging, excavations, trenching, electrical work, fall protection, and scaffolding.

##### 1.9.1 AHA Management

Review the AHA list periodically (at least monthly) at the Contractor supervisory safety meeting, and update as necessary when procedures, scheduling, or hazards change. Use the AHA during daily inspections by the SSHO to ensure the implementation and effectiveness of the required safety and health controls for that work activity.

##### 1.9.2 AHA Signature Log

Each employee performing work as part of an activity, task or DFOW must review the AHA for that work and sign a signature log specifically maintained for that AHA prior to starting work on that activity. The SSHO must maintain a signature log on site for every AHA. Provide employees whose primary language is other than English with an interpreter to ensure a clear understanding of the AHA and its contents.

#### 1.10 DISPLAY OF SAFETY INFORMATION

##### 1.10.1 Safety Bulletin Board

Within one calendar day after commencement of work, erect a safety bulletin board at the job site. Where size, duration, or logistics of project do not facilitate a bulletin board, an alternative method, acceptable to the Contracting Officer, that is accessible and includes all mandatory information for employee and visitor review, may be deemed as meeting the requirement for a bulletin board. Include and maintain information on safety bulletin board as required by EM 385-1-1, Section 01.A.07. Additional items required to be posted include:

- a. Confined space entry permit.
- b. Hot work permit.

#### 1.10.2 Safety and Occupational Health (SOH) Deficiency Tracking System

Establish a SOH deficiency tracking system that lists and monitors the status of SOH deficiencies in chronological order. Use the tracking system to evaluate the effectiveness of the APP. A monthly evaluation of the data must be discussed in the QC or SOH meeting with everyone on the project. The list must be posted on the project bulletin board and updated daily, and provide the following information:

- a. Date deficiency identified;
- b. Description of deficiency;
- c. Name of person responsible for correcting deficiency;
- d. Projected resolution date;
- e. Date actually resolved.

#### 1.11 SITE SAFETY REFERENCE MATERIALS

Maintain safety-related references applicable to the project, including those listed in paragraph REFERENCES. Maintain applicable equipment manufacturer's manuals.

#### 1.12 EMERGENCY MEDICAL TREATMENT

Contractors must arrange for their own emergency medical treatment in accordance with EM 385-1-1. Government has no responsibility to provide emergency medical treatment.

#### 1.13 NOTIFICATIONS and REPORTS

##### 1.13.1 Mishap Notification

Notify the Contracting Officer as soon as practical, but no more than twenty-four hours, after any mishaps, including recordable accidents, incidents, and near misses, as defined in EM 385-1-1 Appendix Q, any report of injury, illness, or any property damage. For LHE or rigging mishaps, notify the Contracting Officer as soon as practical but not more than 4 hours after mishap. The Contractor is responsible for obtaining appropriate medical and emergency assistance and for notifying fire, law enforcement, and regulatory agencies. Immediate reporting is required for electrical mishaps, to include Arc Flash; shock; uncontrolled release of hazardous energy (includes electrical and non-electrical); load handling equipment or rigging; fall from height (any level other than same surface); and underwater diving. These mishaps must be investigated in depth to identify all causes and to recommend hazard control measures.

Within notification include Contractor name; contract title; type of contract; name of activity, installation or location where accident occurred; date and time of accident; names of personnel injured; extent of property damage, if any; extent of injury, if known, and brief description of accident (for example, type of construction equipment used and PPE used). Preserve the conditions and evidence on the accident site until the Government investigation team arrives on-site and Government investigation is conducted. Assist and cooperate fully with the Government's investigation(s) of any mishap.

##### 1.13.2 Accident Reports

- a. Conduct an accident investigation for recordable injuries and illnesses, property damage, and near misses as defined in EM 385-1-1,

to establish the root cause(s) of the accident. Complete the applicable NAVFAC Contractor Incident Reporting System (CIRS), and electronically submit via the NAVFAC Enterprise Safety Applications Management System (ESAMS). Complete and submit an accident investigation report in ESAMS within 5 days for mishaps defined in EM 385-1-1 01.D.03 and 10 days for accidents defined by EM 385-1-1 01.D.05. Complete an investigation report within 30 days for those mishaps defined by EM 385-1-1 01.D.04. Mishaps defined by EM 385-1-1 01.D.04 and 01.D.05 must include a written report submitted as an attachment in ESAMS using the following outline: (1) Mishap summary description to include process, findings and outcomes; (2) Root Cause; (3) Direct Factors; (4) Indirect and Contributing Factors; (5) Corrective Actions; and (6) Recommendations. The Contracting Officer will provide copies of any required or special forms.

- b. Near Misses: For Navy Projects, complete the applicable documentation in NAVFAC CIRS, and electronically submit via the NAVFAC ESAMS. Near miss reports are considered positive and proactive Contractor safety management actions.
- c. Conduct an accident investigation for any load handling equipment accident (including rigging accidents) to establish the root cause(s) of the accident. Complete the LHE Accident Report (Crane and Rigging Accident Report) form and provide the report to the Contracting Officer within 30 calendar days of the accident. Do not proceed with crane operations until cause is determined and corrective actions have been implemented to the satisfaction of the Contracting Officer. The Contracting Officer will provide a blank copy of the accident report form.

#### 1.13.3 LHE Inspection Reports

Submit LHE inspection reports required in accordance with EM 385-1-1 and as specified herein with Daily Reports of Inspections.

#### 1.13.4 Certificate of Compliance and Pre-lift Plan/Checklist for LHE and Rigging

Provide a FORM 16-1 Certificate of Compliance for LHE entering an activity under this contract and in accordance with EM 385-1-1. Post certifications on the crane.

Develop a Standard Lift Plan (SLP) in accordance with EM 385-1-1, Section 16.H.03 using Form 16-2 Standard Pre-Lift Crane Plan/Checklist for each lift planned. Submit SLP to the Contracting Officer for approval within 15 calendar days in advance of planned lift.

#### 1.14 HOT WORK

##### 1.14.1 Permit and Personnel Requirements

Submit and obtain a written permit prior to performing "Hot Work" (i.e. welding or cutting) or operating other flame-producing/spark producing devices, from the MCAS Cherry Point Fire Department. A permit is required from the Explosives Safety Office for work in and around where explosives are processed, stored, or handled. CONTRACTORS ARE REQUIRED TO MEET ALL CRITERIA BEFORE A PERMIT IS ISSUED. Provide at least two 20 pound 4A:20 BC rated extinguishers for normal "Hot Work". The extinguishers must be current inspection tagged and contain an approved safety pin and tamper

resistant seal. It is also mandatory to have a designated FIRE WATCH for any "Hot Work" done at this activity. The Fire Watch must be trained in accordance with NFPA 51B and remain on-site for a minimum of one hour after completion of the task or as specified on the hot work permit.

When starting work in the facility, require personnel to familiarize themselves with the location of the nearest fire alarm boxes and place in memory the emergency Fire Department phone number (911). REPORT ANY FIRE, NO MATTER HOW SMALL, TO THE MCAS CHERRY POINT FIRE DEPARTMENT IMMEDIATELY.

#### 1.14.2 Work Around Flammable Materials

Obtain permit approval from a NFPA Certified Marine Chemist for "HOT WORK" within or around flammable materials (such as fuel systems or welding/cutting on fuel pipes) or confined spaces (such as sewer wet wells, manholes, or vaults) that have the potential for flammable or explosive atmospheres.

Whenever these materials, except beryllium and chromium (VI), are encountered in indoor operations, local mechanical exhaust ventilation systems that are sufficient to reduce and maintain personal exposures to within acceptable limits must be used and maintained in accordance with manufacturer's instruction and supplemented by exceptions noted in EM 385-1-1, Section 06.H

#### 1.15 CONFINED SPACE ENTRY REQUIREMENTS

Confined space entry must comply with Section 34 of EM 385-1-1, OSHA 29 CFR 1926, OSHA 29 CFR 1910, OSHA 29 CFR 1910.146, and OSHA Directive CPL 2.100. Any potential for a hazard in the confined space requires a permit system to be used.

##### 1.15.1 Entry Procedures

Prohibit entry into a confined space by personnel for any purpose, including hot work, until the qualified person has conducted appropriate tests to ensure the confined or enclosed space is safe for the work intended and that all potential hazards are controlled or eliminated and documented. Comply with EM 385-1-1, Section 34 for entry procedures. Hazards pertaining to the space must be reviewed with each employee during review of the AHA.

##### 1.15.2 Forced Air Ventilation

Forced air ventilation is required for all confined space entry operations and the minimum air exchange requirements must be maintained to ensure exposure to any hazardous atmosphere is kept below its action level.

##### 1.15.3 Sewer Wet Wells

Sewer wet wells require continuous atmosphere monitoring with audible alarm for toxic gas detection.

##### 1.15.4 Rescue Procedures and Coordination with Local Emergency Responders

Develop and implement an on-site rescue and recovery plan and procedures. The rescue plan must not rely on local emergency responders for rescue from a confined space.

### 1.16 SEVERE STORM PLAN

In the event of a severe storm warning, the Contractor must:

- a. Secure outside equipment and materials and place materials that could be damaged in protected areas.
- b. Check surrounding area, including roof, for loose material, equipment, debris, and other objects that could be blown away or against existing facilities.
- c. Ensure that temporary erosion controls are adequate.

## PART 2 PRODUCTS

### 2.1 CONFINED SPACE SIGNAGE

Provide permanent signs integral to or securely attached to access covers for new permit-required confined spaces. Signs for confined spaces must comply with NEMA Z535.2. Signs wording: "DANGER--PERMIT-REQUIRED CONFINED SPACE, DO NOT ENTER" in bold letters a minimum of one inch in height and constructed to be clearly legible with all paint removed. The signal word "DANGER" must be red and readable from 5 feet.

## PART 3 EXECUTION

### 3.1 CONSTRUCTION AND OTHER WORK

Comply with EM 385-1-1, NFPA 70, NFPA 70E, NFPA 241, the APP, the AHA, Federal and State OSHA regulations, and other related submittals and activity fire and safety regulations. The most stringent standard prevails.

PPE is governed in all areas by the nature of the work the employee is performing. Use personal hearing protection at all times in designated noise hazardous areas or when performing noise hazardous tasks. Safety glasses must be worn or carried/available on each person. Mandatory PPE includes:

- a. Hard Hat
- b. Long Pants
- c. Appropriate Safety Shoes
- d. Appropriate Class Reflective Vests

#### 3.1.1 Worksite Communication

Employees working alone in a remote location or away from other workers must be provided an effective means of emergency communications (i.e., cellular phone, two-way radios, land-line telephones or other acceptable means). The selected communication must be readily available (easily within the immediate reach) of the employee and must be tested prior to the start of work to verify that it effectively operates in the area/environment. An employee check-in/check-out communication procedure must be developed to ensure employee safety.

#### 3.1.2 Hazardous Material Use

Each hazardous material must receive approval from the Contracting Office or their designated representative prior to being brought onto the job

site or prior to any other use in connection with this contract. Allow a minimum of 10 working days for processing of the request for use of a hazardous material.

### 3.1.3 Hazardous Material Exclusions

Notwithstanding any other hazardous material used in this contract, radioactive materials or instruments capable of producing ionizing/non-ionizing radiation (with the exception of radioactive material and devices used in accordance with EM 385-1-1 such as nuclear density meters for compaction testing and laboratory equipment with radioactive sources) as well as materials which contain asbestos, mercury or polychlorinated biphenyls, di-isocyanates, lead-based paint, and hexavalent chromium, are prohibited. The Contracting Officer, upon written request by the Contractor, may consider exceptions to the use of any of the above excluded materials. Low mercury lamps used within fluorescent lighting fixtures are allowed as an exception without further Contracting Officer approval. Notify the Radiation Safety Officer (RSO) prior to excepted items of radioactive material and devices being brought on base.

### 3.1.4 Unforeseen Hazardous Material

Contract documents identify materials such as PCB, lead paint, and friable and non-friable asbestos and other OSHA regulated chemicals (i.e. 29 CFR Part 1910.1000). If material(s) that may be hazardous to human health upon disturbance are encountered during construction operations, stop that portion of work and notify the Contracting Officer immediately. Within 14 calendar days the Government will determine if the material is hazardous. If material is not hazardous or poses no danger, the Government will direct the Contractor to proceed without change. If material is hazardous and handling of the material is necessary to accomplish the work, the Government will issue a modification pursuant to FAR 52.243-4 Changes and FAR 52.236-2 Differing Site Conditions.

## 3.2 UTILITY OUTAGE REQUIREMENTS

Apply for utility outages at least 15 days in advance. At a minimum, the written request must include the location of the outage, utilities being affected, duration of outage, any necessary sketches, and a description of the means to fulfill energy isolation requirements in accordance with EM 385-1-1, Section 11.A.02 (Isolation). Some examples of energy isolation devices and procedures are highlighted in EM 385-1-1, Section 12.D. In accordance with EM 385-1-1, Section 12.A.01, where outages involve Government or Utility personnel, coordinate with the Government on all activities involving the control of hazardous energy.

These activities include, but are not limited to, a review of HECP and HEC procedures, as well as applicable AHAs. In accordance with EM 385-1-1, Section 11.A.02 and NFPA 70E, work on energized electrical circuits must not be performed without prior government authorization. Government permission is considered through the permit process and submission of a detailed AHA. Energized work permits are considered only when de-energizing introduces additional or increased hazard or when de-energizing is infeasible.

## 3.3 OUTAGE COORDINATION MEETING

After the utility outage request is approved and prior to beginning work

on the utility system requiring shut-down, conduct a pre-outage coordination meeting in accordance with EM 385-1-1, Section 12.A. This meeting must include the Prime Contractor, the Prime and subcontractors performing the work, the Contracting Officer, and the Public Utilities representative. All parties must fully coordinate HEC activities with one another. During the coordination meeting, all parties must discuss and coordinate on the scope of work, HEC procedures (specifically, the lock-out/tag-out procedures for worker and utility protection), the AHA, assurance of trade personnel qualifications, identification of competent persons, and compliance with HEC training in accordance with EM 385-1-1, Section 12.C. Clarify when personal protective equipment is required during switching operations, inspection, and verification.

### 3.4 CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)

Provide and operate a Hazardous Energy Control Program (HECP) in accordance with EM 385-1-1 Section 12, 29 CFR 1910.333, 29 CFR 1915.89, ASSE/SAFE A10.44, NFPA 70E, and paragraph HAZARDOUS ENERGY CONTROL PROGRAM (HECP).

#### 3.4.1 Safety Preparatory Inspection Coordination Meeting with the Government or Utility

For electrical distribution equipment that is to be operated by Government or Utility personnel, the Prime Contractor and the subcontractor performing the work must attend the safety preparatory inspection coordination meeting, which will also be attended by the Contracting Officer's Representative, and required by EM 385-1-1, Section 12.A.02. The meeting will occur immediately preceding the start of work and following the completion of the outage coordination meeting. Both the safety preparatory inspection coordination meeting and the outage coordination meeting must occur prior to conducting the outage and commencing with lockout/tagout procedures.

#### 3.4.2 Lockout/Tagout Isolation

Where the Government or Utility performs equipment isolation and lockout/tagout, the Contractor must place their own locks and tags on each energy-isolating device and proceed in accordance with the HECP. Before any work begins, both the Contractor and the Government or Utility must perform energy isolation verification testing while wearing required PPE detailed in the Contractor's AHA and required by EM 385-1-1, Sections 05.I and 11.B. Install personal protective grounds, with tags, to eliminate the potential for induced voltage in accordance with EM 385-1-1, Section 12.E.06.

#### 3.4.3 Lockout/Tagout Removal

Upon completion of work, conduct lockout/tagout removal procedure in accordance with the HECP. In accordance with EM 385-1-1, Section 12.E.08, each lock and tag must be removed from each energy isolating device by the authorized individual or systems operator who applied the device. Provide formal notification to the Government (by completing the Government form if provided by Contracting Officer's Representative), confirming that steps of de-energization and lockout/tagout removal procedure have been conducted and certified through inspection and verification. Government or Utility locks and tags used to support the Contractor's work will not be removed until the authorized Government employee receives the formal notification.

### 3.5 FALL PROTECTION PROGRAM

Establish a fall protection program, for the protection of all employees exposed to fall hazards. Within the program include company policy, identify roles and responsibilities, education and training requirements, fall hazard identification, prevention and control measures, inspection, storage, care and maintenance of fall protection equipment and rescue and evacuation procedures in accordance with ASSE/SAFE Z359.2 and EM 385-1-1, Sections 21.A and 21.D.

#### 3.5.1 Training

Institute a fall protection training program. As part of the Fall Protection Program, provide training for each employee who might be exposed to fall hazards. Provide training by a competent person for fall protection in accordance with EM 385-1-1, Section 21.C. Document training and practical application of the competent person in accordance with EM 385-1-1, Section 21.C.04 and ASSE/SAFE Z359.2 in the AHA.

#### 3.5.2 Fall Protection Equipment and Systems

Enforce use of personal fall protection equipment and systems designated (to include fall arrest, restraint, and positioning) for each specific work activity in the Site Specific FP&P Plan and AHA at all times when an employee is exposed to a fall hazard. Protect employees from fall hazards as specified in EM 385-1-1, Section 21.

Provide personal fall protection equipment, systems, subsystems, and components that comply with EM 385-1-1 Section 21.I, 29 CFR 1926.500 Subpart M, ASSE/SAFE Z359.0, ASSE/SAFE Z359.1, ASSE/SAFE Z359.2, ASSE/SAFE Z359.3, ASSE/SAFE Z359.4, ASSE/SAFE Z359.6, ASSE/SAFE Z359.7, ASSE/SAFE Z359.11, ASSE/SAFE Z359.12, ASSE/SAFE Z359.13, ASSE/SAFE Z359.14, and ASSE/SAFE Z359.15.

##### 3.5.2.1 Additional Personal Fall Protection

In addition to the required fall protection systems, other protection such as safety skiffs, personal floatation devices, and life rings are required when working above or next to water in accordance with EM 385-1-1, Sections 21.0 through 21.0.06. Personal fall protection systems and equipment are required when working from an articulating or extendible boom, swing stages, or suspended platform. In addition, personal fall protection systems are required when operating other equipment such as scissor lifts. The need for tying-off in such equipment is to prevent ejection of the employee from the equipment during raising, lowering, travel, or while performing work.

##### 3.5.2.2 Personal Fall Protection Harnesses

Only a full-body harness with a shock-absorbing lanyard or self-retracting lanyard is an acceptable personal fall arrest body support device. The use of body belts is not acceptable. Harnesses must have a fall arrest attachment affixed to the body support (usually a Dorsal D-ring) and specifically designated for attachment to the rest of the system. Snap hooks and carabiners must be self-closing and self-locking, capable of being opened only by at least two consecutive deliberate actions and have a minimum gate strength of 3,600 lbs in all directions. Use webbing, straps, and ropes made of synthetic fiber. The maximum free fall distance

when using fall arrest equipment must not exceed 6 feet, unless the proper energy absorbing lanyard is used. Always take into consideration the total fall distance and any swinging of the worker (pendulum-like motion), that can occur during a fall, when attaching a person to a fall arrest system. All full body harnesses must be equipped with Suspension Trauma Preventers such as stirrups, relief steps, or similar in order to provide short-term relief from the effects of orthostatic intolerance in accordance with EM 385-1-1, Section 21.I.06.

### 3.5.3 Horizontal Lifelines (HLL)

Provide HLL in accordance with EM 385-1-1, Section 21.I.08.d.2. Commercially manufactured horizontal lifelines (HLL) must be designed, installed, certified and used under the supervision of a qualified person, for fall protection as part of a complete fall arrest system which maintains a safety factor of 2 (29 CFR 1926.500). The competent person for fall protection may (if deemed appropriate by the qualified person) supervise the assembly, disassembly, use and inspection of the HLL system under the direction of the qualified person. Locally manufactured HLLs are not acceptable unless they are custom designed for limited or site specific applications by a Registered Professional Engineer who is qualified in designing HLL systems.

### 3.5.4 Guardrails and Safety Nets

Design, install and use guardrails and safety nets in accordance with EM 385-1-1, Section 21.F.01 and 29 CFR 1926 Subpart M.

### 3.5.5 Rescue and Evacuation Plan and Procedures

When personal fall arrest systems are used, ensure that the mishap victim can self-rescue or can be rescued promptly should a fall occur. Prepare a Rescue and Evacuation Plan and include a detailed discussion of the following: methods of rescue; methods of self-rescue or assisted-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility. Include the Rescue and Evacuation Plan within the AHA for the phase of work, in the FP&P Plan, and the APP. The plan must comply with the requirements of EM 385-1-1, ASSE/SAFE Z359.2, and ASSE/SAFE Z359.4.

## 3.6 WORK PLATFORMS

### 3.6.1 Scaffolding

Provide employees with a safe means of access to the work area on the scaffold. Climbing of any scaffold braces or supports not specifically designed for access is prohibited. Comply with the following requirements:

- a. Scaffold platforms greater than 20 feet in height must be accessed by use of a scaffold stair system.
- b. Ladders commonly provided by scaffold system manufacturers are prohibited for accessing scaffold platforms greater than 20 feet maximum in height.
- c. An adequate gate is required.
- d. Employees performing scaffold erection and dismantling must be

qualified.

- e. Scaffold must be capable of supporting at least four times the maximum intended load, and provide appropriate fall protection as delineated in the accepted FP&P plan.
- f. Stationary scaffolds must be attached to structural building components to safeguard against tipping forward or backward.
- g. Special care must be given to ensure scaffold systems are not overloaded.
- h. Side brackets used to extend scaffold platforms on self-supported scaffold systems for the storage of material are prohibited. The first tie-in must be at the height equal to 4 times the width of the smallest dimension of the scaffold base.
- i. Scaffolding other than suspended types must bear on base plates upon wood mudsills (2 in x 10 in x 8 in minimum) or other adequate firm foundation.
- j. Scaffold or work platform erectors must have fall protection during the erection and dismantling of scaffolding or work platforms that are more than 6 feet.
- k. Delineate fall protection requirements when working above 6 feet or above dangerous operations in the FP&P Plan and AHA for the phase of work.

### 3.7 EQUIPMENT

#### 3.7.1 Material Handling Equipment (MHE)

- a. Material handling equipment such as forklifts must not be modified with work platform attachments for supporting employees unless specifically delineated in the manufacturer's printed operating instructions. Material handling equipment fitted with personnel work platform attachments are prohibited from traveling or positioning while personnel are working on the platform.
- b. The use of hooks on equipment for lifting of material must be in accordance with manufacturer's printed instructions. Material Handling Equipment Operators must be trained in accordance with OSHA 29 CFR 1910, Subpart N.
- c. Operators of forklifts or power industrial trucks must be licensed in accordance with OSHA.

#### 3.7.2 Load Handling Equipment (LHE)

The following requirements apply. In exception, these requirements do not apply to commercial truck mounted and articulating boom cranes used solely to deliver material and supplies (not prefabricated components, structural steel, or components of a systems-engineered metal building) where the lift consists of moving materials and supplies from a truck or trailer to the ground; to cranes installed on mechanics trucks that are used solely in the repair of shore-based equipment; to crane that enter the activity but are not used for lifting; nor to other machines not used to lift loads suspended by rigging equipment. However, LHE accidents occurring during

such operations must be reported.

- a. Equip cranes and derricks as specified in EM 385-1-1, Section 16.
- b. Notify the Contracting Officer 15 working days in advance of any LHE entering the activity, in accordance with EM 385-1-1, Section 16.A.02, so that necessary quality assurance spot checks can be coordinated. Prior to cranes entering federal activities, a Crane Access Permit must be obtained from the Contracting Officer. A copy of the permitting process will be provided at the Preconstruction Conference. Contractor's operator must remain with the crane during the spot check. Rigging gear must comply with OSHA, ASME B30.9 Standards.
- c. Comply with the LHE manufacturer's specifications and limitations for erection and operation of cranes and hoists used in support of the work. Perform erection under the supervision of a designated person (as defined in ASME B30.5). Perform all testing in accordance with the manufacturer's recommended procedures.
- d. Comply with ASME B30.5 for mobile and locomotive cranes, ASME B30.22 for articulating boom cranes, ASME B30.3 for construction tower cranes, ASME B30.8 for floating cranes and floating derricks, ASME B30.9 for slings, ASME B30.20 for below the hook lifting devices and ASME B30.26 for rigging hardware.
- e. When operating in the vicinity of overhead transmission lines, operators and riggers must be alert to this special hazard and follow the requirements of EM 385-1-1 Section 11, and ASME B30.5 or ASME B30.22 as applicable.
- f. Do not use crane suspended personnel work platforms (baskets) unless the Contractor proves that using any other access to the work location would provide a greater hazard to the workers or is impossible. Do not lift personnel with a line hoist or friction crane. Additionally, submit a specific AHA for this work to the Contracting Officer. Ensure the activity and AHA are thoroughly reviewed by all involved personnel.
- g. Inspect, maintain, and recharge portable fire extinguishers as specified in NFPA 10, Standard for Portable Fire Extinguishers.
- h. All employees must keep clear of loads about to be lifted and of suspended loads, except for employees required to handle the load.
- i. Use cribbing when performing lifts on outriggers.
- j. The crane hook/block must be positioned directly over the load. Side loading of the crane is prohibited.
- k. A physical barricade must be positioned to prevent personnel access where accessible areas of the LHE's rotating superstructure poses a risk of striking, pinching or crushing personnel.
- l. Maintain inspection records in accordance by EM 385-1-1, Section 16.D, including shift, monthly, and annual inspections, the signature of the person performing the inspection, and the serial number or other identifier of the LHE that was inspected. Records must be available for review by the Contracting Officer.

- m. Maintain written reports of operational and load testing in accordance with EM 385-1-1, Section 16.F, listing the load test procedures used along with any repairs or alterations performed on the LHE. Reports must be available for review by the Contracting Officer.
- n. Certify that all LHE operators have been trained in proper use of all safety devices (e.g. anti-two block devices).
- o. Take steps to ensure that wind speed does not contribute to loss of control of the load during lifting operations. At wind speeds greater than 20 mph, the operator, rigger and lift supervisor must cease all crane operations, evaluate conditions and determine if the lift may proceed. Base the determination to proceed or not on wind calculations per the manufacturer and a reduction in LHE rated capacity if applicable. Include this maximum wind speed determination as part of the AHA plan for that operation.
- p. On mobile cranes, lifts where the load weight is greater than 90 percent of the equipment's capacity are prohibited.

### 3.7.3 Machinery and Mechanized Equipment

- a. Proof of qualifications for operator must be kept on the project site for review.
- b. Manufacture specifications or owner's manual for the equipment must be on-site and reviewed for additional safety precautions or requirements that are sometimes not identified by OSHA or USACE EM 385-1-1. Incorporate such additional safety precautions or requirements into the AHAs.

### 3.7.4 Base Mounted Drum Hoists

- a. Operation of base mounted drum hoists must comply with EM 385-1-1 and ASSE/SAFE A10.22.
- b. Rigging gear must comply with applicable ASME/OSHA standards
- c. When used on telecommunication towers, base mounted drum hoists must comply with TIA-1019, TIA-222, ASME B30.7, 29 CFR 1926.552, and 29 CFR 1926.553.
- d. When used to hoist personnel, the AHA must include a written standard operating procedure. Operators must have a physical examination in accordance with EM 385-1-1 Section 16.B.05 and trained, at a minimum, in accordance with EM 385-1-1 Section 16.U and 16.T. The base mounted drum hoist must also comply with OSHA Instruction CPL 02-01-056 and ASME B30.23.
- e. Material and personnel must not be hoisted simultaneously.
- f. Personnel cage must be marked with the capacity (in number of persons) and load limit in pounds.
- g. Construction equipment must not be used for hoisting material or personnel or with trolley/tag lines. Construction equipment may be used for towing and assisting with anchoring guy lines.

### 3.7.5 Use of Explosives

Explosives must not be used or brought to the project site without prior written approval from the Contracting Officer. Such approval does not relieve the Contractor of responsibility for injury to persons or for damage to property due to blasting operations.

Storage of explosives, when permitted on Government property, must be only where directed and in approved storage facilities. These facilities must be kept locked at all times except for inspection, delivery, and withdrawal of explosives.

## 3.8 ELECTRICAL

Perform electrical work in accordance with EM 385-1-1, Appendix A, Sections 11 and 12.

### 3.8.1 Conduct of Electrical Work

As delineated in EM 385-1-1, electrical work is to be conducted in a de-energized state unless there is no alternative method for accomplishing the work. In those cases obtain an energized work permit from the Contracting Officer. The energized work permit application must be accompanied by the AHA and a summary of why the equipment/circuit needs to be worked energized. Underground electrical spaces must be certified safe for entry before entering to conduct work. Cables that will be cut must be positively identified and de-energized prior to performing each cut. Attach temporary grounds in accordance with ASTM F855 and IEEE 1048. Perform all high voltage cable cutting remotely using hydraulic cutting tool. When racking in or live switching of circuit breakers, no additional person other than the switch operator is allowed in the space during the actual operation. Plan so that work near energized parts is minimized to the fullest extent possible. Use of electrical outages clear of any energized electrical sources is the preferred method.

When working in energized substations, only qualified electrical workers are permitted to enter. When work requires work near energized circuits as defined by NFPA 70, high voltage personnel must use personal protective equipment that includes, as a minimum, electrical hard hat, safety shoes, insulating gloves and electrical arc flash protection for personnel as required by NFPA 70E. Insulating blankets, hearing protection, and switching suits may also be required, depending on the specific job and as delineated in the Contractor's AHA. Ensure that each employee is familiar with and complies with these procedures and 29 CFR 1910.147.

### 3.8.2 Qualifications

Electrical work must be performed by QP personnel with verifiable credentials who are familiar with applicable code requirements. Verifiable credentials consist of State, National and Local Certifications or Licenses that a Master or Journeyman Electrician may hold, depending on work being performed, and must be identified in the appropriate AHA. Journeyman/Apprentice ratio must be in accordance with State, Local requirements applicable to where work is being performed.

### 3.8.3 Arc Flash

Conduct a hazard analysis/arc flash hazard analysis whenever work on or near energized parts greater than 50 volts is necessary, in accordance

with NFPA 70E.

All personnel entering the identified arc flash protection boundary must be QPs and properly trained in NFPA 70E requirements and procedures. Unless permitted by NFPA 70E, no Unqualified Person is permitted to approach nearer than the Limited Approach Boundary of energized conductors and circuit parts. Training must be administered by an electrically qualified source and documented.

#### 3.8.4 Grounding

Ground electrical circuits, equipment and enclosures in accordance with NFPA 70 and IEEE C2 to provide a permanent, continuous and effective path to ground unless otherwise noted by EM 385-1-1.

Check grounding circuits to ensure that the circuit between the ground and a grounded power conductor has a resistance low enough to permit sufficient current flow to allow the fuse or circuit breaker to interrupt the current.

#### 3.8.5 Testing

Temporary electrical distribution systems and devices must be inspected, tested and found acceptable for Ground-Fault Circuit Interrupter (GFCI) protection, polarity, ground continuity, and ground resistance before initial use, before use after modification and at least monthly. Monthly inspections and tests must be maintained for each temporary electrical distribution system, and signed by the electrical CP or QP.

-- End of Section --

SECTION 01 42 00

SOURCES FOR REFERENCE PUBLICATIONS

02/19

PART 1 GENERAL

1.1 REFERENCES

Various publications are referenced in other sections of the specifications to establish requirements for the work. These references are identified in each section by document number, date and title. The document number used in the citation is the number assigned by the standards producing organization (e.g. ASTM B564 Standard Specification for Nickel Alloy Forgings). However, when the standards producing organization has not assigned a number to a document, an identifying number has been assigned for reference purposes.

1.2 ORDERING INFORMATION

The addresses of the standards publishing organizations whose documents are referenced in other sections of these specifications are listed below, and if the source of the publications is different from the address of the sponsoring organization, that information is also provided.

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)  
2111 Wilson Blvd, Suite 400  
Arlington, VA 22201  
Ph: 703-524-8800  
Internet: <http://www.ahrinet.org>

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)  
1330 Kemper Meadow Drive  
Cincinnati, OH 45240  
Ph: 513-742-2020  
Fax: 513-742-3355  
Internet: <https://www.acgih.org/>

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)  
1791 Tullie Circle, NE  
Atlanta, GA 30329  
Ph: 404-636-8400 or 800-527-4723  
Fax: 404-321-5478  
E-mail: [ashrae@ashrae.org](mailto:ashrae@ashrae.org)  
Internet: <https://www.ashrae.org/>

AMERICAN WELDING SOCIETY (AWS)  
8669 NW 36 Street, #130  
Miami, FL 33166-6672  
Ph: 800-443-9353  
Internet: <https://www.aws.org/>

ASME INTERNATIONAL (ASME)  
Two Park Avenue  
New York, NY 10016-5990  
Ph: 800-843-2763

REPAIR FOUR ELEVATORS, BUILDING 4881  
N40085-15-D4709

Fax: 973-882-1717  
E-mail: [customercare@asme.org](mailto:customercare@asme.org)  
Internet: <https://www.asme.org/>

ASSOCIATED AIR BALANCE COUNCIL (AABC)  
1220 19th St NW, Suite 410  
Washington, DC 20036  
Ph: 202-737-0202  
Fax: 202-315-0285  
E-mail: [info@aabc.com](mailto:info@aabc.com)  
Internet: <https://www.aabc.com/>

ASTM INTERNATIONAL (ASTM)  
100 Barr Harbor Drive, P.O. Box C700  
West Conshohocken, PA 19428-2959  
Ph: 610-832-9500  
Fax: 610-832-9555  
E-mail: [service@astm.org](mailto:service@astm.org)  
Internet: <https://www.astm.org/>

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)  
PO Box 997377, MS 0500  
Sacramento, CA 95899-7377  
Ph: 916-558-1784  
Internet: <https://www.cdph.ca.gov/>

FM GLOBAL (FM)  
270 Central Avenue  
Johnston, RI 02919-4949  
Ph: 401-275-3000  
Fax: 401-275-3029  
Internet: <https://www.fmglobal.com/>

GREEN SEAL (GS)  
1001 Connecticut Avenue, NW  
Suite 827  
Washington, DC 20036-5525  
Ph: 202-872-6400  
Fax: 202-872-4324  
E-mail: [green seal@green seal.org](mailto:green seal@green seal.org)  
Internet: <https://www.green seal.org/>

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)  
445 and 501 Hoes Lane  
Piscataway, NJ 08854-4141  
Ph: 732-981-0060 or 800-701-4333  
Fax: 732-981-9667  
E-mail: [onlinesupport@ieee.org](mailto:onlinesupport@ieee.org)  
Internet: <https://www.ieee.org/>

INTERNATIONAL CODE COUNCIL (ICC)  
500 New Jersey Avenue, NW  
6th Floor, Washington, DC 20001  
Ph: 800-786-4452 or 888-422-7233  
Fax: 202-783-2348  
E-mail: [order@iccsafe.org](mailto:order@iccsafe.org)  
Internet: <https://www.iccsafe.org/>

REPAIR FOUR ELEVATORS, BUILDING 4881  
N40085-15-D4709

INTERNATIONAL ELECTROTECHNICAL COMMISSION (IEC)  
3, rue de Varembe, 1st floor  
P.O. Box 131  
CH-1211 Geneva 20, Switzerland  
Ph: 41-22-919-02-11  
Fax: 41-22-919-03-00  
E-mail: [info@iec.ch](mailto:info@iec.ch)  
Internet: <https://www.iec.ch/>

INTERNATIONAL ORGANIZATION FOR STANDARDIZATION (ISO)  
ISO Central Secretariat  
BIBC II  
Chemin de Blandonnet 8  
CP 401 - 1214 Vernier, Geneva  
Switzerland  
Ph: 41-22-749-01-11  
E-mail: [central@iso.ch](mailto:central@iso.ch)  
Internet: <https://www.iso.org>

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS  
INDUSTRY (MSS)  
127 Park Street, NE  
Vienna, VA 22180-4602  
Ph: 703-281-6613  
E-mail: [info@msshq.org](mailto:info@msshq.org)  
Internet: <http://msshq.org>

MASTER PAINTERS INSTITUTE (MPI)  
2800 Ingleton Avenue  
Burnaby, BC CANADA V5C 6G7  
Ph: 1-888-674-8937  
Fax: 1-888-211-8708  
E-mail: [info@paintinfo.com](mailto:info@paintinfo.com) or [techservices@mpi.net](mailto:techservices@mpi.net)  
Internet: <http://www.mpi.net/>

MIDWEST INSULATION CONTRACTORS ASSOCIATION (MICA)  
16712 Elm Circle  
Omaha, NE 68130  
Ph: 402-342-3463 or 800-747-6422  
Fax: 402-330-9702  
Internet: <https://www.micainsulation.org/>

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)  
1300 North 17th Street, Suite 900  
Arlington, VA 22209  
Ph: 703-841-3200  
Internet: <https://www.nema.org>

NATIONAL ELEVATOR INDUSTRY, INC. (NEII)  
5003 Westfield Blvd.  
P.O. Box 231137  
Centreville, VA 20120  
Ph: 703-589-9985  
E-Mail: [info@neii.org](mailto:info@neii.org)  
Internet: <http://www.neii.org>

NATIONAL ENVIRONMENTAL BALANCING BUREAU (NEBB)  
8575 Grovemont Circle  
Gaithersburg, MD 20877

REPAIR FOUR ELEVATORS, BUILDING 4881  
N40085-15-D4709

Ph: 301-977-3698  
Fax: 301-977-9589  
Internet: <http://www.nebb.org>

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)  
1 Batterymarch Park  
Quincy, MA 02169-7471  
Ph: 800-344-3555  
Fax: 800-593-6372  
Internet: <https://www.nfpa.org>

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)  
2000 Powell Street, Suite 600  
Emeryville, CA 94608  
Ph: 510-452-8000  
Fax: 510-452-8001  
E-mail: [info@SCSglobalservices.com](mailto:info@SCSglobalservices.com)  
Internet: <https://www.scsglobalservices.com/>

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION  
(SMACNA)  
4201 Lafayette Center Drive  
Chantilly, VA 20151-1219  
Ph: 703-803-2980  
Fax: 703-803-3732  
Internet: <https://www.smacna.org/>

SOCIETY FOR PROTECTIVE COATINGS (SSPC)  
800 Trumbull Drive  
Pittsburgh, PA 15205  
Ph: 877-281-7772 or 412-281-2331  
Fax: 412-444-3591  
E-mail: [customerservice@sspc.org](mailto:customerservice@sspc.org)  
Internet: <http://www.sspc.org>

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)  
21865 Copley Drive  
Diamond Bar, CA 91765  
Ph: 909-396-2000  
E-mail: [webinquiry@aqmd.gov](mailto:webinquiry@aqmd.gov)  
Internet: <http://www.aqmd.gov>

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)  
1320 North Courthouse Road, Suite 200  
Arlington, VA 22201  
Ph: 703-907-7700  
Fax: 703-907-7727  
E-mail: [marketing@tiaonline.org](mailto:marketing@tiaonline.org)  
Internet: <https://www.tiaonline.org/>

U.S. ARMY CORPS OF ENGINEERS (USACE)  
CRD-C DOCUMENTS available on Internet:  
<http://www.wbdg.org/ffc/army-coe/standards>  
Order Other Documents from:  
Official Publications of the Headquarters, USACE  
E-mail: [hqpublications@usace.army.mil](mailto:hqpublications@usace.army.mil)  
Internet: <http://www.publications.usace.army.mil/>  
or

REPAIR FOUR ELEVATORS, BUILDING 4881  
N40085-15-D4709

<https://www.hnc.usace.army.mil/Missions/Engineering-Directorate/TECHINFO/>

U.S. DEPARTMENT OF DEFENSE (DOD)  
Order DOD Documents from:  
Room 3A750-The Pentagon  
1400 Defense Pentagon  
Washington, DC 20301-1400  
Ph: 703-571-3343  
Fax: 215-697-1462  
E-mail: [customerservice@ntis.gov](mailto:customerservice@ntis.gov)  
Internet: <https://www.ntis.gov/>  
Obtain Military Specifications, Standards and Related Publications  
from:  
Acquisition Streamlining and Standardization Information System  
(ASSIST)  
Department of Defense Single Stock Point (DODSSP)  
Document Automation and Production Service (DAPS)  
Building 4/D  
700 Robbins Avenue  
Philadelphia, PA 19111-5094  
Ph: 215-697-6396 - for account/password issues  
Internet: <https://assist.dla.mil/online/start/>; account  
registration required  
Obtain Unified Facilities Criteria (UFC) from:  
Whole Building Design Guide (WBDG)  
National Institute of Building Sciences (NIBS)  
1090 Vermont Avenue NW, Suite 700  
Washington, DC 20005  
Ph: 202-289-7800  
Fax: 202-289-1092  
Internet:  
<https://www.wbdg.org/ffc/dod/unified-facilities-criteria-ufc>

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)  
1200 Pennsylvania Avenue, N.W.  
Washington, DC 20004  
Ph: 202-564-4700  
Internet: <https://www.epa.gov>  
--- Some EPA documents are available only from:  
National Technical Information Service (NTIS)  
5301 Shawnee Road  
Alexandria, VA 22312  
Ph: 703-605-6060 or 1-800-363-2068  
Fax: 703-605-6880  
TDD: 703-487-4639  
E-mail: [info@ntis.gov](mailto:info@ntis.gov)  
Internet: <https://www.ntis.gov/>

U.S. GENERAL SERVICES ADMINISTRATION (GSA)  
General Services Administration  
1800 F Street, NW  
Washington, DC 20405  
Ph: 1-844-472-4111  
Internet: <https://www.gsa.eplibrary.gsa.gov/ElibMain/home.do>  
Obtain documents from:  
Acquisition Streamlining and Standardization Information System  
(ASSIST)  
Internet: <https://assist.dla.mil/online/start/>; account  
registration required

REPAIR FOUR ELEVATORS, BUILDING 4881  
N40085-15-D4709

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)  
8601 Adelphi Road  
College Park, MD 20740-6001  
Ph: 866-272-6272  
Internet: <https://www.archives.gov/>  
Order documents from:  
Superintendent of Documents  
U.S. Government Publishing Office (GPO)  
732 N. Capitol Street, NW  
Washington, DC 20401  
Ph: 202-512-1800 or 866-512-1800  
Bookstore: 202-512-0132  
Internet: <https://www.gpo.gov/>

UNDERWRITERS LABORATORIES (UL)  
2600 N.W. Lake Road  
Camas, WA 98607-8542  
Ph: 877-854-3577 or 360-817-5500  
E-mail: [CustomerExperienceCenter@ul.com](mailto:CustomerExperienceCenter@ul.com)  
Internet: <https://www.ul.com/>  
UL Directories available through IHS at <https://ihsmarkit.com/>

PART 2 PRODUCTS

Not used

PART 3 EXECUTION

Not used

-- End of Section --

SECTION 01 45 00.00 20

QUALITY CONTROL

11/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 52.2 (2017) Method of Testing General Ventilation Air-Cleaning Devices for Removal Efficiency by Particle Size

ASTM INTERNATIONAL (ASTM)

ASTM D6245 (2012) Using Indoor Carbon Dioxide Concentrations to Evaluate Indoor Air Quality and Ventilation

ASTM D6345 (2010) Selection of Methods for Active, Integrative Sampling of Volatile Organic Compounds in Air

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

ANSI/SMACNA 008 (2007) IAQ Guidelines for Occupied Buildings Under Construction, 2nd Edition

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2014) Safety and Health Requirements Manual

1.2 SUBMITTALS

Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES

SD-01 Preconstruction Submittals

Construction Quality Control (QC) Plan

Submit a Construction QC Plan prior to start of construction.

Indoor Air Quality (IAQ) Management Plan

1.3 INFORMATION FOR THE CONTRACTING OFFICER

Prior to commencing work on construction, the Contractor can obtain a single copy set of the current report forms from the Contracting Officer.

The report forms will consist of the Contractor Production Report, Contractor Production Report (Continuation Sheet), Contractor Quality Control (CQC) Report, CQC Report (Continuation Sheet), Preparatory Phase Checklist, Initial Phase Checklist, Rework Items List, and Testing Plan and Log.

Deliver the following to the Contracting Officer during Construction:

- a. CQC Report: Mail or hand-carry the original (wet signatures) and one copy by 10:00 AM the next working day after each day that work is performed and for every seven consecutive calendar days of no-work.
- b. Contractor Production Report: Mail or hand-carry the original (wet signatures) and one copy by 10:00 AM the next working day after each day that work is performed and for every seven consecutive calendar days of no-work, attached to the CQC Report.
- c. Preparatory Phase Checklist: Original attached to the original CQC Report and one copy attached to each QC Report copy.
- d. Initial Phase Checklist: Original attached to the original CQC Report and one copy attached to each QC Report copy.
- e. Field Test Reports: Mail or hand-carry the original within two working days after the test is performed, attached to the original CQC Report and one copy attached to each QC Report copy.
- f. Monthly Summary Report of Tests: Mail or hand-carry the original attached to the last QC Report of the month.
- g. Testing Plan and Log: Mail or hand-carry the original attached to the last CQC Report of each month and one copy attached to each CQC Report copy. Provide a copy of the final Testing Plan and Log to the OMSI preparer for inclusion into the OMSI documentation.
- h. Rework Items List: Submit lists containing new entries daily, in the same manner as the CQC Report. Mail or hand-carry the original attached to the last CQC Report of each month and one copy attached to each CQC Report copy.
- i. CQC Meeting Minutes: Mail or hand-carry the original within two working days after the meeting is held, attached to the original CQC Report and one copy attached to each CQC Report copy.
- j. QC Certifications: As required by the paragraph entitled "QC Certifications."

#### 1.4 QC PROGRAM REQUIREMENTS

Establish and maintain a QC program as described in this section. This QC program is a key element in meeting the objectives of NAVFAC Commissioning. The QC program consists of a QC Organization, QC Plan, QC Plan Meeting(s), a Coordination and Mutual Understanding Meeting, QC meetings, three phases of control, submittal review and certification, testing, completion inspections, QC certifications, and documentation necessary to provide materials, equipment, workmanship, fabrication, construction and operations which comply with the requirements of this Contract. The QC program must cover on-site and off-site work and be keyed to the work sequence. No construction work or testing may be

performed unless the QC Manager is on the work site. The QC Manager must report to an officer of the firm and not be subordinate to the Project Superintendent or the Project Manager. The QC Manager, Project Superintendent and Project Manager must work together effectively. Although the QC Manager is the primary individual responsible for quality control, all individuals will be held responsible for the quality of work on the job.

#### 1.4.1 Acceptance of the Construction Quality Control (QC) Plan

Acceptance of the QC Plan is required prior to the start of construction. The Contracting Officer reserves the right to require changes in the QC Plan and operations as necessary, including removal of personnel, to ensure the specified quality of work. The Contracting Officer reserves the right to interview any member of the QC organization at any time in order to verify the submitted qualifications. All QC organization personnel are subject to acceptance by the Contracting Officer. The Contracting Officer may require the removal of any individual for non-compliance with quality requirements specified in the Contract.

#### 1.4.2 Preliminary Construction Work Authorized Prior to Acceptance

The only construction work that is authorized to proceed prior to the acceptance of the QC Plan is mobilization of storage and office trailers, temporary utilities, and surveying.

#### 1.4.3 Notification of Changes

Notify the Contracting Officer, in writing, of any proposed changes in the QC Plan or changes to the QC organization personnel, a minimum of 10 work days prior to a proposed change. Proposed changes are subject to acceptance by the Contracting Officer.

### 1.5 QC ORGANIZATION

#### 1.5.1 QC Manager

##### 1.5.1.1 Duties

Provide a QC Manager at the work site to implement and manage the QC program, and to serve as the Site Safety and Health Officer (SSHO) as detailed in Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. The QC Manager is required to attend the partnering meetings, QC Plan Meetings, Coordination and Mutual Understanding Meeting, conduct the QC meetings, perform the three phases of control, perform submittal review and certification, ensure testing is performed and provide QC certifications and documentation required in this Contract. The QC Manager is responsible for managing and coordinating the three phases of control and documentation performed by testing laboratory personnel and any other inspection and testing personnel required by this Contract. The QC Manager is the manager of all QC activities.

##### 1.5.1.2 Qualifications

An individual with a minimum of 5 years combined experience in the following positions: Project Superintendent, QC Manager, Project Manager, Project Engineer or Construction Manager on similar size and type construction contracts which included the major trades that are part of this Contract. The individual must have at least two years experience as

a QC Manager. The individual must be familiar with the requirements of EM 385-1-1, and have experience in the areas of hazard identification, safety compliance, and sustainability.

#### 1.5.2 Construction Quality Management Training

In addition to the above experience and education requirements, the QC Manager must have completed the course entitled "Construction Quality Management (CQM) for Contractors." If the QC Manager does not have a current certification, they must obtain the CQM for Contractors course certification within 90 days of award. This course is periodically offered by the Naval Facilities Engineering Command and the Army Corps of Engineers. Contact the Contracting Officer for information on the next scheduled class.

#### 1.5.3 Alternate QC Manager Duties and Qualifications

Designate an alternate for the QC Manager at the work site to serve in the event of the designated QC Manager's absence. The period of absence may not exceed two weeks at one time, and not more than 30 workdays during a calendar year. The qualification requirements for the Alternate QC Manager must be the same as for the QC Manager.

### 1.6 QUALITY CONTROL (QC) PLAN

#### 1.6.1 Construction Quality Control (QC) Plan

##### 1.6.1.1 Requirements

Provide, for acceptance by the Contracting Officer, a Construction QC Plan submitted in a three-ring binder that includes a table of contents, with major sections identified with tabs, with pages numbered sequentially, and that documents the proposed methods and responsibilities for accomplishing quality control commissioning activities during the construction of the project:

- a. QC ORGANIZATION: A chart showing the QC organizational structure.
- b. NAMES AND QUALIFICATIONS: Names and qualifications, in resume format, for each person in the QC organization. Include the CQM for Contractors course certifications for the QC Manager and Alternate QC Manager as required by the paragraphs entitled "Construction Quality Management Training" and "Alternate QC Manager Duties and Qualifications".
- c. DUTIES, RESPONSIBILITY AND AUTHORITY OF QC PERSONNEL: Duties, responsibilities, and authorities of each person in the QC organization.
- d. OUTSIDE ORGANIZATIONS: A listing of outside organizations, such as architectural and consulting engineering firms, that will be employed by the Contractor and a description of the services these firms will provide.
- e. APPOINTMENT LETTERS: Letters signed by an officer of the firm appointing the QC Manager and Alternate QC Manager and stating that they are responsible for implementing and managing the QC program as described in this Contract. Include in this letter the responsibility of the QC Manager and Alternate QC Manager to implement and manage the

three phases of control, and their authority to stop work which is not in compliance with the Contract.

- f. SUBMITTAL PROCEDURES AND INITIAL SUBMITTAL REGISTER: Procedures for reviewing, approving, and managing submittals. Provide the name(s) of the person(s) in the QC organization authorized to review and certify submittals prior to submitting for approval. Provide the initial submittal of the Submittal Register as specified in Section 01 33 00 SUBMITTAL PROCEDURES.
- g. TESTING LABORATORY INFORMATION: Testing laboratory information required by the paragraphs entitled "Accreditation Requirements", as applicable.
- h. TESTING PLAN AND LOG: A Testing Plan and Log that includes the tests required, referenced by the specification paragraph number requiring the test, the frequency, and the person responsible for each test. Use Government forms to log and track tests.
- i. PROCEDURES TO COMPLETE REWORK ITEMS: Procedures to identify, record, track, and complete rework items. Use Government forms to record and track rework items.
- j. DOCUMENTATION PROCEDURES: Use Government form.
- k. LIST OF DEFINABLE FEATURES: A Definable Feature of Work (DFOW) is a task that is separate and distinct from other tasks and has control requirements and work crews unique to that task. A DFOW is identified by different trades or disciplines and is an item or activity on the construction schedule. Include in the list of DFOWs, but not be limited to, all critical path activities on the construction schedule. Include all activities for which this specification requires QC Specialists or specialty inspection personnel. Provide separate DFOWs in the construction schedule for each design development stage and submittal package.
- l. PROCEDURES FOR PERFORMING THE THREE PHASES OF CONTROL: Identify procedures used to ensure the three phases of control to manage the quality on this project. For each DFOW, a Preparatory and Initial phase checklist will be filled out during the Preparatory and Initial phase meetings. Conduct the Preparatory and Initial Phases and meetings with a view towards obtaining quality construction by planning ahead and identifying potential problems for each DFOW.
- m. PERSONNEL MATRIX: A personnel matrix showing for each section of the specification who will review and certify submittals, who will perform and document the three phases of control, and who will perform and document the testing.
- n. PROCEDURES FOR COMPLETION INSPECTION: Procedures for identifying and documenting the completion inspection process. Include in these procedures the responsible party for punch out inspection, pre-final inspection, and final acceptance inspection.
- o. TRAINING PROCEDURES AND TRAINING LOG: Procedures for coordinating and documenting the training of personnel required by the Contract.
- p. ORGANIZATION AND PERSONNEL CERTIFICATIONS LOG: Procedures for coordinating, tracking and documenting all certifications on

subcontractors, testing laboratories, suppliers, personnel, etc. QC Manager will ensure that certifications are current, appropriate for the work being performed, and will not lapse during any period of the contract that the work is being performed.

#### 1.7 COORDINATION AND MUTUAL UNDERSTANDING MEETING

After submission of the QC Plan, and prior to Government approval and the start of construction, the QC Manager will meet with the Contracting Officer to present the QC program required by this Contract. When a new QC Manager is appointed, the coordination and mutual understanding meeting must be repeated.

##### 1.7.1 Purpose

The purpose of this meeting is to develop a mutual understanding of the QC details, including documentation, administration for on-site and off-site work, design intent, environmental requirements and procedures, coordination of activities to be performed, and the coordination of the Contractor's management, production, and QC personnel. At the meeting, the Contractor will be required to explain in detail how three phases of control will be implemented for each DFOW, as well as how each DFOW will be affected by each management plan or requirement as listed below:

- a. Waste Management Plan.
- b. IAQ Management Plan.
- c. Procedures for noise and acoustics management.
- d. Environmental Protection Plan.
- e. Environmental regulatory requirements.

##### 1.7.2 Coordination of Activities

Coordinate activities included in various sections to assure efficient and orderly installation of each component. Coordinate operations included under different sections that are dependent on each other for proper installation and operation. Schedule construction operations with consideration for indoor air quality as specified in the IAQ Management Plan.

##### 1.7.3 Attendees

As a minimum, the Contractor's personnel required to attend include an officer of the firm, the Project Manager, Project Superintendent, QC Manager, Alternate QC Manager, and subcontractor representatives. Each subcontractor who will be assigned QC responsibilities must have a principal of the firm at the meeting. Minutes of the meeting will be prepared by the QC Manager and signed by the Contractor and the Contracting Officer. Provide a copy of the signed minutes to all attendees and include in the QC Plan.

#### 1.8 QC MEETINGS

After the start of construction, conduct QC meetings once every two weeks by the QC Manager at the work site with the Project Superintendent, and the foremen who are performing the work of the DFOWs. The QC Manager is to prepare the minutes of the meeting and provide a copy to the Contracting Officer within two working days after the meeting. The Contracting Officer may attend these meetings. As a minimum, accomplish the following at each meeting:

- a. Review the minutes of the previous meeting.
- b. Review the schedule and the status of work and rework.
- c. Review the status of submittals.
- d. Review the work to be accomplished in the next two weeks and documentation required.
- e. Resolve QC and production problems (RFI, etc.).
- f. Address items that may require revising the QC Plan.
- g. Review Accident Prevention Plan (APP).
- h. Review environmental requirements and procedures.
- i. Review Waste Management Plan.
- j. Review IAQ Management Plan.
- k. Review Environmental Management Plan.

#### 1.9 THREE PHASES OF CONTROL

Adequately cover both on-site and off-site work with the Three Phases of Control and include the following for each DFOV.

##### 1.9.1 Preparatory Phase

Notify the Contracting Officer at least two work days in advance of each preparatory phase meeting. The meeting will be conducted by the QC Manager and attended by the Project Superintendent, and the foreman responsible for the DFOV. When the DFOV will be accomplished by a subcontractor, that subcontractor's foreman must attend the preparatory phase meeting. Document the results of the preparatory phase actions in the daily Contractor Quality Control Report and in the Preparatory Phase Checklist. Perform the following prior to beginning work on each DFOV:

- a. Review each paragraph of the applicable specification sections.
- b. Review the Contract drawings.
- c. Verify that field measurements are as indicated on construction and/or shop drawings before confirming product orders, in order to minimize waste due to excessive materials.
- d. Verify that appropriate shop drawings and submittals for materials and equipment have been submitted and approved. Verify receipt of approved factory test results, when required.
- e. Review the testing plan and ensure that provisions have been made to provide the required QC testing.
- f. Examine the work area to ensure that the required preliminary work has been completed.
- g. Coordinate the schedule of product delivery to designated prepared areas in order to minimize site storage time and potential damage to stored materials.
- h. Arrange for the return of shipping/packaging materials, such as wood pallets, where economically feasible.
- i. Examine the required materials, equipment and sample work to ensure that they are on hand and conform to the approved shop drawings and submitted data and are properly stored.

- j. Discuss specific controls used and construction methods, construction tolerances, workmanship standards, and the approach that will be used to provide quality construction by planning ahead and identifying potential problems for each DFOW.
- k. Review the APP and appropriate Activity Hazard Analysis (AHA) to ensure that applicable safety requirements are met, and that required Safety Data Sheets (SDS) are submitted.

#### 1.9.2 Initial Phase

Notify the Contracting Officer at least two work days in advance of each initial phase. When construction crews are ready to start work on a DFOW, conduct the initial phase with the Project Superintendent, and the foreman responsible for that DFOW. Observe the initial segment of the DFOW to ensure that the work complies with Contract requirements. Document the results of the initial phase in the daily CQC Report and in the Initial Phase Checklist. Repeat the initial phase for each new crew to work on-site, or when acceptable levels of specified quality are not being met. Perform the following for each DFOW:

- a. Establish level of workmanship and verify that it meets the minimum acceptable workmanship standards. Compare with required sample panels as appropriate.
- b. Resolve any workmanship issues.
- c. Ensure that testing is performed by the approved laboratory.
- d. Check work procedures for compliance with the APP and the appropriate AHA to ensure that applicable safety requirements are met.
- e. Review project specific work plans (i.e. Cx, HAZMAT Abatement, Stormwater Management) to ensure all preparatory work items have been completed and documented.

#### 1.9.3 Follow-Up Phase

Perform the following for on-going work daily, or more frequently as necessary, until the completion of each DFOW and document in the daily CQC Report:

- a. Ensure the work is in compliance with Contract requirements.
- b. Maintain the quality of workmanship required.
- c. Ensure that testing is performed by the approved laboratory.
- d. Ensure that rework items are being corrected.
- e. Assure manufacturers representatives have performed necessary inspections if required and perform safety inspections.
- f. Review the Cx Plan and ensure all work items, testing, and documentation has been completed.

#### 1.9.4 Additional Preparatory and Initial Phases

Conduct additional preparatory and initial phases on the same DFOW if the

quality of on-going work is unacceptable, if there are changes in the applicable QC organization, if there are changes in the on-site production supervision or work crew, if work on a DFOW is resumed after substantial period of inactivity, or if other problems develop.

#### 1.9.5 Notification of Three Phases of Control for Off-Site Work

Notify the Contracting Officer at least two weeks prior to the start of the preparatory and initial phases.

#### 1.10 SUBMITTAL REVIEW AND CERTIFICATION

Procedures for submission, review and certification of submittals are described in Section 01 33 00 SUBMITTAL PROCEDURES.

#### 1.11 TESTING

Except as stated otherwise in the specification sections, perform sampling and testing required under this Contract.

##### 1.11.1 Accreditation Requirements

Construction materials testing laboratories must be accredited by a laboratory accreditation authority and will be required to submit a copy of the Certificate of Accreditation and Scope of Accreditation. The laboratory's scope of accreditation must include the appropriate ASTM standards (E 329, C 1077, D 3666, D 3740, A 880, E 543) listed in the technical sections of the specifications. Laboratories engaged in Hazardous Materials Testing must meet the requirements of OSHA and EPA. The policy applies to the specific laboratory performing the actual testing, not just the Corporate Office.

##### 1.11.2 Laboratory Accreditation Authorities

Laboratory Accreditation Authorities include the National Voluntary Laboratory Accreditation Program (NVLAP) administered by the National Institute of Standards and Technology at <http://ts.nist.gov/ts/htdocs/210/214/214.htm> , the American Association of State Highway and Transportation Officials (AASHTO) program at <http://www.amrl.net/amrlsitefinity/default/aap.aspx> , International Accreditation Services, Inc. (IAS) at <http://www.iasonline.org>, U. S. Army Corps of Engineers Materials Testing Center (MTC) at <http://gsl.erdc.usace.army.mil/SL/MTC/>, the American Association for Laboratory Accreditation (A2LA) program at <http://www.a2la.org/>.

##### 1.11.3 Capability Check

The Contracting Officer retains the right to check laboratory equipment in the proposed laboratory and the laboratory technician's testing procedures, techniques, and other items pertinent to testing, for compliance with the standards set forth in this Contract.

##### 1.11.4 Test Results

Cite applicable Contract requirements, tests or analytical procedures used. Provide actual results and include a statement that the item tested or analyzed conforms or fails to conform to specified requirements. If the item fails to conform, notify the Contracting Officer immediately. Conspicuously stamp the cover sheet for each report in large red letters

"CONFORMS" or "DOES NOT CONFORM" to the specification requirements, whichever is applicable. Test results must be signed by a testing laboratory representative authorized to sign certified test reports. Furnish the signed reports, certifications, and other documentation to the Contracting Officer via the QC Manager. Furnish a summary report of field tests at the end of each month, in accordance with paragraph INFORMATION FOR THE CONTRACTING OFFICER.

#### 1.11.5 Test Reports and Monthly Summary Report of Tests

Furnish the signed reports, certifications, and a summary report of field tests at the end of each month to the Contracting Officer. Attach a copy of the summary report to the last daily CQC Report of each month. Provide a copy of the signed test reports and certifications to the OMSI preparer for inclusion into the OMSI documentation.

#### 1.12 QC CERTIFICATIONS

##### 1.12.1 CQC Report Certification

Contain the following statement within the CQC Report: "On behalf of the Contractor, I certify that this report is complete and correct and equipment and material used and work performed during this reporting period is in compliance with the contract drawings and specifications to the best of my knowledge, except as noted in this report".

##### 1.12.2 Invoice Certification

Furnish a certificate to the Contracting Officer with each payment request, signed by the QC Manager, attesting that as-built drawings are current, coordinated and attesting that the work for which payment is requested, including stored material, is in compliance with Contract requirements.

##### 1.12.3 Completion Certification

Upon completion of work under this Contract, the QC Manager must furnish a certificate to the Contracting Officer attesting that "the work has been completed, inspected, tested and is in compliance with the Contract". Provide a copy of this final QC Certification for completion to the OMSI preparer for inclusion into the OMSI documentation.

#### 1.13 COMPLETION INSPECTIONS

##### 1.13.1 Punch-Out Inspection

Near the completion of all work or any increment thereof, established by a completion time stated in the Contract Clause entitled "Commencement, Prosecution, and Completion of Work", or stated elsewhere in the specifications, the QC Manager and the CA must conduct an inspection of the work and develop a "punch list" of items which do not conform to the approved drawings, specifications and Contract. Include in the punch list any remaining items on the "Rework Items List", which were not corrected prior to the Punch-Out Inspection. Include within the punch list the estimated date by which the deficiencies will be corrected. Provide a copy of the punch list to the Contracting Officer. The QC Manager, or staff, must make follow-on inspections to ascertain that all deficiencies have been corrected. Once this is accomplished, notify the Government that the facility is ready for the Government "Pre-Final Inspection".

### 1.13.2 Pre-Final Inspection

The Government and QCM will perform this inspection to verify that the facility is complete and ready to be occupied. A Government "Pre-Final Punch List" will be documented by the QCM as a result of this inspection. The QC Manager will ensure that all items on this list are corrected prior to notifying the Government that a "Final" inspection with the Client can be scheduled. Any items noted on the "Pre-Final" inspection must be corrected in a timely manner and be accomplished before the contract completion date for the work, or any particular increment thereof, if the project is divided into increments by separate completion dates.

### 1.13.3 Final Acceptance Inspection

Notify the Contracting Officer at least 14 calendar days prior to the date a final acceptance inspection can be held. State within the notice that all items previously identified on the pre-final punch list will be corrected and acceptable, along with any other unfinished Contract work, by the date of the final acceptance inspection. The Contractor must be represented by the QC Manager, the Project Superintendent, the CA, and others deemed necessary. Attendees for the Government will include the Contracting Officer, other FEAD personnel, and personnel representing the Client. Failure of the Contractor to have all contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with the Contract Clause entitled "Inspection of Construction".

## 1.14 DOCUMENTATION

Maintain current and complete records of on-site and off-site QC program operations and activities.

### 1.14.1 Construction Documentation

Reports are required for each day that work is performed and must be attached to the CQC Report prepared for the same day. Maintain current and complete records of on-site and off-site QC program operations and activities. The forms identified under the paragraph "INFORMATION FOR THE CONTRACTING OFFICER" will be used. Reports are required for each day work is performed. Account for each calendar day throughout the life of the Contract. Every space on the forms must be filled in. Use N/A if nothing can be reported in one of the spaces. The Project Superintendent and the QC Manager must prepare and sign the Contractor Production and CQC Reports, respectively. The reporting of work must be identified by terminology consistent with the construction schedule. In the "remarks" sections of the reports, enter pertinent information including directions received, problems encountered during construction, work progress and delays, conflicts or errors in the drawings or specifications, field changes, safety hazards encountered, instructions given and corrective actions taken, delays encountered and a record of visitors to the work site, quality control problem areas, deviations from the QC Plan, construction deficiencies encountered, meetings held. For each entry in the report(s), identify the Schedule Activity No. that is associated with the entered remark.

### 1.14.2 Quality Control Validation

Establish and maintain the following in a series of three ring binders.

Binders must be divided and tabbed as shown below. These binders must be readily available to the Contracting Officer during all business hours.

- a. All completed Preparatory and Initial Phase Checklists, arranged by specification section.
- b. All milestone inspections, arranged by Activity Number.
- c. An up-to-date copy of the Testing Plan and Log with supporting field test reports, arranged by specification section.
- d. Copies of all contract modifications, arranged in numerical order. Also include documentation that modified work was accomplished.
- e. An up-to-date copy of the Rework Items List.
- f. Maintain up-to-date copies of all punch lists issued by the QC staff to the Contractor and Sub-Contractors and all punch lists issued by the Government.
- g. Commissioning documentation including Cx checklists, schedules, tests, and reports.

#### 1.14.3 Testing Plan and Log

As tests are performed, the QC Manager will record on the "Testing Plan and Log" the date the test was performed and the date the test results were forwarded to the Contracting Officer. Attach a copy of the updated "Testing Plan and Log" to the last daily CQC Report of each month, per the paragraph "INFORMATION FOR THE CONTRACTING OFFICER". Provide a copy of the final "Testing Plan and Log" to the OMSI preparer for inclusion into the OMSI documentation.

#### 1.14.4 Rework Items List

The QC Manager must maintain a list of work that does not comply with the Contract, identifying what items need to be reworked, the date the item was originally discovered, the date the item will be corrected by, and the date the item was corrected. There is no requirement to report a rework item that is corrected the same day it is discovered. Attach a copy of the "Rework Items List" to the last daily CQC Report of each month. The Contractor is responsible for including those items identified by the Contracting Officer.

#### 1.14.5 As-Built Drawings

The QC Manager is required to ensure the as-built drawings, required by Section 01 78 00 CLOSEOUT SUBMITTALS are kept current on a daily basis and marked to show deviations which have been made from the Contract drawings. Ensure each deviation has been identified with the appropriate modifying documentation (e.g. PC No., Modification No., Request for Information No., etc.). The QC Manager must initial each revision. Upon completion of work, the QC Manager will furnish a certificate attesting to the accuracy of the as-built drawings prior to submission to the Contracting Officer.

#### 1.15 NOTIFICATION ON NON-COMPLIANCE

The Contracting Officer will notify the Contractor of any detected

non-compliance with the Contract. Take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, is deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders will be made the subject of claim for extension of time for excess costs or damages by the Contractor.

#### 1.16 CONSTRUCTION INDOOR AIR QUALITY (IAQ) MANAGEMENT PLAN

Submit an IAQ Management Plan within 15 days after notice to proceed and not less than 10 days before the preconstruction meeting. Revise and resubmit Plan as required by the Contracting Officer. Make copies of the final plan available to all workers on site. Include provisions in the Plan to meet the requirements specified below and to ensure safe, healthy air for construction workers and building occupants.

##### 1.16.1 Requirements During Construction

Provide for evaluation of indoor Carbon Dioxide concentrations in accordance with ASTM D6245. Provide for evaluation of volatile organic compounds (VOCs) in indoor air in accordance with ASTM D6345. Use filters with a Minimum Efficiency Reporting Value (MERV) of 8 in permanently installed air handlers during construction.

##### 1.16.1.1 Control Measures

Meet or exceed the requirements of ANSI/SMACNA 008, Chapter 3, to help minimize contamination of the building from construction activities. The five requirements of this manual which must be adhered to are described below:

- a. HVAC protection: Isolate return side of HVAC system from surrounding environment to prevent construction dust and debris from entering the duct work and spaces.
- b. Source control: Use low emitting paints and other finishes, sealants, adhesives, and other materials as specified. When available, cleaning products must have a low VOC content and be non-toxic to minimize building contamination. Utilize cleaning techniques that minimize dust generation. Cycle equipment off when not needed. Prohibit idling motor vehicles where emissions could be drawn into building. Designate receiving/storage areas for incoming material that minimize IAQ impacts.
- c. Pathway interruption: When pollutants are generated use strategies such as 100 percent outside air ventilation or erection of physical barriers between work and non-work areas to prevent contamination.
- d. Housekeeping: Clean frequently to remove construction dust and debris. Promptly clean up spills. Remove accumulated water and keep work areas dry to discourage the growth of mold and bacteria. Take extra measures when hazardous materials are involved.
- e. Scheduling: Control the sequence of construction to minimize the absorption of VOCs by other building materials.

1.16.1.2 Moisture Contamination

- a. Remove accumulated water and keep work dry.
- b. Use dehumidification to remove moist, humid air from a work area.
- c. Do not use combustion heaters or generators inside the building.
- d. Protect porous materials from exposure to moisture.
- e. Remove and replace items which remain damp for more than a few hours.

1.16.2 Requirements after Construction

After construction ends and prior to occupancy, conduct a building flush-out or test the indoor air contaminant levels. Flush-out must be a minimum two-weeks with MERV-13 filtration media as determined by ASHRAE 52.2 at 100 percent outside air. Air contamination testing must be consistent with EPA's current Compendium of Methods for the Determination of Air Pollutants in Indoor Air. After building flush-out or testing and prior to occupancy, replace filtration media. Filtration media must have a MERV of 13 as determined by ASHRAE 52.2.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 PREPARATION

Designate receiving/storage areas for incoming material to be delivered according to installation schedule and to be placed convenient to work area in order to minimize waste due to excessive materials handling and misapplication. Store and handle materials in a manner as to prevent loss from weather and other damage. Keep materials, products, and accessories covered and off the ground, and store in a dry, secure area. Prevent contact with material that may cause corrosion, discoloration, or staining. Protect all materials and installations from damage by the activities of other trades.

-- End of Section --

SECTION 01 50 00

TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS  
05/18

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 241 (2013; Errata 2015) Standard for Safeguarding Construction, Alteration, and Demolition Operations

NFPA 70 (2017; ERTA 1-2 2017; TIA 17-1; TIA 17-2; TIA 17-3; TIA 17-4; TIA 17-5; TIA 17-6; TIA 17-7; TIA 17-8; TIA 17-9; TIA 17-10; TIA 17-11; TIA 17-12; TIA 17-13; TIA 17-14; TIA 17-15; TIA 17-16; TIA 17-17 ) National Electrical Code

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2014) Safety and Health Requirements Manual

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Site Plan

1.3 CONSTRUCTION SITE PLAN

Prior to the start of work, submit a site plan showing the locations and dimensions of temporary facilities (including layouts and details, equipment and material storage area (onsite and offsite), and access and haul routes, avenues of ingress/egress to the fenced area and details of the fence installation. Identify any areas which may have to be graveled to prevent the tracking of mud. Indicate if the use of a supplemental or other staging area is desired. Show locations of safety and construction fences, site trailers, construction entrances, trash dumpsters, temporary sanitary facilities, and worker parking areas.

1.4 DOD CONDITION OF READINESS (COR)

DOD will set the Condition of Readiness (COR) based on the weather forecast for sustained winds 50 knots (60mph) or greater. Contact the Contracting Officer for the current COR setting.

Monitor weather conditions a minimum of twice a day and take appropriate actions according to the approved Emergency Plan in the accepted Accident Prevention Plan, EM-385-1-1 Section 01 Emergency Planning and the instructions below.

Unless otherwise directed by the Contracting Officer, comply with:

- a. Condition FOUR (Sustained winds of 50 knots or greater expected within 72 hours): Normal daily jobsite cleanup and good housekeeping practices. Collect and store in piles or containers scrap lumber, waste material, and rubbish for removal and disposal at the close of each work day. Maintain the construction site including storage areas, free of accumulation of debris. Stack form lumber in neat piles less than 4 feet high. Remove all debris, trash, or objects that could become missile hazards.
- b. Condition THREE (Sustained winds of 50 knots or greater expected within 48 hours): Maintain "Condition FOUR" requirements and commence securing operations necessary for "Condition ONE" which cannot be completed within 18 hours. Cease all routine activities which might interfere with securing operations. Commence securing and stow all gear and portable equipment. Make preparations for securing buildings. Review requirements pertaining to "Condition TWO" and continue action as necessary to attain "Condition THREE" readiness.
- c. Condition TWO (Sustained winds of 50 knots or greater expected within 24 hours): Curtail or cease routine activities until securing operation is complete. Reinforce or remove form work and scaffolding. Secure machinery, tools, equipment, materials, or remove from the jobsite. Expend every effort to clear all missile hazards and loose equipment from general base areas.
- d. Condition ONE. (Sustained winds of 50 knots or greater expected within 12 hours): Secure the jobsite, and leave Government premises.

## PART 2 PRODUCTS

### 2.1 TEMPORARY SIGNAGE

#### 2.1.1 Bulletin Board

Within one calendar day of mobilization on site and prior to the commencement of work activities, provide a clear weatherproof covered bulletin board not less than 36 by 48 inches in size for displaying the Equal Employment Opportunity poster, a copy of the wage decision contained in the contract, Wage Rate Information poster, Safety and Health Information as required by EM 385-1-1 Section 01 and other information approved by the Contracting Officer. Coordinate requirements herein with 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS.

#### 2.1.2 Warning Signs

Post temporary signs, tags, and labels to give workers and the public adequate warning and caution of construction hazards according to the EM 385-1-1 Section 04. Attach signs to the perimeter fencing every 150 feet warning the public of the presence of construction hazards. Signs must require unauthorized persons to keep out of the construction site. Correct the data required by safety signs daily.

## 2.2 TEMPORARY WIRING

Provide temporary wiring in accordance with EM 385-1-1 Section 11, NFPA 241 and NFPA 70. Include monthly inspection and testing of all equipment and apparatus.

## PART 3 EXECUTION

### 3.1 EMPLOYEE PARKING

Construction contract employees will park privately owned vehicles in an area designated by the Contracting Officer. This area will be within reasonable walking distance of the construction site. Employee parking must not interfere with existing and established parking requirements of the government installation.

### 3.2 TEMPORARY BULLETIN BOARD

Locate the bulletin board at the project site in a conspicuous place easily accessible to all employees, as approved by the Contracting Officer.

### 3.3 AVAILABILITY AND USE OF UTILITY SERVICES

#### 3.3.1 Temporary Utilities

Provide temporary utilities required for construction. Materials may be new or used, must be adequate for the required usage, not create unsafe conditions, and not violate applicable codes and standards.

#### 3.3.2 Payment for Utility Services

- a. The Government will make all reasonably required utilities available from existing outlets and supplies, as specified in the contract. Unless otherwise provided in the contract, the amount of each utility service consumed will be charged to or paid at prevailing rates charged to the Government or, where the utility is produced by the Government, at reasonable rates determined by the Contracting Officer. Carefully conserve any utilities furnished without charge.
- b. Reasonable amounts of utilities will be made available at the prevailing rates.
- c. The point at which the Government will deliver such utilities or services and the quantity available is as indicated. Pay all costs incurred in connecting, converting, and transferring the utilities to the work.

#### 3.3.3 Meters and Temporary Connections

Provide and maintain necessary temporary connections, distribution lines, and meter bases required to measure the amount of each utility used for the purpose of determining charges. Notify the Contracting Officer, in writing, 5 working days before final electrical connection is desired so that a utilities contract can be established. The Government will make the final hot connection after inspection and approval of the Contractor's temporary wiring installation. Do not make the final electrical connection.

### 3.3.4 Advance Deposit

An advance deposit for utilities consisting of an estimated month's usage or a minimum of \$50.00 will be required. The last monthly bills for the fiscal year will normally be offset by the deposit and adjustments will be billed or returned as appropriate. Services to be rendered for the next fiscal year, beginning 1 October, will require a new deposit. Notification of the due date for this deposit will be mailed prior to the end of the current fiscal year.

### 3.3.5 Final Meter Reading

Before completion of the work and final acceptance of the work by the Government, notify the Contracting Officer, in writing, 5 working days before termination is desired. The Government will take a final meter reading, disconnect service, and remove the meters. Then remove all the temporary distribution lines, meter bases, and associated paraphernalia. Pay all outstanding utility bills before final acceptance of the work by the Government.

### 3.3.6 Utilities at Special Locations

- a. Reasonable amounts of utilities will be made available at the prevailing Government rates. These rates may be obtained upon application to the Commanding Officer, MCAS Cherry Point, by way of the Contracting Officer. Making connections, provide transformers and meters, and disconnections; and provide backflow preventer devices on connections to domestic water lines. Neither potable water nor sanitary facilities will be available at the main Contractor laydown area at Marine Corps Air Station (MCAS), Cherry Point, NC.

### 3.3.7 Sanitation

- a. Provide and maintain within the construction area minimum field-type sanitary facilities approved by the Contracting Officer and periodically empty wastes into a municipal, district, or station sanitary sewage system, or remove waste to a commercial facility. Obtain approval from the system owner prior to discharge into any municipal, district, or commercial sanitary sewer system. Any penalties or fines associated with improper discharge will be the responsibility of the Contractor. Coordinate with the Contracting Officer and follow station regulations and procedures when discharging into the station sanitary sewer system. Maintain these conveniences at all times. Include provisions for pest control and elimination of odors. Government toilet facilities will not be available to Contractor's personnel.

### 3.3.8 Telephone

Make arrangements and pay all costs for telephone facilities desired.

### 3.3.9 Fire Protection

Provide temporary fire protection equipment for the protection of personnel and property during construction. Remove debris and flammable materials daily to minimize potential hazards.

### 3.4 CONTRACTOR'S TEMPORARY FACILITIES

Temporary facilities will meet requirements as identified in EM 385-1-1 Section 04.

#### 3.4.1 Storage Area

Construct a temporary 6 foot high chain link fence around trailers and materials. Include plastic strip inserts so that visibility through the fence is obstructed. Fence posts may be driven, in lieu of concrete bases, where soil conditions permit. Do not place or store trailers, materials, or equipment outside the fenced area unless such trailers, materials, or equipment are assigned a separate and distinct storage area by the Contracting Officer away from the vicinity of the construction site but within the installation boundaries. Trailers, equipment, or materials must not be open to public view with the exception of those items which are in support of ongoing work on any given day. Do not stockpile materials outside the fence in preparation for the next day's work. Park mobile equipment, such as tractors, wheeled lifting equipment, cranes, trucks, and like equipment within the fenced area at the end of each work day.

#### 3.4.2 Supplemental Storage Area

Upon request, and pending availability, the Contracting Officer will designate another or supplemental area for the use and storage of trailers, equipment, and materials. This area may not be in close proximity of the construction site but will be within the installation boundaries. The area will be maintained in an clean and orderly fashion and secured if needed to protect supplies and equipment. Utilities will not be provided to this area by the Government.

#### 3.4.3 Appearance of Trailers

- a. Trailers which are rusted, have peeling paint or are otherwise in need of repair will not be allowed on Installation property. Trailers must present a clean and neat exterior appearance and be in a state of good repair.
- b. Paint using suitable paint and maintain the temporary facilities. Failure to do so will be sufficient reason to require their removal.

#### 3.4.4 Trailers or Storage Buildings

- a. Trailers or storage buildings will be permitted, where space is available, subject to the approval of the Contracting Officer. The trailers or buildings shall be in good condition, free from visible damage rust and deterioration, and meet all applicable safety requirements. Trailers shall be roadworthy and comply with all appropriate state and local vehicle requirements. Failure to maintain storage trailers or buildings to these standards may result in the removal of non-complying units at the Contractor's expense. A sign not smaller than 24 by 24 inches shall be conspicuously placed on the trailer depicting the company name, business phone number, and emergency phone number. Trailers must be anchored to resist high winds and must meet applicable state of local standards for anchoring mobile trailers. Coordinate anchoring with EM 385-1-1 Section 04.

#### 3.4.5 Maintenance of Storage Area

- a. Keep fencing in a state of good repair and proper alignment. Grassed or unpaved areas, which are not established roadways, and will be traversed with construction equipment or other vehicles, will be covered with a layer of gravel as necessary to prevent rutting and the tracking of mud onto paved or established roadways, should the Contractor elect to traverse them with construction equipment or other vehicles. Mow and maintain grass located within the boundaries of the construction site for the duration of the project. Grass and vegetation along fences, buildings, under trailers, and in areas not accessible to mowers will be edged or trimmed neatly.

#### 3.4.6 Security Provisions

Provide adequate outside security lighting at the temporary facilities. The Contractor will be responsible for the security of its own equipment.

#### 3.4.7 Weather Protection of Temporary Facilities and Stored Materials

Take necessary precautions to ensure that roof openings and other critical openings in the building are monitored carefully. Take immediate actions required to seal off such openings when rain or other detrimental weather is imminent, and at the end of each workday. Ensure that the openings are completely sealed off to protect materials and equipment in the building from damage.

##### 3.4.7.1 Building and Site Storm Protection

When a warning of gale force winds is issued, take precautions to minimize danger to persons, and protect the work and nearby Government property. Precautions must include, but are not limited to, closing openings; removing loose materials, tools and equipment from exposed locations; and removing or securing scaffolding and other temporary work. Close openings in the work when storms of lesser intensity pose a threat to the work or any nearby Government property.

#### 3.5 TEMPORARY PROJECT SAFETY FENCING

As soon as practicable, but not later than 15 days after the date established for commencement of work, furnish and erect temporary project safety fencing at the work site. Maintain the safety fencing during the life of the contract and, upon completion and acceptance of the work, remove from the work site.

#### 3.6 CLEANUP

Remove construction debris, waste materials, packaging material and the like from the work site daily. Any dirt or mud which is tracked onto paved or surfaced roadways must be cleaned away. Store any salvageable materials resulting from demolition activities within the fenced area described above or at the supplemental storage area. Neatly stack stored materials not in trailers, whether new or salvaged.

#### 3.7 RESTORATION OF STORAGE AREA

Upon completion of the project remove the bulletin board, signs, barricades, haul roads, and any other temporary products from the site. After removal of trailers, materials, and equipment from within the fenced

REPAIR FOUR ELEVATORS, BUILDING 4881  
N40085-15-D4709

area, remove the fence. Restore areas used during the performance of the contract to the original or better condition. Remove gravel used to traverse grassed areas and restore the area to its original condition, including top soil and seeding as necessary.

-- End of Section --

SECTION 01 57 19

TEMPORARY ENVIRONMENTAL CONTROLS

11/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.120	Hazardous Waste Operations and Emergency Response
40 CFR 241	Guidelines for Disposal of Solid Waste
40 CFR 243	Guidelines for the Storage and Collection of Residential, Commercial, and Institutional Solid Waste
40 CFR 258	Subtitle D Landfill Requirements
40 CFR 260	Hazardous Waste Management System: General
40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 261.7	Residues of Hazardous Waste in Empty Containers
40 CFR 262	Standards Applicable to Generators of Hazardous Waste
40 CFR 262.31	Standards Applicable to Generators of Hazardous Waste-Labeling
40 CFR 262.34	Standards Applicable to Generators of Hazardous Waste-Accumulation Time
40 CFR 263	Standards Applicable to Transporters of Hazardous Waste
40 CFR 264	Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 265	Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 266	Standards for the Management of Specific Hazardous Wastes and Specific Types of Hazardous Waste Management Facilities

REPAIR FOUR ELEVATORS, BUILDING 4881  
N40085-15-D4709

40 CFR 268	Land Disposal Restrictions
40 CFR 273	Standards For Universal Waste Management
40 CFR 273.2	Standards for Universal Waste Management - Batteries
40 CFR 273.4	Standards for Universal Waste Management - Mercury Containing Equipment
40 CFR 273.5	Standards for Universal Waste Management - Lamps
40 CFR 279	Standards for the Management of Used Oil
40 CFR 300	National Oil and Hazardous Substances Pollution Contingency Plan
40 CFR 300.125	National Oil and Hazardous Substances Pollution Contingency Plan - Notification and Communications
40 CFR 355	Emergency Planning and Notification
40 CFR 403	General Pretreatment Regulations for Existing and New Sources of Pollution
40 CFR 50	National Primary and Secondary Ambient Air Quality Standards
40 CFR 64	Compliance Assurance Monitoring
49 CFR 171	General Information, Regulations, and Definitions
49 CFR 172	Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
49 CFR 178	Specifications for Packagings

1.2 DEFINITIONS

1.2.1 Class I and II Ozone Depleting Substance (ODS)

Class I ODS is defined in Section 602(a) of The Clean Air Act. A list of Class I ODS can be found on the EPA website at the following weblink.  
<http://www.epa.gov/ozone/science/ods/classone.html>.

Class II ODS is defined in Section 602(s) of The Clean Air Act. A list of Class II ODS can be found on the EPA website at the following weblink.  
<http://www.epa.gov/ozone/science/ods/classtwo.html>.

#### 1.2.2 Contractor Generated Hazardous Waste

Contractor generated hazardous waste is materials that, if abandoned or disposed of, may meet the definition of a hazardous waste. These waste streams would typically consist of material brought on site by the Contractor to execute work, but are not fully consumed during the course of construction. Examples include, but are not limited to, excess paint thinners (i.e. methyl ethyl ketone, toluene), waste thinners, excess paints, excess solvents, waste solvents, excess pesticides, and contaminated pesticide equipment rinse water.

#### 1.2.3 Electronics Waste

Electronics waste is discarded electronic devices intended for salvage, recycling, or disposal.

#### 1.2.4 Environmental Pollution and Damage

Environmental pollution and damage is the presence of chemical, physical, or biological elements or agents which adversely affect human health or welfare; unfavorably alter ecological balances of importance to human life; affect other species of importance to humankind; or degrade the environment aesthetically, culturally or historically.

#### 1.2.5 Environmental Protection

Environmental protection is the prevention/control of pollution and habitat disruption that may occur to the environment during construction. The control of environmental pollution and damage requires consideration of land, water, and air; biological and cultural resources; and includes management of visual aesthetics; noise; solid, chemical, gaseous, and liquid waste; radiant energy and radioactive material as well as other pollutants.

#### 1.2.6 Hazardous Debris

As defined in paragraph SOLID WASTE, debris that contains listed hazardous waste (either on the debris surface, or in its interstices, such as pore structure) in accordance with 40 CFR 261. Hazardous debris also includes debris that exhibits a characteristic of hazardous waste in accordance with 40 CFR 261.

#### 1.2.7 Hazardous Materials

Hazardous materials as defined in 49 CFR 171 and listed in 49 CFR 172.

Hazardous material is any material that: Is regulated as a hazardous material in accordance with 49 CFR 173; or requires a Safety Data Sheet (SDS) in accordance with 29 CFR 1910.120; or during end use, treatment, handling, packaging, storage, transportation, or disposal meets or has components that meet or have potential to meet the definition of a hazardous waste as defined by 40 CFR 261 Subparts A, B, C, or D. Designation of a material by this definition, when separately regulated or controlled by other sections or directives, does not eliminate the need for adherence to that hazard-specific guidance which takes precedence over this section for "control" purposes. Such material includes ammunition, weapons, explosive actuated devices, propellants, pyrotechnics, chemical and biological warfare materials, medical and pharmaceutical supplies, medical waste and infectious materials, bulk fuels, radioactive materials,

and other materials such as asbestos, mercury, and polychlorinated biphenyls (PCBs).

#### 1.2.8 Hazardous Waste

Hazardous Waste is any material that meets the definition of a solid waste and exhibit a hazardous characteristic (ignitability, corrosivity, reactivity, or toxicity) as specified in 40 CFR 261, Subpart C, or contains a listed hazardous waste as identified in 40 CFR 261, Subpart D.

#### 1.2.9 Land Application

Land Application means spreading or spraying discharge water at a rate that allows the water to percolate into the soil. No sheeting action, soil erosion, discharge into storm sewers, discharge into defined drainage areas, or discharge into the "waters of the United States" must occur. Comply with federal, state, and local laws and regulations.

#### 1.2.10 Municipal Separate Storm Sewer System (MS4) Permit

MS4 permits are those held by installations to obtain NPDES permit coverage for their stormwater discharges.

#### 1.2.11 National Pollutant Discharge Elimination System (NPDES)

The NPDES permit program controls water pollution by regulating point sources that discharge pollutants into waters of the United States.

#### 1.2.12 Oily Waste

Oily waste are those materials that are, or were, mixed with Petroleum, Oils, and Lubricants (POLs) and have become separated from that POLs. Oily wastes also means materials, including wastewaters, centrifuge solids, filter residues or sludges, bottom sediments, tank bottoms, and sorbents which have come into contact with and have been contaminated by, POLs and may be appropriately tested and discarded in a manner which is in compliance with other state and local requirements.

This definition includes materials such as oily rags, "kitty litter" sorbent clay and organic sorbent material. These materials may be land filled provided that: It is not prohibited in other state regulations or local ordinances; the amount generated is "de minimus" (a small amount); it is the result of minor leaks or spills resulting from normal process operations; and free-flowing oil has been removed to the practicable extent possible. Large quantities of this material, generated as a result of a major spill or in lieu of proper maintenance of the processing equipment, are a solid waste. As a solid waste, perform a hazardous waste determination prior to disposal. As this can be an expensive process, it is recommended that this type of waste be minimized through good housekeeping practices and employee education.

#### 1.2.13 Regulated Waste

Regulated waste are solid wastes that have specific additional federal, state, or local controls for handling, storage, or disposal.

#### 1.2.14 Sediment

Sediment is soil and other debris that have eroded and have been

transported by runoff water or wind.

#### 1.2.15 Solid Waste

Solid waste is a solid, liquid, semi-solid or contained gaseous waste. A solid waste can be a hazardous waste, non-hazardous waste, or non-Resource Conservation and Recovery Act (RCRA) regulated waste. Types of solid waste typically generated at construction sites may include:

##### 1.2.15.1 Debris

Debris is non-hazardous solid material generated during the construction, demolition, or renovation of a structure that exceeds 2.5-inch particle size that is: a manufactured object; plant or animal matter; or natural geologic material (for example, cobbles and boulders), broken or removed concrete, masonry, and rock asphalt paving; ceramics; roofing paper and shingles. Inert materials may be reinforced with or contain ferrous wire, rods, accessories and weldments. A mixture of debris and other material such as soil or sludge is also subject to regulation as debris if the mixture is comprised primarily of debris by volume, based on visual inspection.

##### 1.2.15.2 Green Waste

Green waste is the vegetative matter from landscaping, land clearing and grubbing, including, but not limited to, grass, bushes, scrubs, small trees and saplings, tree stumps and plant roots. Marketable trees, grasses and plants that are indicated to remain, be re-located, or be re-used are not included.

##### 1.2.15.3 Material not regulated as solid waste

Material not regulated as solid waste is nuclear source or byproduct materials regulated under the Federal Atomic Energy Act of 1954 as amended; suspended or dissolved materials in domestic sewage effluent or irrigation return flows, or other regulated point source discharges; regulated air emissions; and fluids or wastes associated with natural gas or crude oil exploration or production.

##### 1.2.15.4 Non-Hazardous Waste

Non-hazardous waste is waste that is excluded from, or does not meet, hazardous waste criteria in accordance with 40 CFR 263.

##### 1.2.15.5 Recyclables

Recyclables are materials, equipment and assemblies such as doors, windows, door and window frames, plumbing fixtures, glazing and mirrors that are recovered and sold as recyclable, wiring, insulated/non-insulated copper wire cable, wire rope, and structural components. It also includes commercial-grade refrigeration equipment with Freon removed, household appliances where the basic material content is metal, clean polyethylene terephthalate bottles, cooking oil, used fuel oil, textiles, high-grade paper products and corrugated cardboard, stackable pallets in good condition, clean crating material, and clean rubber/vehicle tires. Metal meeting the definition of lead contaminated or lead based paint contaminated may be included as recyclable if sold to a scrap metal company. Paint cans that meet the definition of empty containers in accordance with 40 CFR 261.7 may be included as recyclable if sold to a

scrap metal company.

#### 1.2.15.6 Surplus Soil

Surplus soil is existing soil that is in excess of what is required for this work, including aggregates intended, but not used, for on-site mixing of concrete, mortars, and paving. Contaminated soil meeting the definition of hazardous material or hazardous waste is not included and must be managed in accordance with paragraph HAZARDOUS MATERIAL MANAGEMENT.

#### 1.2.15.7 Scrap Metal

This includes scrap and excess ferrous and non-ferrous metals such as reinforcing steel, structural shapes, pipe, and wire that are recovered or collected and disposed of as scrap. Scrap metal meeting the definition of hazardous material or hazardous waste is not included.

#### 1.2.15.8 Wood

Wood is dimension and non-dimension lumber, plywood, chipboard, hardboard. Treated or painted wood that meets the definition of lead contaminated or lead based contaminated paint is not included. Treated wood includes, but is not limited to, lumber, utility poles, crossties, and other wood products with chemical treatment.

#### 1.2.16 Surface Discharge

Surface discharge means discharge of water into drainage ditches, storm sewers, creeks or "waters of the United States". Surface discharges are discrete, identifiable sources and require a permit from the governing agency. Comply with federal, state, and local laws and regulations.

#### 1.2.17 Wastewater

Wastewater is the used water and solids from a community that flow to a treatment plant.

##### 1.2.17.1 Stormwater

Stormwater is any precipitation in an urban or suburban area that does not evaporate or soak into the ground, but instead collects and flows into storm drains, rivers, and streams.

#### 1.2.18 Waters of the United States

Waters of the United States means Federally jurisdictional waters, including wetlands, that are subject to regulation under Section 404 of the Clean Water Act or navigable waters, as defined under the Rivers and Harbors Act.

#### 1.2.19 Wetlands

Wetlands are those areas that are inundated or saturated by surface or groundwater at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions.

### 1.2.20 Universal Waste

The universal waste regulations streamline collection requirements for certain hazardous wastes in the following categories: batteries, pesticides, mercury-containing equipment (for example, thermostats), and lamps (for example, fluorescent bulbs). The rule is designed to reduce hazardous waste in the municipal solid waste (MSW) stream by making it easier for universal waste handlers to collect these items and send them for recycling or proper disposal. These regulations can be found at 40 CFR 273.

### 1.3 SUBMITTALS

Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

#### SD-01 Preconstruction Submittals

- Preconstruction Survey
- Solid Waste Management Permit
- Regulatory Notifications
- Environmental Protection Plan
- Employee Training Records

#### SD-06 Test Reports

- Solid Waste Management Report

#### SD-07 Certificates

- Employee Training Records
- ECATTS Certificate Of Completion

#### SD-11 Closeout Submittals

- Waste Determination Documentation
- Disposal Documentation for Hazardous and Regulated Waste
- Assembled Employee Training Records
- Solid Waste Management Permit
- Solid Waste Management Report
- Contractor Hazardous Material Inventory Log
- Hazardous Waste/Debris Management
- Regulatory Notifications
- Sales Documentation
- Contractor Certification

### 1.4 ENVIRONMENTAL PROTECTION REQUIREMENTS

Provide and maintain, during the life of the contract, environmental protection as defined. Plan for and provide environmental protective measures to control pollution that develops during construction practice. Plan for and provide environmental protective measures required to correct conditions that develop during the construction of permanent or temporary environmental features associated with the project. Protect the environmental resources within the project boundaries and those affected outside the limits of permanent work during the entire duration of this Contract. Comply with federal, state, and local regulations pertaining to the environment, including water, air, solid waste, hazardous waste and substances, oily substances, and noise pollution.

Tests and procedures assessing whether construction operations comply with Applicable Environmental Laws may be required. Analytical work must be performed by qualified laboratories; and where required by law, the laboratories must be certified.

#### 1.4.1 Training in Environmental Compliance Assessment Training and Tracking System (ECATTS)

##### 1.4.1.1 Personnel Requirements

The Environmental Manager is responsible for environmental compliance on projects. The Environmental Manager must complete applicable ECATTS training modules (installation specific or general) prior to starting respective portions of on-site work under this Contract. If personnel changes occur for any of these positions after starting work, replacement personnel must complete applicable ECATTS training within 14 days of assignment to the project.

##### 1.4.1.2 Certification

Submit an ECATTS certificate of completion for personnel who have completed the required ECATTS training. This training is web-based and can be accessed from any computer with Internet access using the following instructions.

Register for NAVFAC Environmental Compliance Training and Tracking System, by logging on to <https://environmentaltraining.ecatts.com/>. Obtain the password for registration from the Contracting Officer.

##### 1.4.1.3 Refresher Training

This training has been structured to allow contractor personnel to receive credit under this contract and to carry forward credit to future contracts. Ensure the Environmental Manager review their training plans for new modules or updated training requirements prior to beginning work. Some training modules are tailored for specific state regulatory requirements; therefore, Contractors working in multiple states will be required to retake modules tailored to the state where the contract work is being performed.

#### 1.4.2 Conformance with the Environmental Management System

Perform work under this contract consistent with the policy and objectives identified in the installation's Environmental Management System (EMS). Perform work in a manner that conforms to objectives and targets of the environmental programs and operational controls identified by the EMS. Support Government personnel when environmental compliance and EMS audits are conducted by escorting auditors at the Project site, answering questions, and providing proof of records being maintained. Provide monitoring and measurement information as necessary to address environmental performance relative to environmental, energy, and transportation management goals. In the event an EMS nonconformance or environmental noncompliance associated with the contracted services, tasks, or actions occurs, take corrective and preventative actions. In addition, employees must be aware of their roles and responsibilities under the installation EMS and of how these EMS roles and responsibilities affect work performed under the contract.

Coordinate with the installation's EMS coordinator to identify training needs associated with environmental aspects and the EMS, and arrange training or take other action to meet these needs. Provide training documentation to the Contracting Officer. The Installation Environmental Office will retain associated environmental compliance records. Make EMS Awareness training completion certificates available to Government auditors during EMS audits and include the certificates in the Employee Training Records. See paragraph EMPLOYEE TRAINING RECORDS.

## 1.5 QUALITY ASSURANCE

### 1.5.1 Preconstruction Survey and Protection of Features

This paragraph supplements the Contract Clause PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS. Prior to start of any onsite construction activities, perform a Preconstruction Survey of the project site with the Contracting Officer, and take photographs showing existing environmental conditions in and adjacent to the site. Submit a report for the record. Include in the report a plan describing the features requiring protection under the provisions of the Contract Clauses, which are not specifically identified on the drawings as environmental features requiring protection along with the condition of trees, shrubs and grassed areas immediately adjacent to the site of work and adjacent to the Contractor's assigned storage area and access route(s), as applicable. The Contractor and the Contracting Officer will sign this survey report upon mutual agreement regarding its accuracy and completeness. Protect those environmental features included in the survey report and any indicated on the drawings, regardless of interference that their preservation may cause to the work under the Contract.

### 1.5.2 Regulatory Notifications

Provide regulatory notification requirements in accordance with federal, state and local regulations. In cases where the Government will also provide public notification (such as stormwater permitting), coordinate with the Contracting Officer. Submit copies of regulatory notifications to the Contracting Officer at least 15 days prior to commencement of work activities. Typically, regulatory notifications must be provided for the following (this listing is not all-inclusive): demolition, renovation, NPDES defined site work, construction, removal or use of a permitted air emissions source, and remediation of controlled substances (asbestos, hazardous waste, lead paint).

### 1.5.3 Environmental Brief

Attend an environmental brief to be included in the preconstruction meeting. Provide the following information: types, quantities, and use of hazardous materials that will be brought onto the installation; and types and quantities of wastes/wastewater that may be generated during the Contract. Discuss the results of the Preconstruction Survey at this time.

Prior to initiating any work on site, meet with the Contracting Officer and installation Environmental Office to discuss the proposed Environmental Protection Plan (EPP). Develop a mutual understanding relative to the details of environmental protection, including measures for protecting natural and cultural resources, required reports, required permits, permit requirements (such as mitigation measures), and other measures to be taken.

#### 1.5.4 Employee Training Records

Prepare and maintain Employee Training Records throughout the term of the contract meeting applicable 40 CFR requirements. Provide Employee Training Records in the Environmental Records Binder. Ensure every employee completes a program of classroom instruction or on-the-job training that teaches them to perform their duties in a way that ensures compliance with federal, state and local regulatory requirements for RCRA Large Quantity Generator. Provide a Position Description for each employee, by subcontractor, based on the Davis-Bacon Wage Rate designation or other equivalent method, evaluating the employee's association with hazardous and regulated wastes. This Position Description will include training requirements as defined in 40 CFR 265 for a Large Quantity Generator facility. Submit these Assembled Employee Training Records to the Contracting Officer at the conclusion of the project, unless otherwise directed.

Train personnel to meet EPA and state requirements. Conduct environmental protection/pollution control meetings for personnel prior to commencing construction activities. Conduct additional meetings for new personnel and when site conditions change. Include in the training and meeting agenda: methods of detecting and avoiding pollution; familiarization with statutory and contractual pollution standards; installation and care of devices, vegetative covers, and instruments required for monitoring purposes to ensure adequate and continuous environmental protection/pollution control; anticipated hazardous or toxic chemicals or wastes, and other regulated contaminants; recognition and protection of archaeological sites, artifacts, waters of the United States, and endangered species and their habitat that are known to be in the area.

#### 1.5.5 Non-Compliance Notifications

The Contracting Officer will notify the Contractor in writing of any observed noncompliance with federal, state or local environmental laws or regulations, permits, and other elements of the Contractor's EPP. After receipt of such notice, inform the Contracting Officer of the proposed corrective action and take such action when approved by the Contracting Officer. The Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No time extensions will be granted or equitable adjustments allowed for any such suspensions. This is in addition to any other actions the Contracting Officer may take under the contract, or in accordance with the Federal Acquisition Regulation or Federal Law.

#### 1.6 ENVIRONMENTAL PROTECTION PLAN

The purpose of the EPP is to present an overview of known or potential environmental issues that must be considered and addressed during construction. Incorporate construction related objectives and targets from the installation's EMS into the EPP. Include in the EPP measures for protecting natural and cultural resources, required reports, and other measures to be taken. Meet with the Contracting Officer or Contracting Officer Representative to discuss the EPP and develop a mutual understanding relative to the details for environmental protection including measures for protecting natural resources, required reports, and other measures to be taken. Submit the EPP within 15 days after notice to proceed and not less than 10 days before the preconstruction meeting. Revise the EPP throughout the project to include any reporting requirements, changes in site conditions, or contract modifications that

change the project scope of work in a way that could have an environmental impact. No requirement in this section will relieve the Contractor of any applicable federal, state, and local environmental protection laws and regulations. During Construction, identify, implement, and submit for approval any additional requirements to be included in the EPP. Maintain the current version onsite.

The EPP includes, but is not limited to, the following elements:

#### 1.6.1 General Overview and Purpose

##### 1.6.1.1 Descriptions

A brief description of each specific plan required by environmental permit or elsewhere in this Contract such as solid waste management plan, air pollution control plan, contaminant prevention plan, and Non-Hazardous Solid Waste Disposal Plan.

##### 1.6.1.2 Duties

The duties and level of authority assigned to the person(s) on the job site who oversee environmental compliance, such as who is responsible for adherence to the EPP, who is responsible for spill cleanup and training personnel on spill response procedures, who is responsible for manifesting hazardous waste to be removed from the site (if applicable), and who is responsible for training the Contractor's environmental protection personnel.

##### 1.6.1.3 Procedures

A copy of any standard or project-specific operating procedures that will be used to effectively manage and protect the environment on the project site.

##### 1.6.1.4 Communications

Communication and training procedures that will be used to convey environmental management requirements to Contractor employees and subcontractors.

##### 1.6.1.5 Contact Information

Emergency contact information contact information (office phone number, cell phone number, and e-mail address).

#### 1.6.2 General Site Information

##### 1.6.2.1 Drawings

Drawings showing locations of proposed temporary excavations or embankments for haul roads, stream crossings, jurisdictional wetlands, material storage areas, structures, sanitary facilities, storm drains and conveyances, and stockpiles of excess soil.

##### 1.6.2.2 Work Area

Work area plan showing the proposed activity in each portion of the area and identify the areas of limited use or nonuse. Include measures for marking the limits of use areas, including methods for protection of

features to be preserved within authorized work areas and methods to control runoff and to contain materials on site, and a traffic control plan.

#### 1.6.3 Protection of the Environment from Waste Derived from Contractor Operations

Control and disposal of solid and sanitary waste. Control and disposal of hazardous waste.

This item consist of the management procedures for hazardous waste to be generated. The elements of those procedures will coincide with the Installation Hazardous Waste Management Plan. The Contracting Officer will provide a copy of the Installation Hazardous Waste Management Plan. As a minimum, include the following:

- a. List of the types of hazardous wastes expected to be generated
- b. Procedures to ensure a written waste determination is made for appropriate wastes that are to be generated
- c. Sampling/analysis plan, including laboratory method(s) that will be used for waste determinations and copies of relevant laboratory certifications
- d. Methods and proposed locations for hazardous waste accumulation/storage (that is, in tanks or containers)
- e. Management procedures for storage, labeling, transportation, and disposal of waste (treatment of waste is not allowed unless specifically noted)
- f. Management procedures and regulatory documentation ensuring disposal of hazardous waste complies with Land Disposal Restrictions (40 CFR 268 )
- g. Management procedures for recyclable hazardous materials such as lead-acid batteries, used oil, and similar
- h. Used oil management procedures in accordance with 40 CFR 279; Hazardous waste minimization procedures
- i. Plans for the disposal of hazardous waste by permitted facilities; and Procedures to be employed to ensure required employee training records are maintained.

#### 1.6.4 Prevention of Releases to the Environment

Procedures to prevent releases to the environment

Notifications in the event of a release to the environment

#### 1.6.5 Regulatory Notification and Permits

List what notifications and permit applications must be made. Some permits require up to 180 days to obtain. Demonstrate that those permits have been obtained or applied for by including copies of applicable environmental permits. The EPP will not be approved until the permits have been obtained.

### 1.6.6 Clean Air Act Compliance

#### 1.6.6.1 Pollution Generating Equipment

Identify air pollution generating equipment or processes that may require federal, state, or local permits under the Clean Air Act. Determine requirements based on any current installation permits and the impacts of the project. Provide a list of all fixed or mobile equipment, machinery or operations that could generate air emissions during the project to the Installation Environmental Office (Air Program Manager).

#### 1.6.6.2 Refrigerants

Identify management practices to ensure that heating, ventilation, and air conditioning (HVAC) work involving refrigerants complies with 40 CFR 82 requirements. Technicians must be certified, maintain copies of certification on site, use certified equipment and log work that requires the addition or removal of refrigerant. Any refrigerant reclaimed is the property of the Government, coordinate with the Installation Environmental Office to determine the appropriate turn in location.

#### 1.6.6.3 Air Pollution-engineering Processes

Identify planned air pollution-generating processes and management control measures (including, but not limited to, spray painting, abrasive blasting, demolition, material handling, fugitive dust, and fugitive emissions). Log hours of operations and track quantities of materials used.

#### 1.6.6.4 Compliant Materials

Provide the Government a list of and SDSs for all hazardous materials proposed for use on site. Materials must be compliant with all Clean Air Act regulations for emissions including solvent and volatile organic compound contents, and applicable National Emission Standards for Hazardous Air Pollutants requirements. The Government may alter or limit use of specific materials as needed to meet installation permit requirements for emissions.

### 1.7 ENVIRONMENTAL RECORDS BINDER

Maintain on-site a separate three-ring Environmental Records Binder and submit at the completion of the project. Make separate parts within the binder that correspond to each submittal listed under paragraph CLOSEOUT SUBMITTALS in this section.

### 1.8 SOLID WASTE MANAGEMENT PERMIT

Provide the Contracting Officer with written notification of the quantity of anticipated solid waste or debris that is anticipated or estimated to be generated by construction. Include in the report the locations where various types of waste will be disposed or recycled. Include letters of acceptance from the receiving location or as applicable; submit one copy of the receiving location state and local Solid Waste Management Permit or license showing such agency's approval of the disposal plan before transporting wastes off Government property.

### 1.8.1 Solid Waste Management Report

Monthly, submit a solid waste disposal report to the Contracting Officer. For each waste, the report will state the classification (using the definitions provided in this section), amount, location, and name of the business receiving the solid waste.

### 1.9 FACILITY HAZARDOUS WASTE GENERATOR STATUS

Marine Corps Air Station Cherry Point is designated as a Large Quantity Generator. Meet the regulatory requirements of this generator designation for any work conducted within the boundaries of this Installation. Comply with provisions of federal, state, and local regulatory requirements applicable to this generator status regarding training and storage, handling, and disposal of construction derived wastes.

## PART 2 PRODUCTS

Not Used

## PART 3 EXECUTION

### 3.1 AIR RESOURCES

Equipment operation, activities, or processes will be in accordance with 40 CFR 64 and state air emission and performance laws and standards.

#### 3.1.1 Preconstruction Air Permits

Notify the Air Program Manager, through the Contracting Officer, at least 6 months prior to bringing equipment, assembled or unassembled, onto the Installation, so that air permits can be secured. Necessary permitting time must be considered in regard to construction activities. Clean Air Act (CAA) permits must be obtained prior to bringing equipment, assembled or unassembled, onto the Installation.

Confirm that these permits have been obtained.

#### 3.1.2 Burning

Burning is prohibited on the Government premises.

#### 3.1.3 Class I and II ODS Prohibition

Class I and II ODS are Government property and must be returned to the Government for appropriate management. Coordinate with the Installation Environmental Office to determine the appropriate location for turn in of all reclaimed refrigerant.

#### 3.1.4 Accidental Venting of Refrigerant

Accidental venting of a refrigerant is a release and must be reported immediately to the Contracting Officer.

#### 3.1.5 EPA Certification Requirements

Heating and air conditioning technicians must be certified through an EPA-approved program. Maintain copies of certifications at the employees' places of business; technicians must carry certification wallet cards, as

provided by environmental law.

### 3.1.6 Dust Control

Keep dust down at all times, including during nonworking periods. Dry power brooming will not be permitted. Instead, use vacuuming, wet mopping, wet sweeping, or wet power brooming. Air blowing will be permitted only for cleaning nonparticulate debris such as steel reinforcing bars. Only wet cutting will be permitted for cutting concrete blocks, concrete, and bituminous concrete. Do not unnecessarily shake bags of cement, concrete mortar, or plaster.

#### 3.1.6.1 Particulates

Dust particles, aerosols and gaseous by-products from construction activities, and processing and preparation of materials (such as from asphaltic batch plants) must be controlled at all times, including weekends, holidays, and hours when work is not in progress. Maintain excavations, stockpiles, haul roads, permanent and temporary access roads, plant sites, spoil areas, borrow areas, and other work areas within or outside the project boundaries free from particulates that would exceed 40 CFR 50, state, and local air pollution standards or that would cause a hazard or a nuisance. Sprinkling, chemical treatment of an approved type, baghouse, scrubbers, electrostatic precipitators, or other methods will be permitted to control particulates in the work area. Sprinkling, to be efficient, must be repeated to keep the disturbed area damp. Provide sufficient, competent equipment available to accomplish these tasks. Perform particulate control as the work proceeds and whenever a particulate nuisance or hazard occurs. Comply with state and local visibility regulations.

#### 3.1.6.2 Abrasive Blasting

Blasting operations cannot be performed without prior approval of the Installation Air Program Manager. The use of silica sand is prohibited in sandblasting.

Provide tarpaulin drop cloths and windscreens to enclose abrasive blasting operations to confine and collect dust, abrasive agent, paint chips, and other debris. Perform work involving removal of hazardous material in accordance with 29 CFR 1910.

#### 3.1.7 Odors

Control odors from construction activities. The odors must be in compliance with state regulations and local ordinances and may not constitute a health hazard.

### 3.2 WASTE MINIMIZATION

Minimize the use of hazardous materials and the generation of waste. Include procedures for pollution prevention/ hazardous waste minimization in the Hazardous Waste Management Section of the EPP. Obtain a copy of the installation's Pollution Prevention/Hazardous Waste Minimization Plan for reference material when preparing this part of the EPP. If no written plan exists, obtain information by contacting the Contracting Officer. Describe the anticipated types of the hazardous materials to be used in the construction when requesting information.

3.2.1 Salvage, Reuse and Recycle

Identify anticipated materials and waste for salvage, reuse, and recycling. Describe actions to promote material reuse, resale or recycling. To the extent practicable, all scrap metal must be sent for reuse or recycling and will not be disposed of in a landfill.

Include the name, physical address, and telephone number of the hauler, if transported by a franchised solid waste hauler. Include the destination and, unless exempted, provide a copy of the state or local permit (cover) or license for recycling.

3.2.2 Nonhazardous Solid Waste Diversion Report

Maintain an inventory of nonhazardous solid waste diversion and disposal of construction and demolition debris. Submit a report to the Contracting Officer on the first working day after each fiscal year quarter, starting the first quarter that nonhazardous solid waste has been generated. Include the following in the report:

Construction and Demolition (C&D) Debris Disposed	(____) cubic yards or tons, as appropriate
C&D Debris Recycled	(____) cubic yards or tons, as appropriate
Total C&D Debris Generated	(____) cubic yards or tons, as appropriate
Waste Sent to Waste-To-Energy Incineration Plant (This amount should not be included in the recycled amount)	(____) cubic yards or tons, as appropriate

3.3 WASTE MANAGEMENT AND DISPOSAL

3.3.1 Waste Determination Documentation

Complete a Waste Determination form (provided at the pre-construction conference) for Contractor-derived wastes to be generated. All potentially hazardous solid waste streams that are not subject to a specific exclusion or exemption from the hazardous waste regulations (e.g. scrap metal, domestic sewage) or subject to special rules, (lead-acid batteries and precious metals) must be characterized in accordance with the requirements of 40 CFR 261 or corresponding applicable state or local regulations. Base waste determination on user knowledge of the processes and materials used, and analytical data when necessary. Consult with the Installation environmental staff for guidance on specific requirements. Attach support documentation to the Waste Determination form. As a minimum, provide a Waste Determination form for the following waste (this listing is not inclusive): oil- and latex -based painting and caulking products, solvents, adhesives, aerosols, petroleum products, and containers of the original materials.

### 3.3.2 Solid Waste Management

#### 3.3.2.1 Solid Waste Management Report

Provide copies of the waste handling facilities' weight tickets, receipts, bills of sale, and other sales documentation. In lieu of sales documentation, a statement indicating the disposal location for the solid waste that is signed by an employee authorized to legally obligate or bind the firm may be submitted. The Contractor certification must include the receiver's tax identification number and business, EPA or state registration number, along with the receiver's delivery and business addresses and telephone numbers. For each solid waste retained for the Contractor's own use, submit the information previously described in this paragraph on the solid waste disposal report. Prices paid or received do not have to be reported to the Contracting Officer unless required by other provisions or specifications of this Contract or public law.

#### 3.3.2.2 Control and Management of Solid Wastes

Pick up solid wastes, and place in covered containers that are regularly emptied. Do not prepare or cook food on the project site. Prevent contamination of the site or other areas when handling and disposing of wastes. At project completion, leave the areas clean. Employ segregation measures so that no hazardous or toxic waste will become co-mingled with non-hazardous solid waste. Transport solid waste off Government property and dispose of it in compliance with 40 CFR 260, state, and local requirements for solid waste disposal. A Subtitle D RCRA permitted landfill is the minimum acceptable offsite solid waste disposal option. Verify that the selected transporters and disposal facilities have the necessary permits and licenses to operate. Solid waste disposal offsite must comply with most stringent local, state, and federal requirements, including 40 CFR 241, 40 CFR 243, and 40 CFR 258.

Manage hazardous material used in construction, including but not limited to, aerosol cans, waste paint, cleaning solvents, contaminated brushes, and used rags, in accordance with 49 CFR 173.

#### 3.3.3 Control and Management of Hazardous Waste

Do not dispose of hazardous waste on Government property. Do not discharge any waste to a sanitary sewer, storm drain, or to surface waters or conduct waste treatment or disposal on Government property without written approval of the Contracting Officer.

##### 3.3.3.1 Hazardous Waste/Debris Management

Identify construction activities that will generate hazardous waste or debris. Provide a documented waste determination for resultant waste streams. Identify, label, handle, store, and dispose of hazardous waste or debris in accordance with federal, state, and local regulations, including 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, 40 CFR 265, 40 CFR 266, and 40 CFR 268.

Manage hazardous waste in accordance with the approved Hazardous Waste Management Section of the EPP. Store hazardous wastes in approved containers in accordance with 49 CFR 173 and 49 CFR 178. Hazardous waste generated within the confines of Government facilities is identified as being generated by the Government. Prior to removal of any hazardous waste from Government property, hazardous waste manifests must be signed

by personnel from the Installation Environmental Office. Do not bring hazardous waste onto Government property. Provide the Contracting Officer with a copy of waste determination documentation for any solid waste streams that have any potential to be hazardous waste or contain any chemical constituents listed in 40 CFR 372-SUBPART D.

3.3.3.2 Waste Storage/Satellite Accumulation/90 Day Storage Areas

Accumulate hazardous waste at satellite accumulation points and in compliance with 40 CFR 262.34 and applicable state or local regulations. Individual waste streams will be limited to 55 gallons of accumulation (or 1 quart for acutely hazardous wastes). If the Contractor expects to generate hazardous waste at a rate and quantity that makes satellite accumulation impractical, the Contractor may request a temporary 90 day accumulation point be established. Submit a request in writing to the Contracting Officer and provide the following information (Attach Site Plan to the Request):

Contract Number	(____)
Contractor	(____)
Haz/Waste or Regulated Waste POC	(____)
Phone Number	(____)
Type of Waste	(____)
Source of Waste	(____)
Emergency POC	(____)
Phone Number	(____)
Location of the Site	(____)

Attach a Waste Determination form for the expected waste streams. Allow 10 working days for processing this request. Additional compliance requirements (e.g. training and contingency planning) that may be required are the responsibility of the Contractor. Barricade the designated area where waste is being stored and post a sign identifying as follows:

"DANGER - UNAUTHORIZED PERSONNEL KEEP OUT"

3.3.3.3 Hazardous Waste Disposal

3.3.3.3.1 Responsibilities for Contractor's Disposal

Provide hazardous waste manifest to the Installations Environmental Office for review, approval, and signature prior to shipping waste off Government property.

3.3.3.3.1.1 Services

Provide service necessary for the final treatment or disposal of the hazardous material or waste in accordance with 40 CFR 260, local, and state, laws and regulations, and the terms and conditions of the Contract within 60 days after the materials have been generated. These services include necessary personnel, labor, transportation, packaging, detailed analysis (if required for disposal or transportation, include manifesting

or complete waste profile sheets, equipment, and compile documentation).

#### 3.3.3.3.1.2 Samples

Obtain a representative sample of the material generated for each job done to provide waste stream determination.

#### 3.3.3.3.1.3 Analysis

Analyze each sample taken and provide analytical results to the Contracting Officer. See paragraph WASTE DETERMINATION DOCUMENTATION.

#### 3.3.3.3.1.4 Labeling

Determine the Department of Transportation's (DOT's) proper shipping names for waste (each container requiring disposal) and demonstrate to the Contracting Officer how this determination is developed and supported by the sampling and analysis requirements contained herein. Label all containers of hazardous waste with the words "Hazardous Waste" or other words to describe the contents of the container in accordance with 40 CFR 262.31 and applicable state or local regulations.

#### 3.3.3.4 Universal Waste Management

Manage the following categories of universal waste in accordance with federal, state, and local requirements and installation instructions:

- a. Batteries as described in 40 CFR 273.2
- b. Lamps as described in 40 CFR 273.5
- c. Mercury-containing equipment as described in 40 CFR 273.4

Mercury is prohibited in the construction of this facility, unless specified otherwise, and with the exception of mercury vapor lamps and fluorescent lamps. Dumping of mercury-containing materials and devices such as mercury vapor lamps, fluorescent lamps, and mercury switches, in rubbish containers is prohibited. Remove without breaking, pack to prevent breakage, and transport out of the activity in an unbroken condition for disposal as directed.

#### 3.3.3.5 Electronics End-of-Life Management

Recycle or dispose of electronics waste, including, but not limited to, used electronic devices such computers, monitors, hard-copy devices, televisions, mobile devices, in accordance with 40 CFR 260-262, state, and local requirements, and installation instructions.

#### 3.3.3.6 Disposal Documentation for Hazardous and Regulated Waste

Contact the Contracting Officer for the facility RCRA identification number that is to be used on each manifest.

#### 3.3.4 Releases/Spills of Oil and Hazardous Substances

##### 3.3.4.1 Response and Notifications

Exercise due diligence to prevent, contain, and respond to spills of hazardous material, hazardous substances, hazardous waste, sewage, regulated gas, petroleum, lubrication oil, and other substances regulated

in accordance with 40 CFR 300. Maintain spill cleanup equipment and materials at the work site. In the event of a spill, take prompt, effective action to stop, contain, curtail, or otherwise limit the amount, duration, and severity of the spill/release. In the event of any releases of oil and hazardous substances, chemicals, or gases; immediately (within 15 minutes) notify the Installation Fire Department, the Installation Command Duty Officer, the Installation Environmental Office, the Contracting Officer and the state or local authority.

Submit verbal and written notifications as required by the federal (40 CFR 300.125 and 40 CFR 355), state, local regulations and instructions. Provide copies of the written notification and documentation that a verbal notification was made within 20 days. Spill response must be in accordance with 40 CFR 300 and applicable state and local regulations. Contain and clean up these spills without cost to the Government.

#### 3.3.4.2 Clean Up

Clean up hazardous and non-hazardous waste spills. Reimburse the Government for costs incurred including sample analysis materials, clothing, equipment, and labor if the Government will initiate its own spill cleanup procedures, for Contractor- responsible spills, when: Spill cleanup procedures have not begun within one hour of spill discovery/occurrence; or, in the Government's judgment, spill cleanup is inadequate and the spill remains a threat to human health or the environment.

#### 3.3.5 Mercury Materials

Immediately report to the Environmental Office and the Contracting Officer instances of breakage or mercury spillage. Clean mercury spill area to the satisfaction of the Contracting Officer.

Do not recycle a mercury spill cleanup; manage it as a hazardous waste for disposal.

#### 3.3.6 Wastewater

##### 3.3.6.1 Disposal of wastewater must be as specified below.

##### 3.3.6.1.1 Treatment

Do not allow wastewater from construction activities, such as onsite material processing, concrete curing, foundation and concrete clean-up, water used in concrete trucks, and forms to enter water ways or to be discharged prior to being treated to remove pollutants. Dispose of the construction- related waste water off-Government property in accordance with 40 CFR 403, state, regional, and local laws and regulations.

#### 3.4 HAZARDOUS MATERIAL MANAGEMENT

Include hazardous material control procedures in the Safety Plan, in accordance with Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Address procedures and proper handling of hazardous materials, including the appropriate transportation requirements. Do not bring hazardous material onto Government property that does not directly relate to requirements for the performance of this contract. Submit an SDS and estimated quantities to be used for each hazardous material to the

Contracting Officer prior to bringing the material on the installation. Typical materials requiring SDS and quantity reporting include, but are not limited to, oil and latex based painting and caulking products, solvents, adhesives, aerosol, and petroleum products. Use hazardous materials in a manner that minimizes the amount of hazardous waste generated. Containers of hazardous materials must have National Fire Protection Association labels or their equivalent. Certify that hazardous materials removed from the site are hazardous materials and do not meet the definition of hazardous waste, in accordance with 40 CFR 261.

#### 3.4.1 Contractor Hazardous Material Inventory Log

Submit the "Contractor Hazardous Material Inventory Log"(found at: <https://www.wbdg.org/FFC/NAVGRAPH/graphdoc.pdf>), which provides information required by (EPCRA Sections 312 and 313) along with corresponding SDS, to the Contracting Officer at the start and at the end of construction (30 days from final acceptance), and update no later than January 31 of each calendar year during the life of the contract. Keep copies of the SDSs for hazardous materials onsite. At the end of the project, provide the Contracting Officer with copies of the SDSs, and the maximum quantity of each material that was present at the site at any one time, the dates the material was present, the amount of each material that was used during the project, and how the material was used.

The Contracting Officer may request documentation for any spills or releases, environmental reports, or off-site transfers.

#### 3.5 PREVIOUSLY USED EQUIPMENT

Clean previously used construction equipment prior to bringing it onto the project site. Equipment must be free from soil residuals, egg deposits from plant pests, noxious weeds, and plant seeds. Consult with the U.S. Department of Agriculture jurisdictional office for additional cleaning requirements.

#### 3.6 SOUND INTRUSION

Make the maximum use of low-noise emission products, as certified by the EPA. Blasting or use of explosives are not permitted without written permission from the Contracting Officer, and then only during the designated times.

Keep construction activities under surveillance and control to minimize environment damage by noise. Comply with the provisions of the State of North Carolina rules.

#### 3.7 POST CONSTRUCTION CLEANUP

Clean up areas used for construction in accordance with Contract Clause: "Cleaning Up". Unless otherwise instructed in writing by the Contracting Officer, remove traces of temporary construction facilities such as haul roads, work area, structures, foundations of temporary structures, stockpiles of excess or waste materials, and other vestiges of construction prior to final acceptance of the work. Grade parking area and similar temporarily used areas to conform with surrounding contours.

-- End of Section --

SECTION 01 78 00

CLOSEOUT SUBMITTALS  
08/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM E1971 (2005; R 2011) Standard Guide for Stewardship for the Cleaning of Commercial and Institutional Buildings

GREEN SEAL (GS)

GS-37 (2017) Cleaning Products for Industrial and Institutional Use

U.S. DEPARTMENT OF DEFENSE (DOD)

FC 1-300-09N (2014; with Change 2) Navy and Marine Corps Design Procedures

1.2 DEFINITIONS

1.2.1 As-Built Drawings

As-built drawings are developed and maintained by the Contractor and depict actual conditions, including deviations from the Contract Documents. These deviations and additions may result from coordination required by, but not limited to: contract modifications; official responses to Contractor submitted Requests for Information; direction from the Contracting Officer; designs which are the responsibility of the Contractor, and differing site conditions. Maintain the as-builts throughout construction as red-lined hard copies on site. These files serve as the basis for the creation of the record drawings.

1.2.2 Record Drawings

The record drawings are the final compilation of actual conditions reflected in the as-built drawings.

1.3 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Warranty Management Plan  
Warranty Tags

Final Cleaning  
Spare Parts Data

SD-08 Manufacturer's Instructions

Posted Instructions

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals

SD-11 Closeout Submittals

As-Built Drawings  
As-Built Record of Equipment and Materials  
Certification of EPA Designated Items

#### 1.4 SPARE PARTS DATA

Submit two copies of the Spare Parts Data list.

- a. Indicate manufacturer's name, part number, nomenclature, and stock level required for maintenance and repair. List those items that may be standard to the normal maintenance of the system.

#### 1.5 WARRANTY MANAGEMENT

##### 1.5.1 Warranty Management Plan

Develop a warranty management plan which contains information relevant to FAR 52.246-21 Warranty of Construction. At least 30 days before the planned pre-warranty conference, submit two sets of the warranty management plan. Include within the warranty management plan all required actions and documents to assure that the Government receives all warranties to which it is entitled. The plan must be in narrative form and contain sufficient detail to render it suitable for use by future maintenance and repair personnel, whether tradesmen, or of engineering background, not necessarily familiar with this contract. The term "status" as indicated below must include due date and whether item has been submitted or was accomplished. Warranty information made available during the construction phase must be submitted to the Contracting Officer for approval prior to each monthly pay estimate. Assemble approved information in a binder and turn over to the Government upon acceptance of the work. The construction warranty period will begin on the date of project acceptance and continue for the full product warranty period. A joint 4 month and 9 month warranty inspection will be conducted, measured from time of acceptance, by the Contractor, Contracting Officer and the Customer Representative. Include within the warranty management plan, but not limited to, the following:

- a. Roles and responsibilities of all personnel associated with the warranty process, including points of contact and telephone numbers within the organizations of the Contractors, subcontractors, manufacturers or suppliers involved.
- b. Furnish with each warranty the name, address, and telephone number of each of the guarantor's representatives nearest to the project location.

- c. Listing and status of delivery of all Certificates of Warranty for extended warranty items, to include roofs, HVAC balancing, pumps, motors, transformers, and for all commissioned systems such as fire protection and alarm systems, sprinkler systems, lightning protection systems, etc.
- d. As-Built Record of Equipment and Materials list for each warranted equipment, item, feature of construction or system indicating:
  - (1) Name of item.
  - (2) Model and serial numbers.
  - (3) Location where installed.
  - (4) Name and phone numbers of manufacturers or suppliers.
  - (5) Names, addresses and telephone numbers of sources of spare parts.
  - (6) Warranties and terms of warranty. Include one-year overall warranty of construction, including the starting date of warranty of construction. Items which have extended warranties must be indicated with separate warranty expiration dates.
  - (7) Cross-reference to warranty certificates as applicable.
  - (8) Starting point and duration of warranty period.
  - (9) Summary of maintenance procedures required to continue the warranty in force.
  - (10) Cross-reference to specific pertinent Operation and Maintenance manuals.
  - (11) Organization, names and phone numbers of persons to call for warranty service.
  - (12) Typical response time and repair time expected for various warranted equipment.
- e. The plans for attendance at the 4 and 9 month post-construction warranty inspections conducted by the Government.
- f. Procedure and status of tagging of all equipment covered by extended warranties.
- g. Copies of instructions to be posted near selected pieces of equipment where operation is critical for warranty and/or safety reasons.

#### 1.5.2 Performance Bond

The Performance Bond must remain effective throughout the construction period.

- a. In the event the Contractor fails to commence and diligently pursue any construction warranty work required, the Contracting Officer will have the work performed by others, and after completion of the work, will charge the remaining construction warranty funds of expenses incurred by the Government while performing the work, including, but not limited to administrative expenses.
- b. In the event sufficient funds are not available to cover the construction warranty work performed by the Government at the Contractor's expense, the Contracting Officer will have the right to recoup expenses from the bonding company.
- c. Following oral or written notification of required construction warranty repair work, respond in a timely manner. Written verification will follow oral instructions. Failure to respond will be cause for the Contracting Officer to proceed against the Contractor.

1.5.3 Pre-Warranty Conference

Prior to contract completion, and at a time designated by the Contracting Officer, meet with the Contracting Officer to develop a mutual understanding with respect to the requirements of this section. Communication procedures for Contractor notification of construction warranty defects, priorities with respect to the type of defect, reasonable time required for Contractor response, and other details deemed necessary by the Contracting Officer for the execution of the construction warranty will be established/reviewed at this meeting. In connection with these requirements and at the time of the Contractor's quality control completion inspection, furnish the name, telephone number and address of a licensed and bonded company which is authorized to initiate and pursue construction warranty work action on behalf of the Contractor. This point of contact will be located within the local service area of the warranted construction, be continuously available, and be responsive to Government inquiry on warranty work action and status. This requirement does not relieve the Contractor of any of its responsibilities in connection with other portions of this provision.

1.5.4 Warranty Tags

At the time of installation, tag each warranted item with a durable, oil and water resistant tag approved by the Contracting Officer. Attach each tag with a copper wire and spray with a silicone waterproof coating. Also, submit two record copies of the warranty tags showing the layout and design. The date of acceptance and the QC signature must remain blank until the project is accepted for beneficial occupancy. Show the following information on the tag.

Type of product/material	
Model number	
Serial number	
Contract number	
Warranty period from/to	
Inspector's signature	
Construction Contractor	
Address	
Telephone number	
Warranty contact	

Address	
Telephone number	
Warranty response time priority code	
WARNING - PROJECT PERSONNEL TO PERFORM ONLY OPERATIONAL MAINTENANCE DURING THE WARRANTY PERIOD.	

PART 2 PRODUCTS

2.1 CERTIFICATION OF EPA DESIGNATED ITEMS

Submit the Certification of EPA Designated Items as required by FAR 52.223-9 Estimate of Percentage of Recovered Material Content for EPA Designated Items and FAR 52-223-17 Affirmative Procurement of EPA designated items in Service and Construction Contracts.. Include on the certification form the following information: project name, project number, Contractor name, license number, Contractor address, and certification. The certification will read as follows and be signed and dated by the Contractor. "I hereby certify the information provided herein is accurate and that the requisition/procurement of all materials listed on this form comply with current EPA standards for recycled/recovered materials content. The following exemptions may apply to the non-procurement of recycled/recovered content materials:

- 1) The product does not meet appropriate performance standards;
- 2) The product is not available within a reasonable time frame;
- 3) The product is not available competitively (from two or more sources);
- 4) The product is only available at an unreasonable price (compared with a comparable non-recycled content product)."

PART 3 EXECUTION

3.1 AS-BUILT DRAWINGS

Provide and maintain two black line print copies of the PDF contract drawings for As-Built Drawings. At a minimum of 30 days prior to Beneficial Occupancy Date (BOD), certify both sets of as-built drawings as correct, sign, and submit the As-Built Drawings for Contracting Officer approval.

3.1.1 Markup Guidelines

Make comments and markup the drawings complete without reference to letters, memos, or materials that are not part of the As-Built drawing. Show what was changed, how it was changed, where item(s) were relocated and change related details. These working as-built markup prints must be neat, legible and accurate as follows:

- a. Use base colors of red, green, and blue. Color code for changes as follows:
  - (1) Special (Blue) - Items requiring special information, coordination, or special detailing or detailing notes.

- (2) Deletions (Red) - Over-strike deleted graphic items (lines), lettering in notes and leaders.
- (3) Additions (Green) - Added items, lettering in notes and leaders.
- b. Provide a legend if colors other than the "base" colors of red, green, and blue are used.
- c. Add and denote any additional equipment or material facilities, service lines, incorporated under As-Built Revisions if not already shown in legend.
- d. Use frequent written explanations on markup drawings to describe changes. Do not totally rely on graphic means to convey the revision.
- e. Use legible lettering and precise and clear digital values when marking prints. Clarify ambiguities concerning the nature and application of change involved.
- f. Wherever a revision is made, also make changes to related section views, details, legend, profiles, plans and elevation views, schedules, notes and call out designations, and mark accordingly to avoid conflicting data on all other sheets.
- g. For deletions, cross out all features, data and captions that relate to that revision.
- h. For changes on small-scale drawings and in restricted areas, provide large-scale inserts, with leaders to the applicable location.
- i. Indicate one of the following when attaching a print or sketch to a markup print:
  - 1) Add an entire drawing to contract drawings
  - 2) Change the contract drawing to show
  - 3) Provided for reference only to further detail the initial design.
- j. Incorporate all shop and fabrication drawings into the markup drawings.

### 3.1.2 As-Built Drawings Content

Show on the as-built drawings, but not limited to, the following information:

- a. The actual location, kinds and sizes of all sub-surface utility lines. In order that the location of these lines and appurtenances may be determined in the event the surface openings or indicators become covered over or obscured, show by offset dimensions to two permanently fixed surface features the end of each run including each change in direction on the record drawings. Locate valves, splice boxes and similar appurtenances by dimensioning along the utility run from a reference point. Also record the average depth below the surface of each run.
- b. The location and dimensions of any changes within the building structure.

- c. Layout and schematic drawings of electrical circuits and piping.
- d. Correct grade, elevations, cross section, or alignment of roads, earthwork, structures or utilities if any changes were made from contract plans.
- e. Changes in details of design or additional information obtained from working drawings specified to be prepared or furnished by the Contractor; including but not limited to shop drawings, fabrication, erection, installation plans and placing details, pipe sizes, insulation material, dimensions of equipment, and foundations.
- f. The topography, invert elevations and grades of drainage installed or affected as part of the project construction.
- g. Changes or Revisions which result from the final inspection.
- h. Where contract drawings or specifications present options, show only the option selected for construction on the working as-built markup drawings.
- i. If borrow material for this project is from sources on Government property, or if Government property is used as a spoil area, furnish a contour map of the final borrow pit/spoil area elevations.
- j. Systems designed or enhanced by the Contractor, such as HVAC controls, fire alarm, fire sprinkler, and irrigation systems.
- k. Changes in location of equipment and architectural features.
- j. Modifications (include within change order price the cost to change working as-built markup drawings to reflect modifications) and compliance with FC 1-300-09N procedures.
- l. Actual location of anchors, construction and control joints, etc., in concrete.
- m. Unusual or uncharted obstructions that are encountered in the contract work area during construction.
- n. Location, extent, thickness, and size of stone protection particularly where it will be normally submerged by water.

### 3.2 OPERATION AND MAINTENANCE MANUALS

Provide project operation and maintenance manuals as specified in Section 01 78 23 OPERATION AND MAINTENANCE MANUALS DATA. Provide four electronic copies of the Operation and Maintenance Manual files. Submit to the Contracting Officer for approval within 60 calendar days of the Beneficial Occupancy Date (BOD). Update and resubmit files for final approval at BOD.

### 3.3 CLEANUP

Provide final cleaning in accordance with ASTM E1971 and submit two copies of the listing of completed final clean-up items. Leave premises "broom clean." Comply with GS-37 for general purpose cleaning and bathroom cleaning. Use only nonhazardous cleaning materials, including natural cleaning materials, in the final cleanup. Clean interior and exterior

REPAIR FOUR ELEVATORS, BUILDING 4881  
N40085-15-D4709

glass surfaces exposed to view; remove temporary labels, stains and foreign substances; polish transparent and glossy surfaces; vacuum carpeted and soft surfaces. Clean equipment and fixtures to a sanitary condition. Replace filters of operating equipment and comply with the Indoor Air Quality (IAQ) Management Plan. Clean debris from roofs, gutters, downspouts and drainage systems. Sweep paved areas and rake clean landscaped areas. Remove waste and surplus materials, rubbish and construction facilities from the site. Recycle, salvage, and return construction and demolition waste from project in accordance with Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

-- End of Section --

SECTION 01 78 23

OPERATION AND MAINTENANCE DATA

08/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM E1971 (2005; R 2011) Standard Guide for  
Stewardship for the Cleaning of Commercial  
and Institutional Buildings

1.2 SUBMITTALS

Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-10 Operation and Maintenance Data

O&M Database  
Training Plan  
Training Outline  
Training Content

SD-11 Closeout Submittals

Validation of Training Completion

1.3 OPERATION AND MAINTENANCE DATA

Submit Operation and Maintenance (O&M) Data for the provided equipment, product, or system, defining the importance of system interactions, troubleshooting, and long-term preventive operation and maintenance. Compile, prepare, and aggregate O&M data to include clarifying and updating the original sequences of operation to as-built conditions. Organize and present information in sufficient detail to clearly explain O&M requirements at the system, equipment, component, and subassembly level. Include an index preceding each submittal. Submit in accordance with this section and Section 01 33 00 SUBMITTAL PROCEDURES.

1.3.1 Package Quality

Documents must be fully legible. Operation and Maintenance data must be consistent with the manufacturer's standard brochures, schematics, printed instructions, general operating procedures, and safety precautions.

1.3.2 Package Content

Provide data package content in accordance with paragraph SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES. Comply with the data package requirements specified in the individual technical sections, including the

content of the packages and addressing each product, component, and system designated for data package submission, except as follows. Use Data Package 5 for commissioned items without a specified data package requirement in the individual technical sections. Provide a Data Package 5 instead of Data Package 1 or 2, as specified in the individual technical section, for items that are commissioned.

### 1.3.3 Changes to Submittals

Provide manufacturer-originated changes or revisions to submitted data if a component of an item is so affected subsequent to acceptance of the O&M Data. Submit changes, additions, or revisions required by the Contracting Officer for final acceptance of submitted data within 30 calendar days of the notification of this change requirement.

### 1.4 O&M DATABASE

Develop an editable, electronic spreadsheet based on the equipment in the Operation and Maintenance Manuals that contains the information required to start a preventive maintenance program. As a minimum, provide list of system equipment, location installed, warranty expiration date, manufacturer, model, and serial number.

### 1.5 OPERATION AND MAINTENANCE MANUAL FILE FORMAT

Assemble data packages into electronic Operation and Maintenance Manuals. Assemble each manual into a composite electronically indexed file using the most current version of Adobe Acrobat or similar software capable of producing PDF file format. Provide compact disks (CD) or data digital versatile disk (DVD) as appropriate, so that each one contains operation, maintenance and record files, project record documents, and training videos. Include a complete electronically linked operation and maintenance directory.

#### 1.5.1 Organization

Bookmark Product and Drawing Information documents using the current version of CSI Masterformat numbering system, and arrange submittals using the specification sections as a structure. Use CSI Masterformat and UFGS numbers along with descriptive bookmarked titles that explain the content of the information that is being bookmarked.

#### 1.5.2 CD or DVD Label and Disk Holder or Case

Provide the following information on the disk label and disk holder or case:

- a. Building Number
- b. Project Title
- c. Activity and Location
- d. Construction Contract Number
- e. Prepared For: (Contracting Agency)
- f. Prepared By: (Name, title, phone number and email address)
- g. Include the disk content on the disk label
- h. Date
- i. Virus scanning program used

## 1.6 TYPES OF INFORMATION REQUIRED IN O&M DATA PACKAGES

The following are a detailed description of the data package items listed in paragraph SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES.

### 1.6.1 Operating Instructions

Provide specific instructions, procedures, and illustrations for the following phases of operation for the installed model and features of each system:

#### 1.6.1.1 Safety Precautions and Hazards

List personnel hazards and equipment or product safety precautions for operating conditions. List all residual hazards identified in the AHA provided under Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Provide recommended safeguards for each identified hazard.

#### 1.6.1.2 Operator Prestart

Provide procedures required to install, set up, and prepare each system for use.

#### 1.6.1.3 Startup, Shutdown, and Post-Shutdown Procedures

Provide narrative description for Startup, Shutdown and Post-shutdown operating procedures including the control sequence for each procedure.

#### 1.6.1.4 Normal Operations

Provide Control Diagrams with data to explain operation and control of systems and specific equipment. Provide narrative description of Normal Operating Procedures.

#### 1.6.1.5 Emergency Operations

Provide Emergency Procedures for equipment malfunctions to permit a short period of continued operation or to shut down the equipment to prevent further damage to systems and equipment. Provide Emergency Shutdown Instructions for fire, explosion, spills, or other foreseeable contingencies. Provide guidance and procedures for emergency operation of utility systems including required valve positions, valve locations and zones or portions of systems controlled.

#### 1.6.1.6 Operator Service Requirements

Provide instructions for services to be performed by the operator such as lubrication, adjustment, inspection, and recording gauge readings.

#### 1.6.1.7 Environmental Conditions

Provide a list of Environmental Conditions (temperature, humidity, and other relevant data) that are best suited for the operation of each product, component or system. Describe conditions under which the item equipment should not be allowed to run.

#### 1.6.1.8 Operating Log

Provide forms, sample logs, and instructions for maintaining necessary

operating records.

#### 1.6.1.9 Additional Requirements for HVAC Control Systems

Provide Data Package 5 and the following for control systems:

- a. Narrative description on how to perform and apply functions, features, modes, and other operations, including unoccupied operation, seasonal changeover, manual operation, and alarms. Include detailed technical manual for programming and customizing control loops and algorithms.
- b. Full as-built sequence of operations.
- c. Copies of checkout tests and calibrations performed by the Contractor (not Cx tests).
- d. Full points list. Provide a listing of rooms with the following information for each room:
  - (1) Floor
  - (2) Room number
  - (3) Room name
  - (4) Air handler unit ID
  - (5) Reference drawing number
  - (6) Air terminal unit tag ID
  - (7) Heating or cooling valve tag ID
  - (8) Minimum cfm
  - (9) Maximum cfm
- e. Full print out of all schedules and set points after testing and acceptance of the system.
- f. Full as-built print out of software program.
- g. Marking of system sensors and thermostats on the as-built floor plan and mechanical drawings with their control system designations.

#### 1.6.2 Preventive Maintenance

Provide the following information for preventive and scheduled maintenance to minimize repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials.

##### 1.6.2.1 Lubrication Data

Include the following preventive maintenance lubrication data, in addition to instructions for lubrication required under paragraph OPERATOR SERVICE REQUIREMENTS:

- a. A table showing recommended lubricants for specific temperature ranges and applications.
- b. Charts with a schematic diagram of the equipment showing lubrication points, recommended types and grades of lubricants, and capacities.
- c. A Lubrication Schedule showing service interval frequency.

#### 1.6.2.2 Preventive Maintenance Plan, Schedule, and Procedures

Provide manufacturer's schedule for routine preventive maintenance, inspections, condition monitoring (predictive tests) and adjustments required to ensure proper and economical operation and to minimize repairs. Provide instructions stating when the systems should be retested. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly, monthly, and annual basis including craft requirements by type of craft. For periodic calibrations, provide manufacturer's specified frequency and procedures for each separate operation.

- a. Define the anticipated time required to perform each test (work-hours), test apparatus, number of personnel identified by responsibility, and a testing validation procedure permitting the record operation capability requirements within the schedule. Provide a remarks column for the testing validation procedure referencing operating limits of time, pressure, temperature, volume, voltage, current, acceleration, velocity, alignment, calibration, adjustments, cleaning, or special system notes. Delineate procedures for preventive maintenance, inspection, adjustment, lubrication and cleaning necessary to minimize repairs.
- b. Repair requirements must inform operators how to check out, troubleshoot, repair, and replace components of the system. Include electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting of the system after acceptance.

#### 1.6.2.3 Cleaning Recommendations

Provide environmentally preferable cleaning recommendations in accordance with ASTM E1971.

#### 1.6.3 Repair

Provide manufacturer's recommended procedures and instructions for correcting problems and making repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials.

##### 1.6.3.1 Troubleshooting Guides and Diagnostic Techniques

Provide step-by-step procedures to promptly isolate the cause of typical malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

##### 1.6.3.2 Wiring Diagrams and Control Diagrams

Provide point-to-point drawings of wiring and control circuits including factory-field interfaces. Provide a complete and accurate depiction of the actual job specific wiring and control work. On diagrams, number electrical and electronic wiring and pneumatic control tubing and the terminals for each type, identically to actual installation configuration and numbering.

#### 1.6.3.3 Repair Procedures

Provide instructions and a list of tools required to repair or restore the product or equipment to proper condition or operating standards.

#### 1.6.3.4 Removal and Replacement Instructions

Provide step-by-step procedures and a list of required tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies, subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings and adjustments required. Use a combination of text and illustrations.

#### 1.6.3.5 Spare Parts and Supply Lists

Provide lists of spare parts and supplies required for repair to ensure continued service or operation without unreasonable delays. Special consideration is required for facilities at remote locations. List spare parts and supplies that have a long lead-time to obtain.

#### 1.6.3.6 Repair Work-Hours

Provide manufacturer's projection of repair work-hours including requirements by type of craft. Identify, and tabulate separately, repair that requires the equipment manufacturer to complete or to participate.

#### 1.6.4 Appendices

Provide information required below and information not specified in the preceding paragraphs but pertinent to the maintenance or operation of the product or equipment. Include the following:

##### 1.6.4.1 Product Submittal Data

Provide a copy of SD-03 Product Data submittals documented with the required approval.

##### 1.6.4.2 Manufacturer's Instructions

Provide a copy of SD-08 Manufacturer's Instructions submittals documented with the required approval.

##### 1.6.4.3 O&M Submittal Data

Provide a copy of SD-10 Operation and Maintenance Data submittals documented with the required approval.

##### 1.6.4.4 Parts Identification

Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts. Identify parts by make, model, serial number, and source of supply to allow reordering without further identification. Provide clear and legible illustrations, drawings, and exploded views to enable easy identification of the items. When illustrations omit the part numbers and description, both the illustrations and separate listing must show the index, reference, or key number that will cross-reference the illustrated part to the listed part.

Group the parts shown in the listings by components, assemblies, and subassemblies in accordance with the manufacturer's standard practice. Parts data may cover more than one model or series of equipment, components, assemblies, subassemblies, attachments, or accessories, such as typically shown in a master parts catalog.

#### 1.6.4.5 Warranty Information

List and explain the various warranties and clearly identify the servicing and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Include warranty information for primary components of the system. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

#### 1.6.4.6 Extended Warranty Information

List all warranties for products, equipment, components, and sub-components whose duration exceeds one year. For each warranty listed, indicate the applicable specification section, duration, start date, end date, and the point of contact for warranty fulfillment. Also, list or reference the specific operation and maintenance procedures that must be performed to keep the warranty valid. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

#### 1.6.4.7 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

#### 1.6.4.8 Testing Equipment and Special Tool Information

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

#### 1.6.4.9 Testing and Performance Data

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

#### 1.6.4.10 Field Test Reports

Provide a copy of Field Test Reports (SD-06) submittals documented with the required approval.

#### 1.6.4.11 Contractor Information

Provide a list that includes the name, address, and telephone number of the General Contractor and each Subcontractor who installed the product or equipment, or system. For each item, also provide the name address and telephone number of the manufacturer's representative and service organization that can provide replacements most convenient to the project site. Provide the name, address, and telephone number of the product, equipment, and system manufacturers.

1.7 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

Provide the O&M data packages specified in individual technical sections.  
The information required in each type of data package follows:

1.7.1 Data Package 1

- a. Safety precautions and hazards
- b. Cleaning recommendations
- c. Maintenance and repair procedures
- d. Warranty information
- e. Extended warranty information
- f. Contractor information
- g. Spare parts and supply list

1.7.2 Data Package 2

- a. Safety precautions and hazards
- b. Normal operations
- c. Environmental conditions
- d. Lubrication data
- e. Preventive maintenance plan, schedule, and procedures
- f. Cleaning recommendations
- g. Maintenance and repair procedures
- h. Removal and replacement instructions
- i. Spare parts and supply list
- j. Parts identification
- k. Warranty information
- l. Extended warranty information
- m. Contractor information

1.7.3 Data Package 3

- a. Safety precautions and hazards
- b. Operator prestart
- c. Startup, shutdown, and post-shutdown procedures
- d. Normal operations
- e. Emergency operations
- f. Environmental conditions
- g. Operating log
- h. Lubrication data
- i. Preventive maintenance plan, schedule, and procedures
- j. Cleaning recommendations
- k. Troubleshooting guides and diagnostic techniques
- l. Wiring diagrams and control diagrams
- m. Maintenance and repair procedures
- n. Removal and replacement instructions
- o. Spare parts and supply list
- p. Product submittal data
- q. O&M submittal data
- r. Parts identification
- s. Warranty information
- t. Extended warranty information
- u. Testing equipment and special tool information
- v. Testing and performance data
- w. Contractor information
- x. Field test reports

1.7.4 Data Package 4

- a. Safety precautions and hazards
- b. Operator prestart
- c. Startup, shutdown, and post-shutdown procedures
- d. Normal operations
- e. Emergency operations
- f. Operator service requirements
- g. Environmental conditions
- h. Operating log
- i. Lubrication data
- j. Preventive maintenance plan, schedule, and procedures
- k. Cleaning recommendations
- l. Troubleshooting guides and diagnostic techniques
- m. Wiring diagrams and control diagrams
- n. Repair procedures
- o. Removal and replacement instructions
- p. Spare parts and supply list
- q. Repair work-hours
- r. Product submittal data
- s. O&M submittal data
- t. Parts identification
- u. Warranty information
- v. Extended warranty information
- w. Personnel training requirements
- x. Testing equipment and special tool information
- y. Testing and performance data
- z. Contractor information
- aa. Field test reports

1.7.5 Data Package 5

- a. Safety precautions and hazards
- b. Operator prestart
- c. Start-up, shutdown, and post-shutdown procedures
- d. Normal operations
- e. Environmental conditions
- f. Preventive maintenance plan, schedule, and procedures
- g. Troubleshooting guides and diagnostic techniques
- h. Wiring and control diagrams
- i. Maintenance and repair procedures
- j. Removal and replacement instructions
- k. Spare parts and supply list
- l. Product submittal data
- m. Manufacturer's instructions
- n. O&M submittal data
- o. Parts identification
- p. Testing equipment and special tool information
- q. Warranty information
- r. Extended warranty information
- s. Testing and performance data
- t. Contractor information
- u. Field test reports

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 TRAINING

Prior to acceptance of the facility by the Contracting Officer for Beneficial Occupancy, provide comprehensive training for the systems and equipment specified in the technical specifications. The training must be targeted for the Facilities Management Specialist, building maintenance personnel, and applicable building occupants. Instructors must be well-versed in the particular systems that they are presenting. Address aspects of the Operation and Maintenance Manual submitted in accordance with Section 01 78 00 CLOSEOUT SUBMITTALS. Training must include classroom or field lectures based on the system operating requirements. The location of classroom training requires approval by the Contracting Officer.

3.1.1 Training Plan

Submit a written training plan to the Contracting Officer for approval at least 60 calendar days prior to the scheduled training. Training plan must be approved by the Quality Control Manager (QC) prior to forwarding to the Contracting Officer. Also, coordinate the training schedule with the Contracting Officer and QC. Include within the plan the following elements:

- a. Equipment included in training
- b. Intended audience
- c. Location of training
- d. Dates of training
- e. Objectives
- f. Outline of the information to be presented and subjects covered including description
- g. Start and finish times and duration of training on each subject
- h. Methods (e.g. classroom lecture, video, site walk-through, actual operational demonstrations, written handouts)
- i. Instructor names and instructor qualifications for each subject
- j. List of texts and other materials to be furnished by the Contractor that are required to support training

3.1.2 Training Content

The core of this training must be based on manufacturer's recommendations and the operation and maintenance information. The QC Manager is responsible for overseeing and approving the content and adequacy of the training. Spend 95 percent of the instruction time during the presentation on the OPERATION AND MAINTENANCE DATA. Include the following for each system training presentation:

- a. Start-up, normal operation, shutdown, unoccupied operation, seasonal changeover, manual operation, controls set-up and programming,

troubleshooting, and alarms.

- b. Relevant health and safety issues.
- c. Discussion of how the feature or system is environmentally responsive. Advise adjustments and optimizing methods for energy conservation.
- d. Design intent.
- e. Use of O&M Manual Files.
- f. Review of control drawings and schematics.
- g. Interactions with other systems.
- h. Special maintenance and replacement sources.
- i. Tenant interaction issues.

### 3.1.3 Training Outline

Provide the Operation and Maintenance Manual Files (Bookmarked PDF) and a written course outline listing the major and minor topics to be discussed by the instructor on each day of the course to each trainee in the course. Provide the course outline 14 calendar days prior to the training.

### 3.1.4 Unresolved Questions from Attendees

If, at the end of the training course, there are questions from attendees that remain unresolved, the instructor must send the answers, in writing, to the Contracting Officer for transmittal to the attendees.

### 3.1.5 Validation of Training Completion

Ensure that each attendee at each training session signs a class roster daily to confirm Government participation in the training. At the completion of training, submit a signed validation letter that includes a sample record of training for reporting what systems were included in the training, who provided the training, when and where the training was performed, and copies of the signed class rosters. Provide two copies of the validation to the Contracting Officer, and one copy to the Operation and Maintenance Manual Preparer for inclusion into the Manual's documentation.

### 3.1.6 Quality Control Coordination

Coordinate this training with the QC Manager in accordance with Section 01 45 00.00 20 QUALITY CONTROL.

-- End of Section --

SECTION 07 84 00

FIRESTOPPING  
05/10

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM E119	(2012a) Standard Test Methods for Fire Tests of Building Construction and Materials
ASTM E1399	(1997; R 2009) Cyclic Movement and Measuring the Minimum and Maximum Joint Widths of Architectural Joint Systems
ASTM E1966	(2007; R 2011) Fire-Resistive Joint Systems
ASTM E2174	(2010a; E 2011) Standard Practice for On-Site Inspection of Installed Fire Stops
ASTM E2393	(2010a) Standard Practice for On-Site Inspection of Installed Fire Resistive Joint Systems and Perimeter Fire Barriers
ASTM E699	(2009) Standard Practice for Evaluation of Agencies Involved in Testing, Quality Assurance, and Evaluating of Building Components
ASTM E814	(2011a) Standard Test Method for Fire Tests of Through-Penetration Fire Stops
ASTM E84	(2018a) Standard Test Method for Surface Burning Characteristics of Building Materials

FM GLOBAL (FM)

FM APP GUIDE	(updated on-line) Approval Guide <a href="http://www.approvalguide.com/">http://www.approvalguide.com/</a>
FM AS 4991	(2001) Approval of Firestop Contractors

UNDERWRITERS LABORATORIES (UL)

UL 1479	(2003; Reprint Oct 2012) Fire Tests of Through-Penetration Firestops
UL 2079	(2004; Reprint Dec 2012) Tests for Fire

Resistance of Building Joint Systems

UL 723 (2018) UL Standard for Safety Test for Surface Burning Characteristics of Building Materials

UL Fire Resistance (2012) Fire Resistance Directory

1.2 SYSTEM DESCRIPTION

1.2.1 General

Furnish and install tested and listed firestopping systems, combination of materials, or devices to form an effective barrier against the spread of flame, smoke and gases, and maintain the integrity of fire resistance rated walls, partitions, floors, and ceiling-floor assemblies, including through-penetrations and construction joints and gaps.

- a. Through-penetrations include the annular space around pipes, tubes, conduit, wires, cables and vents.
- b. Construction joints include those used to accommodate expansion, contraction, wind, or seismic movement; firestopping material shall not interfere with the required movement of the joint.

1.2.2 Sequencing

Coordinate the specified work with other trades. Apply firestopping materials, at penetrations of pipes and ducts, prior to insulating, unless insulation meets requirements specified for firestopping. Apply firestopping materials at building joints and construction gaps, prior to completion of enclosing walls or assemblies. Cast-in-place firestop devices shall be located and installed in place before concrete placement. Pipe, conduit or cable bundles shall be installed through cast-in-place device after concrete placement but before area is concealed or made inaccessible. Firestop material shall be inspected and approved prior to final completion and enclosing of any assemblies that may conceal installed firestop.

1.2.3 Submittals Requirements

- a. Submit detail drawings including manufacturer's descriptive data, typical details conforming to UL Fire Resistance or other details certified by another nationally recognized testing laboratory, installation instructions or UL listing details for a firestopping assembly in lieu of fire-test data or report. For those firestop applications for which no UL tested system is available through a manufacturer, a manufacturer's engineering judgment, derived from similar UL system designs or other tests, shall be submitted for review and approval prior to installation. Submittal shall indicate the firestopping material to be provided for each type of application. When more than a total of 5 penetrations and/or construction joints are to receive firestopping, provide drawings that indicate location, "F" "T" and "L" ratings, and type of application.
- b. Submit certificates attesting that firestopping material complies with the specified requirements. For all intumescent firestop materials used in through penetration systems, manufacturer shall provide certification of compliance with UL 1479.

- c. Submit documentation of training and experience for Installer.
- d. Submit inspection report stating that firestopping work has been inspected and found to be applied according to the manufacturer's recommendations and the specified requirements.

### 1.3 SUBMITTALS

Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-06 Test Reports

Inspection

SD-07 Certificates

Inspector Qualifications  
Firestopping Materials  
Installer Qualifications

### 1.4 QUALITY ASSURANCE

#### 1.4.1 Installer

Engage an experienced Installer who is:

- a. FM Research approved in accordance with FM AS 4991, operating as a UL Certified Firestop Contractor, or
- b. Certified, licensed, or otherwise qualified by the firestopping manufacturer as having the necessary staff, training, and a minimum of 3 years experience in the installation of manufacturer's products in accordance with specified requirements. A manufacturer's willingness to sell its firestopping products to the Contractor or to an installer engaged by the Contractor does not in itself confer installer qualifications on the buyer. The Installer shall have been trained by a direct representative of the manufacturer (not distributor or agent) in the proper selection and installation procedures. The installer shall obtain from the manufacturer written certification of training, and retain proof of certification for duration of firestop installation.

#### 1.4.2 Inspector Qualifications

The inspector shall meet the criteria contained in ASTM E699 for agencies involved in quality assurance and shall have a minimum of two years experience in construction field inspections of firestopping systems, products, and assemblies. The inspector shall be completely independent of, and divested from, the installer, the manufacturer, and the supplier of any material or item being inspected. The inspector shall not be a competitor of the installer, the contractor, the manufacturer, or supplier of any material or item being inspected. Include in the qualifications submittal a notarized statement assuring compliance with the requirements stated herein.

## 1.5 DELIVERY, STORAGE, AND HANDLING

Deliver materials in the original unopened packages or containers showing name of the manufacturer and the brand name. Store materials off the ground, protected from damage and exposure to elements and temperatures in accordance with manufacturer requirements. Remove damaged or deteriorated materials from the site. Use materials within their indicated shelf life.

## PART 2 PRODUCTS

### 2.1 FIRESTOPPING MATERIALS

Provide firestopping materials, supplied from a single domestic manufacturer, consisting of commercially manufactured, asbestos-free, nontoxic products FM APP GUIDE approved, or UL listed, for use with applicable construction and penetrating items, complying with the following minimum requirements:

#### 2.1.1 Fire Hazard Classification

Material shall have a flame spread of 25 or less, and a smoke developed rating of 50 or less, when tested in accordance with ASTM E84 or UL 723. Material shall be an approved firestopping material as listed in UL Fire Resistance or by a nationally recognized testing laboratory.

#### 2.1.2 Toxicity

Material shall be nontoxic and carcinogen free to humans at all stages of application or during fire conditions and shall not contain hazardous chemicals or require harmful chemicals to clean material or equipment. Firestop material must be free from Ethylene Glycol, PCB, MEK, or other types of hazardous chemicals.

#### 2.1.3 Fire Resistance Rating

Firestop systems shall be UL Fire Resistance listed or FM APP GUIDE approved with "F" rating at least equal to fire-rating of fire wall or floor in which penetrated openings are to be protected. Where required, firestop systems shall also have "T" rating at least equal to the fire-rated floor in which the openings are to be protected.

##### 2.1.3.1 Through-Penetrations

Firestopping materials for through-penetrations, as described in paragraph SYSTEM DESCRIPTION, shall provide "F", "T" and "L" fire resistance ratings in accordance with ASTM E814 or UL 1479. Fire resistance ratings shall be as follows:

##### 2.1.3.1.1 Penetrations of Fire Resistance Rated Walls and Partitions

F Rating = Rating of wall or partition being penetrated.

##### 2.1.3.2 Construction Joints and Gaps

Fire resistance ratings of construction joints, as described in paragraph SYSTEM DESCRIPTION, and gaps such as those between floor slabs and curtain walls shall be the same as the construction in which they occur. Construction joints and gaps shall be provided with firestopping materials and systems that have been tested in accordance with ASTM E119,

ASTM E1966 or UL 2079 to meet the required fire resistance rating. Systems installed at construction joints shall meet the cycling requirements of ASTM E1399 or UL 2079. All joints at the intersection of the top of a fire resistance rated wall and the underside of a fire-rated floor, floor ceiling, or roof ceiling assembly shall provide a minimum class II movement capability.

### PART 3 EXECUTION

#### 3.1 PREPARATION

Areas to receive firestopping shall be free of dirt, grease, oil, or loose materials which may affect the fitting or fire resistance of the firestopping system. For cast-in-place firestop devices, formwork or metal deck to receive device prior to concrete placement shall be sound and capable of supporting device. Prepare surfaces as recommended by the manufacturer.

#### 3.2 INSTALLATION

Completely fill void spaces with firestopping material regardless of geometric configuration, subject to tolerance established by the manufacturer. Firestopping systems for filling floor voids 4 inches or more in any direction shall be capable of supporting the same load as the floor is designed to support or shall be protected by a permanent barrier to prevent loading or traffic in the firestopped area. Install firestopping in accordance with manufacturer's written instructions. Provide tested and listed firestop systems in the following locations, except in floor slabs on grade:

- a. Penetrations of duct, conduit, tubing, cable and pipe through floors and through fire-resistance rated walls, partitions, and ceiling-floor assemblies.
- d. Gaps at perimeter of fire-resistance rated walls and partitions, such as between the top of the walls and the bottom of roof decks.
- f. Other locations where required to maintain fire resistance rating of the construction.

##### 3.2.1 Insulated Pipes and Ducts

Thermal insulation shall be cut and removed where pipes or ducts pass through firestopping, unless insulation meets requirements specified for firestopping. Replace thermal insulation with a material having equal thermal insulating and firestopping characteristics.

##### 3.2.2 Data and Communication Cabling

Cabling for data and communication applications shall be sealed with re-enterable firestopping products and devices as indicated.

###### 3.2.2.1 Re-Enterable Devices

Firestopping devices shall be pre-manufactured modular devices, containing built-in self-sealing intumescent inserts. Firestopping devices shall allow for cable moves, additions or changes without the need to remove or replace any firestop materials. Devices must be capable of maintaining the fire resistance rating of the penetrated membrane at 0 percent to 100

percent visual fill of penetrants; while maintaining "L" rating of <10 cfm/sf measured at ambient temperature and 400\* F at 0 percent to 100 percent visual fill.

#### 3.2.2.2 Re-Sealable Products

Provide firestopping pre-manufactured modular products, containing self-sealing intumescent inserts. Firestopping products shall allow for cable moves, additions or changes. Devices shall be capable of maintaining the fire resistance rating of the penetrated membrane at 0 percent to 100 percent visual fill of penetrants.

### 3.3 INSPECTION

#### 3.3.1 General Requirements

For all projects, the firestopped areas shall not be covered or enclosed until inspection is complete and approved by the Contracting Officer. The inspector shall inspect the applications initially to ensure adequate preparations (clean surfaces suitable for application, etc.) and periodically during the work to assure that the completed work has been accomplished according to the manufacturer's written instructions and the specified requirements. Submit written reports indicating locations of and types of penetrations and types of firestopping used at each location; type shall be recorded by UL listed printed numbers.

#### 3.3.2 Inspection Standards

Inspect all firestopping in accordance to ASTM standards for firestop inspection, and document inspection results to be submitted.

- a. ASTM E2393
- b. ASTM E2174

-- End of Section --

SECTION 09 90 00

PAINTS AND COATINGS

05/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)

ACGIH 0100 (2001; Supplements 2002-2008)  
Documentation of the Threshold Limit  
Values and Biological Exposure Indices

ASTM INTERNATIONAL (ASTM)

ASTM C920 (2018) Standard Specification for  
Elastomeric Joint Sealants

ASTM D235 (2002; R 2012) Mineral Spirits (Petroleum  
Spirits) (Hydrocarbon Dry Cleaning Solvent)

ASTM D4214 (2007) Standard Test Method for Evaluating  
the Degree of Chalking of Exterior Paint  
Films

ASTM D4263 (1983; R 2012) Indicating Moisture in  
Concrete by the Plastic Sheet Method

ASTM D523 (2008) Standard Test Method for Specular  
Gloss

ASTM D6386 (2010) Standard Practice for Preparation  
of Zinc (Hot-Dip Galvanized) Coated Iron  
and Steel Product and Hardware Surfaces  
for Painting

MASTER PAINTERS INSTITUTE (MPI)

MPI 10 (Oct 2009) Exterior Latex, Flat, MPI Gloss  
Level 1

MPI 107 (Oct 2009) Rust Inhibitive Primer  
(Water-Based)

MPI 119 (Oct 2009) Exterior Latex, Gloss

MPI 141 (Oct 2009) Interior High Performance Latex  
MPI Gloss Level 5

MPI 144 (Oct 2009) Institutional Low Odor / VOC  
Interior Latex, MPI Gloss Level 2

REPAIR FOUR ELEVATORS, BUILDING 4881  
N40085-15-D4709

MPI 145	(Oct 2009) Institutional Low Odor / VOC Interior Latex, MPI Gloss Level 3
MPI 146	(Oct 2009) Institutional Low Odor/VOC Interior Latex, MPI Gloss Level 4
MPI 23	(Oct 2009) Surface Tolerant Metal Primer
MPI 26	(Oct 2009) Cementitious Galvanized Metal Primer
MPI 50	(Oct 2009) Interior Latex Primer Sealer
MPI 79	(Oct 2009) Alkyd Anti-Corrosive Metal Primer
MPI 9	(Oct 2009) Exterior Alkyd, Gloss, MPI Gloss Level 6
MPI 95	(Oct 2009) Quick Drying Primer for Aluminum

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)

SCS SP-01	(2000) Environmentally Preferable Product Specification for Architectural and Anti-Corrosive Paints
-----------	---

SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC 7/NACE No.4	(2007; E 2004) Brush-Off Blast Cleaning
SSPC PA 1	(2000; E 2004) Shop, Field, and Maintenance Painting of Steel
SSPC PA Guide 3	(1982; E 1995) A Guide to Safety in Paint Application
SSPC SP 1	(1982; E 2004) Solvent Cleaning
SSPC SP 10/NACE No. 2	(2007) Near-White Blast Cleaning
SSPC SP 12/NACE No.5	(2002) Surface Preparation and Cleaning of Metals by Waterjetting Prior to Recoating
SSPC SP 2	(1982; E 2000; E 2004) Hand Tool Cleaning
SSPC SP 3	(1982; E 2004) Power Tool Cleaning
SSPC SP 6/NACE No.3	(2007) Commercial Blast Cleaning
SSPC VIS 1	(2002; E 2004) Guide and Reference Photographs for Steel Surfaces Prepared by Dry Abrasive Blast Cleaning
SSPC VIS 3	(2004) Guide and Reference Photographs for Steel Surfaces Prepared by Hand and Power Tool Cleaning
SSPC VIS 4/NACE VIS 7	(1998; E 2000; E 2004) Guide and Reference

Photographs for Steel Surfaces Prepared by  
Waterjetting

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-STD-101 (1970; Rev B) Color Code for Pipelines &  
for Compressed Gas Cylinders

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

EPA Method 24 (2000) Determination of Volatile Matter  
Content, Water Content, Density, Volume  
Solids, and Weight Solids of Surface  
Coatings

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

FED-STD-313 (Rev D; Notice 1) Material Safety Data,  
Transportation Data and Disposal Data for  
Hazardous Materials Furnished to  
Government Activities

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.1000 Air Contaminants

1.2 SUBMITTALS

The following shall be submitted in accordance with Section 01 33 00  
SUBMITTAL PROCEDURES:

The current MPI, "Approved Product List" which lists paint by brand,  
label, product name and product code as of the date of contract award,  
will be used to determine compliance with the submittal requirements of  
this specification. The Contractor may choose to use a subsequent MPI  
"Approved Product List", however, only one list may be used for the entire  
contract and each coating system is to be from a single manufacturer. All  
coats on a particular substrate must be from a single manufacturer. No  
variation from the MPI Approved Products List is acceptable.

Samples of specified materials may be taken and tested for compliance with  
specification requirements.

In keeping with the intent of Executive Order 13101, "Greening the  
Government through Waste Prevention, Recycling, and Federal Acquisition",  
products certified by SCS as meeting SCS SP-01 shall be given preferential  
consideration over registered products. Products that are registered  
shall be given preferential consideration over products not carrying any  
EPP designation.

SD-02 Shop Drawings

Piping identification

Submit color stencil codes

SD-03 Product Data

Coating

Manufacturer's Technical Data Sheets

Sealant

SD-04 Samples

Color

Submit manufacturer's samples of paint colors. Cross reference color samples to color scheme as indicated.

SD-07 Certificates

Applicator's qualifications

Qualification Testing laboratory for coatings

SD-08 Manufacturer's Instructions

Application instructions

Mixing

Detailed mixing instructions, minimum and maximum application temperature and humidity, potlife, and curing and drying times between coats.

Manufacturer's Material Safety Data Sheets

Submit manufacturer's Material Safety Data Sheets for coatings, solvents, and other potentially hazardous materials, as defined in FED-STD-313.

SD-10 Operation and Maintenance Data

Coatings:

Preprinted cleaning and maintenance instructions for all coating systems shall be provided.

1.3 APPLICATOR'S QUALIFICATIONS

1.3.1 Contractor Qualification

Submit the name, address, telephone number, FAX number, and e-mail address of the contractor that will be performing all surface preparation and coating application. Submit evidence that key personnel have successfully performed surface preparation and application of coatings on a minimum of three similar projects within the past three years. List information by individual and include the following:

- a. Name of individual and proposed position for this work.
- b. Information about each previous assignment including:

Position or responsibility

Employer (if other than the Contractor)

Name of facility owner

Mailing address, telephone number, and telex number (if non-US) of  
facility owner

Name of individual in facility owner's organization who can be  
contacted as a reference

Location, size and description of structure

Dates work was carried out

Description of work carried out on structure

#### 1.4 QUALITY ASSURANCE

##### 1.4.1 Field Samples and Tests

The Contracting Officer may choose up to two coatings that have been delivered to the site to be tested at no cost to the Government. Take samples of each chosen product as specified in the paragraph "Sampling Procedures." Test each chosen product as specified in the paragraph "Testing Procedure." Products which do not conform, shall be removed from the job site and replaced with new products that conform to the referenced specification. Testing of replacement products that failed initial testing shall be at no cost to the Government.

##### 1.4.1.1 Sampling Procedure

The Contracting Officer will select paint at random from the products that have been delivered to the job site for sample testing. The Contractor shall provide one quart samples of the selected paint materials. The samples shall be taken in the presence of the Contracting Officer, and labeled, identifying each sample. Provide labels in accordance with the paragraph "Packaging, Labeling, and Storage" of this specification.

##### 1.4.1.2 Testing Procedure

Provide Batch Quality Conformance Testing for specified products, as defined by and performed by MPI. As an alternative to Batch Quality Conformance Testing, the Contractor may provide Qualification Testing for specified products above to the appropriate MPI product specification, using the third-party laboratory approved under the paragraph "Qualification Testing" laboratory for coatings. The qualification testing lab report shall include the backup data and summary of the test results. The summary shall list all of the reference specification requirements and the result of each test. The summary shall clearly indicate whether the tested paint meets each test requirement. Note that Qualification Testing may take 4 to 6 weeks to perform, due to the extent of testing required.

Submit name, address, telephone number, FAX number, and e-mail address of the independent third party laboratory selected to perform testing of coating samples for compliance with specification requirements. Submit documentation that laboratory is regularly engaged in testing of paint samples for conformance with specifications, and that employees performing testing are qualified. If the Contractor chooses MPI to perform the Batch Quality Conformance testing, the above submittal information is not

required, only a letter is required from the Contractor stating that MPI will perform the testing.

## 1.5 REGULATORY REQUIREMENTS

### 1.5.1 Environmental Protection

In addition to requirements specified elsewhere for environmental protection, provide coating materials that conform to the restrictions of the local Air Pollution Control District and regional jurisdiction. Notify Contracting Officer of any paint specified herein which fails to conform.

### 1.5.2 Lead Content

Do not use coatings having a lead content over 0.06 percent by weight of nonvolatile content.

### 1.5.3 Chromate Content

Do not use coatings containing zinc-chromate or strontium-chromate.

### 1.5.4 Asbestos Content

Materials shall not contain asbestos.

### 1.5.5 Mercury Content

Materials shall not contain mercury or mercury compounds.

### 1.5.6 Silica

Abrasive blast media shall not contain free crystalline silica.

### 1.5.7 Human Carcinogens

Materials shall not contain ACGIH 0100 confirmed human carcinogens (A1) or suspected human carcinogens (A2).

## 1.6 PACKAGING, LABELING, AND STORAGE

Paints shall be in sealed containers that legibly show the contract specification number, designation name, formula or specification number, batch number, color, quantity, date of manufacture, manufacturer's formulation number, manufacturer's directions including any warnings and special precautions, and name and address of manufacturer. Pigmented paints shall be furnished in containers not larger than 5 gallons. Paints and thinners shall be stored in accordance with the manufacturer's written directions, and as a minimum, stored off the ground, under cover, with sufficient ventilation to prevent the buildup of flammable vapors, and at temperatures between 40 to 95 degrees F. Do not store paint, polyurethane, varnish, or wood stain products with materials that have a high capacity to adsorb VOC emissions. Do not store paint, polyurethane, varnish, or wood stain products in occupied spaces.

## 1.7 SAFETY AND HEALTH

Apply coating materials using safety methods and equipment in accordance with the following:

Work shall comply with applicable Federal, State, and local laws and regulations, and with the ACCIDENT PREVENTION PLAN. The Activity Hazard Analysis shall include analyses of the potential impact of painting operations on painting personnel and on others involved in and adjacent to the work zone.

#### 1.7.1 Safety Methods Used During Coating Application

Comply with the requirements of SSPC PA Guide 3.

#### 1.7.2 Toxic Materials

To protect personnel from overexposure to toxic materials, conform to the most stringent guidance of:

- a. The applicable manufacturer's Material Safety Data Sheets (MSDS) or local regulation.
- b. 29 CFR 1910.1000.
- c. ACGIH 0100, threshold limit values.

#### 1.8 ENVIRONMENTAL CONDITIONS

Comply, at minimum, with manufacturer recommendations for space ventilation during and after installation. Isolate area of application from rest of building when applying high-emission paints or coatings.

##### 1.8.1 Coatings

Do not apply coating when air or substrate conditions are:

- a. Less than 5 degrees F above dew point;
- b. Below 50 degrees F or over 95 degrees F, unless specifically pre-approved by the Contracting Officer and the product manufacturer. Under no circumstances shall application conditions exceed manufacturer recommendations.

##### 1.8.2 Post-Application

Vacate space for as long as possible after application. Wait a minimum of 48 hours before occupying freshly painted rooms. Maintain one of the following ventilation conditions during the curing period, or for 72 hours after application:

- a. Supply 100 percent outside air 24 hours a day.
- b. Supply airflow at a rate of 6 air changes per hour, when outside temperatures are between 55 degrees F and 85 degrees F and humidity is between 30 percent and 60 percent.
- c. Supply airflow at a rate of 1.5 air changes per hour, when outside air conditions are not within the range stipulated above.

#### 1.9 SCHEDULING

Allow paint, polyurethane, varnish, and wood stain installations to cure

prior to the installation of materials that adsorb VOCs.

#### 1.10 LOCATION AND SURFACE TYPE TO BE PAINTED

##### 1.10.1 Painting Included

Where a space or surface is indicated to be painted, include the following unless indicated otherwise.

- a. Surfaces behind portable objects and surface mounted articles readily detachable by removal of fasteners, such as screws and bolts.
- b. New factory finished surfaces that require identification or color coding and factory finished surfaces that are damaged during performance of the work.
- c. Existing coated surfaces that are damaged during performance of the work.

##### 1.10.1.1 Exterior Painting

Includes new surfaces, existing coated surfaces, and existing uncoated surfaces, of the building and appurtenances. Also included are existing coated surfaces made bare by cleaning operations.

##### 1.10.1.2 Interior Painting

Includes new surfaces, existing uncoated surfaces, an existing coated surfaces of the building and appurtenances as indicated and existing coated surfaces made bare by cleaning operations. Where a space or surface is indicated to be painted, include the following items, unless indicated otherwise.

- a. Exposed columns, girders, beams, joists, and metal deck; and
- b. Other contiguous surfaces.

##### 1.10.2 Painting Excluded

Do not paint the following unless indicated otherwise.

- a. Surfaces concealed and made inaccessible by panelboards, fixed ductwork, machinery, and equipment fixed in place.
- b. Surfaces in concealed spaces. Concealed spaces are defined as enclosed spaces above suspended ceilings, furred spaces, attic spaces, crawl spaces, elevator shafts and chases.
- c. Steel to be embedded in concrete.
- d. Copper, stainless steel, aluminum, brass, and lead except existing coated surfaces.
- e. Hardware, fittings, and other factory finished items.
- f. Surfaces in the following areas shall not be painted:  
WMEchanical / Electrical Room (105).

### 1.10.3 Mechanical and Electrical Painting

Includes field coating of interior new and existing surfaces.

- a. Where a space or surface is indicated to be painted, include the following items unless indicated otherwise.
  - (1) Exposed piping, conduit, and ductwork;
  - (2) Supports, hangers, air grilles, and registers;
  - (3) Miscellaneous metalwork and insulation coverings.
- b. Do not paint the following, unless indicated otherwise:
  - (1) New zinc-coated, aluminum, and copper surfaces under insulation
  - (2) New aluminum jacket on piping
  - (3) New interior ferrous piping under insulation.

#### 1.10.3.1 Fire Extinguishing Sprinkler Systems

Clean, pretreat, prime, and paint new fire extinguishing sprinkler systems including valves, piping, conduit, hangers, supports, miscellaneous metalwork, and accessories. Apply coatings to clean, dry surfaces, using clean brushes. Clean the surfaces to remove dust, dirt, rust, and loose mill scale. Immediately after cleaning, provide the metal surfaces with one coat primer per schedules. Shield sprinkler heads with protective covering while painting is in progress. Upon completion of painting, remove protective covering from sprinkler heads. Remove sprinkler heads which have been painted and replace with new sprinkler heads. Provide primed surfaces with the following:

- a. Piping in Unfinished Areas: Provide primed surfaces with one coat of red alkyd gloss enamel applied to a minimum dry film thickness of 1.0 mil in attic spaces, spaces above suspended ceilings, crawl spaces, pipe chases, mechanical equipment room, and spaces where walls or ceiling are not painted or not constructed of a prefinished material.
- b. Piping in Finished Areas: Provide primed surfaces with two coats of paint to match adjacent surfaces, except provide valves and operating accessories with one coat of red alkyd gloss enamel applied to a minimum dry film thickness of 1.0 mil. Provide piping with 2 inch wide red enamel bands or self-adhering red plastic bands spaced at maximum of 20 foot intervals throughout the piping systems.

### 1.10.4 Definitions and Abbreviations

#### 1.10.4.1 Qualification Testing

Qualification testing is the performance of all test requirements listed in the product specification. This testing is accomplished by MPI to qualify each product for the MPI Approved Product List, and may also be accomplished by Contractor's third party testing lab if an alternative to Batch Quality Conformance Testing by MPI is desired.

1.10.4.2 Batch Quality Conformance Testing

Batch quality conformance testing determines that the product provided is the same as the product qualified to the appropriate product specification. This testing shall only be accomplished by MPI testing lab.

1.10.4.3 Coating

A film or thin layer applied to a base material called a substrate. A coating may be a metal, alloy, paint, or solid/liquid suspensions on various substrates (metals, plastics, wood, paper, leather, cloth, etc.). They may be applied by electrolysis, vapor deposition, vacuum, or mechanical means such as brushing, spraying, calendaring, and roller coating. A coating may be applied for aesthetic or protective purposes or both. The term "coating" as used herein includes emulsions, enamels, stains, varnishes, sealers, epoxies, and other coatings, whether used as primer, intermediate, or finish coat. The terms paint and coating are used interchangeably.

1.10.4.4 DFT or dft

Dry film thickness, the film thickness of the fully cured, dry paint or coating.

1.10.4.5 DSD

Degree of Surface Degradation, the MPI system of defining degree of surface degradation. Five (5) levels are generically defined under the Assessment sections in the MPI Maintenance Repainting Manual.

1.10.4.6 EPP

Environmentally Preferred Products, a standard for determining environmental preferability in support of Executive Order 13101.

1.10.4.7 EXT

MPI short term designation for an exterior coating system.

1.10.4.8 INT

MPI short term designation for an interior coating system.

1.10.4.9 micron / microns

The metric measurement for 0.001 mm or one/one-thousandth of a millimeter.

1.10.4.10 mil / mils

The English measurement for 0.001 in or one/one-thousandth of an inch, equal to 25.4 microns or 0.0254 mm.

1.10.4.11 mm

The metric measurement for millimeter, 0.001 meter or one/one-thousandth of a meter.

#### 1.10.4.12 MPI Gloss Levels

MPI system of defining gloss. Seven (7) gloss levels (G1 to G7) are generically defined under the Evaluation sections of the MPI Manuals. Traditionally, Flat refers to G1/G2, Eggshell refers to G3, Semigloss refers to G5, and Gloss refers to G6.

Gloss levels are defined by MPI as follows:

Gloss Level	Description	Units at 60 degrees	Units at 85 degrees
G1	Matte or Flat	0 to 5	10 max
G2	Velvet	0 to 10	10 to 35
G3	Eggshell	10 to 25	10 to 35
G4	Satin	20 to 35	35 min
G5	Semi-Gloss	35 to 70	
G6	Gloss	70 to 85	
G7	High Gloss		

Gloss is tested in accordance with ASTM D523. Historically, the Government has used Flat (G1 / G2), Eggshell (G3), Semi-Gloss (G5), and Gloss (G6).

#### 1.10.4.13 MPI System Number

The MPI coating system number in each Division found in either the MPI Architectural Painting Specification Manual or the Maintenance Repainting Manual and defined as an exterior (EXT/REX) or interior system (INT/RIN). The Division number follows the CSI Master Format.

#### 1.10.4.14 Paint

See Coating definition.

#### 1.10.4.15 REX

MPI short term designation for an exterior coating system used in repainting projects or over existing coating systems.

#### 1.10.4.16 RIN

MPI short term designation for an interior coating system used in repainting projects or over existing coating systems.

### PART 2 PRODUCTS

#### 2.1 MATERIALS

Conform to the coating specifications and standards referenced in PART 3. Submit manufacturer's technical data sheets for specified coatings and solvents. Minimum 20 percent post-consumer recycled content for all paints and primers. All consolidated latex paints shall contain a minimum of 100 percent post-consumer recycled content. Comply with applicable regulations regarding toxic and hazardous materials.

#### 2.2 COLOR

Provide coatings of color to match the manufacturer's designations

indicated in the Interior Color Schedule on the Drawings

### PART 3 EXECUTION

#### 3.1 PROTECTION OF AREAS AND SPACES NOT TO BE PAINTED

Prior to surface preparation and coating applications, remove, mask, or otherwise protect, hardware, hardware accessories, machined surfaces, radiator covers, plates, lighting fixtures, public and private property, and other such items not to be coated that are in contact with surfaces to be coated. Following completion of painting, workmen skilled in the trades involved shall reinstall removed items. Restore surfaces contaminated by coating materials, to original condition and repair damaged items.

#### 3.2 RESEALING OF EXISTING EXTERIOR JOINTS

##### 3.2.1 Surface Condition

Surfaces shall be clean, dry to the touch, and free from frost and moisture; remove grease, oil, wax, lacquer, paint, defective backstop, or other foreign matter that would prevent or impair adhesion. Where adequate grooves have not been provided, clean out to a depth of 1/2 inch and grind to a minimum width of 1/4 inch without damage to adjoining work. Grinding shall not be required on metal surfaces.

##### 3.2.2 Backstops

In joints more than 1/2 inch deep, install glass fiber roving or neoprene, butyl, polyurethane, or polyethylene foams free of oil or other staining elements as recommended by sealant manufacturer. Backstop material shall be compatible with sealant. Do not use oakum and other types of absorptive materials as backstops.

##### 3.2.3 Primer and Bond Breaker

Install the type recommended by the sealant manufacturer.

##### 3.2.4 Ambient Temperature

Between 38 degrees F and 95 degrees F when applying sealant.

##### 3.2.5 Exterior Sealant

For joints in vertical surfaces, provide ASTM C920, Type S or M, Grade NS, Class 25, Use NT. For joints in horizontal surfaces, provide ASTM C920, Type S or M, Grade P, Class 25, Use T. Color(s) shall be selected by the Contracting Officer. Apply the sealant in accordance with the manufacturer's printed instructions. Force sealant into joints with sufficient pressure to fill the joints solidly. Sealant shall be uniformly smooth and free of wrinkles.

##### 3.2.6 Cleaning

Immediately remove fresh sealant from adjacent areas using a solvent recommended by the sealant manufacturer. Upon completion of sealant application, remove remaining smears and stains and leave the work in a clean condition. Allow sealant time to cure, in accordance with manufacturer's recommendations, prior to coating.

### 3.3 SURFACE PREPARATION

Remove dirt, splinters, loose particles, grease, oil, disintegrated coatings, and other foreign matter and substances deleterious to coating performance as specified for each substrate before application of paint or surface treatments. Oil and grease shall be removed prior to mechanical cleaning. Cleaning shall be programmed so that dust and other contaminants will not fall on wet, newly painted surfaces. Exposed ferrous metals such as nail heads on or in contact with surfaces to be painted with water-thinned paints, shall be spot-primed with a suitable corrosion-inhibitive primer capable of preventing flash rusting and compatible with the coating specified for the adjacent areas.

#### 3.3.1 Additional Requirements for Preparation of Surfaces With Existing Coatings

Before application of coatings, perform the following on surfaces covered by soundly-adhered coatings, defined as those which cannot be removed with a putty knife:

- a. Test existing finishes for lead before sanding, scraping, or removing. If lead is present, refer to paragraph Toxic Materials.
- b. Wipe previously painted surfaces to receive solvent-based coatings, except stucco and similarly rough surfaces clean with a clean, dry cloth saturated with mineral spirits, ASTM D235. Allow surface to dry. Wiping shall immediately precede the application of the first coat of any coating, unless specified otherwise.
- c. Sand existing glossy surfaces to be painted to reduce gloss. Brush, and wipe clean with a damp cloth to remove dust.
- d. The requirements specified are minimum. Comply also with the application instructions of the paint manufacturer.
- e. Previously painted surfaces specified to be repainted or damaged during construction shall be thoroughly cleaned of all grease, dirt, dust or other foreign matter.
- f. Blistering, cracking, flaking and peeling or other deteriorated coatings shall be removed.
- g. Chalk shall be removed so that when tested in accordance with ASTM D4214, the chalk resistance rating is no less than 8.
- h. Slick surfaces shall be roughened. Damaged areas such as, but not limited to, nail holes, cracks, chips, and spalls shall be repaired with suitable material to match adjacent undamaged areas.
- i. Edges of chipped paint shall be feather edged and sanded smooth.
- j. Rusty metal surfaces shall be cleaned as per SSPC requirements. Solvent, mechanical, or chemical cleaning methods shall be used to provide surfaces suitable for painting.
- k. New, proposed coatings shall be compatible with existing coatings.

### 3.3.2 Existing Coated Surfaces with Minor Defects

Sand, spackle, and treat minor defects to render them smooth. Minor defects are defined as scratches, nicks, cracks, gouges, spalls, alligating, chalking, and irregularities due to partial peeling of previous coatings.

### 3.4 PREPARATION OF METAL SURFACES

#### 3.4.1 Final Ferrous Surface Condition:

For tool cleaned surfaces, the requirements are stated in SSPC SP 2 and SSPC SP 3. As a visual reference, cleaned surfaces shall be similar to photographs in SSPC VIS 3.

For abrasive blast cleaned surfaces, the requirements are stated in SSPC 7/NACE No.4, SSPC SP 6/NACE No.3, and SSPC SP 10/NACE No. 2. As a visual reference, cleaned surfaces shall be similar to photographs in SSPC VIS 1.

For waterjet cleaned surfaces, the requirements are stated in SSPC SP 12/NACE No.5. As a visual reference, cleaned surfaces shall be similar to photographs in SSPC VIS 4/NACE VIS 7.

#### 3.4.2 Galvanized Surfaces

- a. New or Existing Galvanized Surfaces With Only Dirt and Zinc Oxidation Products: Clean with solvent, steam, or non-alkaline detergent solution in accordance with SSPC SP 1. If the galvanized metal has been passivated or stabilized, the coating shall be completely removed by brush-off abrasive blast. New galvanized steel to be coated shall not be "passivated" or "stabilized" If the absence of hexavalent stain inhibitors is not documented, test as described in ASTM D6386, Appendix X2, and remove by one of the methods described therein.
- b. Galvanized with Slight Coating Deterioration or with Little or No Rusting: Water jetting to SSPC SP 12/NACE No.5 WJ3 to remove loose coating from surfaces with less than 20 percent coating deterioration and no blistering, peeling, or cracking. Use inhibitor as recommended by the coating manufacturer to prevent rusting.
- c. Galvanized With Severe Deteriorated Coating or Severe Rusting: Water jet to SSPC SP 12/NACE No.5 WJ3 degree of cleanliness. Spot abrasive blast rusted areas as described for steel in SSPC SP 6/NACE No.3, and waterjet to SSPC SP 12/NACE No.5, WJ3 to remove existing coating.

#### 3.4.3 Non-Ferrous Metallic Surfaces

Aluminum and aluminum-alloy, lead, copper, and other nonferrous metal surfaces.

Surface Cleaning: Solvent clean in accordance with SSPC SP 1 and wash with mild non-alkaline detergent to remove dirt and water soluble contaminants.

#### 3.4.4 Existing Surfaces with a Bituminous or Mastic-Type Coating

Remove chalk, mildew, and other loose material by washing with a solution of 1/2 cup trisodium phosphate, 1/4 cup household detergent, one quart 5

percent sodium hypochlorite solution and 3 quarts of warm water.

### 3.5 PREPARATION OF CONCRETE AND CEMENTITIOUS SURFACE

#### 3.5.1 Gypsum Board

- a. Surface Cleaning: gypsum board shall be dry. Remove loose dirt and dust by brushing with a soft brush, rubbing with a dry cloth, or vacuum-cleaning prior to application of the first coat material. A damp cloth or sponge may be used if paint will be water-based.
- b. Repair of Minor Defects: Prior to painting, repair joints, cracks, holes, surface irregularities, and other minor defects with patching plaster or spackling compound and sand smooth.
- c. Allowable Moisture Content: Latex coatings may be applied to damp surfaces, but not surfaces with droplets of water. Do not apply epoxies to damp surfaces as determined by ASTM D4263.

### 3.6 APPLICATION

#### 3.6.1 Coating Application

Painting practices shall comply with applicable federal, state and local laws enacted to insure compliance with Federal Clean Air Standards. Apply coating materials in accordance with SSPC PA 1. SSPC PA 1 methods are applicable to all substrates, except as modified herein.

At the time of application, paint shall show no signs of deterioration. Uniform suspension of pigments shall be maintained during application.

Unless otherwise specified or recommended by the paint manufacturer, paint may be applied by brush, roller, or spray. Use trigger operated spray nozzles for water hoses. Rollers for applying paints and enamels shall be of a type designed for the coating to be applied and the surface to be coated. Wear protective clothing and respirators when applying oil-based paints or using spray equipment with any paints.

Paints, except water-thinned types, shall be applied only to surfaces that are completely free of moisture as determined by sight or touch.

Thoroughly work coating materials into joints, crevices, and open spaces. Special attention shall be given to insure that all edges, corners, crevices, welds, and rivets receive a film thickness equal to that of adjacent painted surfaces.

Each coat of paint shall be applied so dry film shall be of uniform thickness and free from runs, drops, ridges, waves, pinholes or other voids, laps, brush marks, and variations in color, texture, and finish. Hiding shall be complete.

Touch up damaged coatings before applying subsequent coats. Interior areas shall be broom clean and dust free before and during the application of coating material.

Apply paint to new fire extinguishing sprinkler systems including valves, piping, conduit, hangers, supports, miscellaneous metal work, and accessories. Shield sprinkler heads with protective coverings while painting is in progress. Remove sprinkler heads which have been painted

and replace with new sprinkler heads. For piping in unfinished spaces, provide primed surfaces with one coat of red alkyd gloss enamel to a minimum dry film thickness of 1.0 mil. Unfinished spaces include attic spaces, spaces above suspended ceilings, crawl spaces, pipe chases, mechanical equipment room, and space where walls or ceiling are not painted or not constructed of a prefinished material. For piping in finished areas, provide prime surfaces with two coats of paint to match adjacent surfaces, except provide valves and operating accessories with one coat of red alkyd gloss enamel. Upon completion of painting, remove protective covering from sprinkler heads.

- a. Drying Time: Allow time between coats, as recommended by the coating manufacturer, to permit thorough drying, but not to present topcoat adhesion problems. Provide each coat in specified condition to receive next coat.
- b. Primers, and Intermediate Coats: Do not allow primers or intermediate coats to dry more than 30 days, or longer than recommended by manufacturer, before applying subsequent coats. Follow manufacturer's recommendations for surface preparation if primers or intermediate coats are allowed to dry longer than recommended by manufacturers of subsequent coatings. Each coat shall cover surface of preceding coat or surface completely, and there shall be a visually perceptible difference in shades of successive coats.
- c. Finished Surfaces: Provide finished surfaces free from runs, drops, ridges, waves, laps, brush marks, and variations in colors.
- d. Thermosetting Paints: Topcoats over thermosetting paints (epoxies and urethanes) should be applied within the overcoating window recommended by the manufacturer.

### 3.6.2 Mixing and Thinning of Paints

Reduce paints to proper consistency by adding fresh paint, except when thinning is mandatory to suit surface, temperature, weather conditions, application methods, or for the type of paint being used. Obtain written permission from the Contracting Officer to use thinners. The written permission shall include quantities and types of thinners to use.

When thinning is allowed, paints shall be thinned immediately prior to application with not more than 1 pint of suitable thinner per gallon. The use of thinner shall not relieve the Contractor from obtaining complete hiding, full film thickness, or required gloss. Thinning shall not cause the paint to exceed limits on volatile organic compounds. Paints of different manufacturers shall not be mixed.

### 3.6.3 Two-Component Systems

Two-component systems shall be mixed in accordance with manufacturer's instructions. Any thinning of the first coat to ensure proper penetration and sealing shall be as recommended by the manufacturer for each type of substrate.

### 3.6.4 Coating Systems

- a. Systems by Substrates: Apply coatings that conform to the respective specifications listed in the following Tables:

Table

Division 5. Interior Metal, Ferrous and Non-Ferrous Paint Table

Division 9: Interior Plaster, Gypsum Board, Textured Surfaces  
Paint Table

- a. Minimum Dry Film Thickness (DFT): Apply paints, primers, varnishes, enamels, undercoats, and other coatings to a minimum dry film thickness of 1.5 mil each coat unless specified otherwise in the Tables. Coating thickness where specified, refers to the minimum dry film thickness.
- b. Coatings for Surfaces Not Specified Otherwise: Coat surfaces which have not been specified, the same as surfaces having similar conditions of exposure.
- c. Existing Surfaces Damaged During Performance of the Work, Including New Patches In Existing Surfaces: Coat surfaces with the following:
  - (1) One coat of primer.
  - (2) One coat of undercoat or intermediate coat.
  - (3) One topcoat to match adjacent surfaces.
- d. Existing Coated Surfaces To Be Painted: Apply coatings conforming to the respective specifications listed in the Tables herein, except that pretreatments, sealers and fillers need not be provided on surfaces where existing coatings are soundly adhered and in good condition. Do not omit undercoats or primers.

3.7 COATING SYSTEMS FOR METAL

Apply coatings of Tables in Division 5 for Exterior and Interior.

- a. Apply specified ferrous metal primer on the same day that surface is cleaned, to surfaces that meet all specified surface preparation requirements at time of application.
- b. Inaccessible Surfaces: Prior to erection, use one coat of specified primer on metal surfaces that will be inaccessible after erection.
- c. Shop-primed Surfaces: Touch up exposed substrates and damaged coatings to protect from rusting prior to applying field primer.
- d. Surface Previously Coated with Epoxy or Urethane: Apply MPI 101, 1.5 mils DFT immediately prior to application of epoxy or urethane coatings.
- e. Pipes and Tubing: The semitransparent film applied to some pipes and tubing at the mill is not to be considered a shop coat, but shall be overcoated with the specified ferrous-metal primer prior to application of finish coats.
- f. Exposed Nails, Screws, Fasteners, and Miscellaneous Ferrous Surfaces. On surfaces to be coated with water thinned coatings, spot prime exposed nails and other ferrous metal with latex primer MPI 107.



REPAIR FOUR ELEVATORS, BUILDING 4881  
N40085-15-D4709

STEEL / FERROUS SURFACES

Primer:	Intermediate:	Topcoat:
MPI 79	MPI 9	MPI 9
System DFT:	5.25 mils	

EXTERIOR GALVANIZED SURFACES

C. New Galvanized surfaces:

1. Cementitious primer / Latex

MPI EXT 5.3A-G1 (Flat)

Primer:	Intermediate:	Topcoat:
MPI 26	MPI 10	MPI 10
System DFT:	4.5 mils	

MPI EXT 5.3A-G6 (Gloss)

Primer:	Intermediate:	Topcoat:
MPI 26	MPI 119	MPI 119
System DFT:	4.5 mils	

3.12.2 INTERIOR PAINT TABLES

DIVISION 5: INTERIOR METAL, FERROUS AND NON-FERROUS PAINT TABLE

INTERIOR STEEL / FERROUS SURFACES

A. Metal, Mechanical, Electrical, Fire extinguishing sprinkler systems including valves, conduit, hangers, supports, and miscellaneous metal items not otherwise specified except floors, hot metal surfaces, and new prefinished equipment:

1. High Performance Architectural Latex

MPI INT 5.1R-G5 (Semigloss)

Primer:	Intermediate:	Topcoat:
MPI 79	MPI 141	MPI 141
System DFT:	5 mils	

B. Miscellaneous non-ferrous metal items not otherwise specified except floors, hot metal surfaces, and new prefinished equipment. Match surrounding finish:

1. High Performance Architectural Latex

MPI INT 5.4F-G5 (Semigloss)

Primer:	Intermediate:	Topcoat:
MPI 95	MPI 141	MPI 141
System DFT:	5 mils	

DIVISION 9: INTERIOR GYPSUM BOARD PAINT TABLE

C. New and Existing, previously painted Wallboard not otherwise specified:

1. Institutional Low Odor / Low VOC Latex

New; MPI INT 9.2M-G2 (Flat) / Existing; MPI RIN 9.2M-G2 (Flat)

Primer:	Intermediate:	Topcoat:
MPI 50	MPI 144	MPI 144
System DFT:	4 mils	

New; MPI INT 9.2M-G3 (Eggshell) / Existing; MPI RIN 9.2M-G3 (Eggshell)

REPAIR FOUR ELEVATORS, BUILDING 4881  
N40085-15-D4709

DIVISION 9: INTERIOR GYPSUM BOARD PAINT TABLE

Primer:	Intermediate:	Topcoat:
MPI 50	MPI 145	MPI 145
System DFT:	4 mils	

New; MPI INT 9.2M-G4 (Satin) / Existing; MPI RIN 9.2M-G4 (Satin)

Primer:	Intermediate:	Topcoat:
MPI 50	MPI 146	MPI 146
System DFT:	4 mils	

-- End of Section --

SECTION 14 24 23

HYDRAULIC PASSENGER ELEVATORS

05/16

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN WELDING SOCIETY (AWS)

AWS D1.1/D1.1M (2015; Errata 1 2015; Errata 2 2016)  
Structural Welding Code - Steel

ASME INTERNATIONAL (ASME)

ASME A17.1/CSA B44 (2016) Safety Code for Elevators and Escalators

ASME A17.2 (2017) Guide for Inspection of Elevators, Escalators, and Moving Walks Includes Inspection Procedures for Electric Traction and Winding Drum Elevators, Hydraulic Elevators, and Escalators and Moving Walks

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C62.41 (1991; R 1995) Recommended Practice on Surge Voltages in Low-Voltage AC Power Circuits

INTERNATIONAL CODE COUNCIL (ICC)

ICC IBC (2018) International Building Code

NATIONAL ELEVATOR INDUSTRY, INC. (NEII)

NEII-1 (2000; R thru 2017) Building Transportation Standards and Guidelines, including the Performance Standards Matrix for New Elevator Installation

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 101 (2018; TIA 18-1; TIA 18-2; TIA 18-3) Life Safety Code

NFPA 70 (2017; ERTA 1-2 2017; TIA 17-1; TIA 17-2; TIA 17-3; TIA 17-4; TIA 17-5; TIA 17-6; TIA 17-7; TIA 17-8; TIA 17-9; TIA 17-10; TIA 17-11; TIA 17-12; TIA 17-13; TIA 17-14; TIA 17-15; TIA 17-16; TIA 17-17 )  
National Electrical Code

REPAIR FOUR ELEVATORS, BUILDING 4881  
N40085-15-D4709

NFPA 70E (2018; TIA 18-1; TIA 81-2) Standard for  
Electrical Safety in the Workplace

NFPA 72 (2019; TIA 19-1; ERTA 2019) National Fire  
Alarm and Signaling Code

U.S. DEPARTMENT OF DEFENSE (DOD)

UFC 3-560-01 (2017) Operations and Maintenance:  
Electrical Safety

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

36 CFR 1191 Americans with Disabilities Act (ADA)  
Accessibility Guidelines for Buildings and  
Facilities; Architectural Barriers Act  
(ABA) Accessibility Guidelines

## 1.2 SUBMITTALS

Submit the following in accordance with Section 01 33 00 SUBMITTAL  
PROCEDURES:

### SD-02 Shop Drawings

Elevator System  
Elevator Components  
Elevator Controller  
Wiring Diagrams

### SD-03 Product Data

Elevator and Accessories  
Elevator Components  
Data Sheets  
Elevator Microprocessor Controller

### SD-05 Design Data

Emergency Power Systems

### SD-07 Certificates

Elevator Parts and Components Price Lists  
Warranty  
Endorsement Letter  
Welders' Qualifications  
Elevator Controller Certification

SD-10 Operation and Maintenance Data

Elevator, Data Package 4

Maintenance Control Program (MCP)

Software and Documentation

Submit in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA and 01 78 24.05 20 FACILITY OPERATION AND MAINTENANCE SUPPORT INFORMATION.

1.2.1 Shop Drawing Requirements

Provide assembly and arrangement of elevators, accessories, and elevator components. Provide details for materials and equipment, including but not limited to operating and signal fixtures, doors, door and car frames, car enclosure, controllers, motors, guide rails and brackets, layout of hoistway in plan and elevation, and other layout information and clearance dimensions.

1.2.2 Product Data Requirements

Provide manufacturers' product data for all elevator components, including but not limited to the following: elevator controller, car and hall fixture buttons and switches, cab and machine room or control room communication devices, door operator, door protection system, car roller guides. For data sheets, provide document identification number or bulletin number, published or copyrighted prior to the date of contract bid opening. Provide controller manufacturer's published procedures for performance of each and all testing required by ASME A17.1/CSA B44.

1.2.3 Design Data

1.2.3.1 Emergency Power Systems

For elevators not supplied by an emergency power system, provide manufacturers' product data for auxiliary power systems.

1.2.4 Welders' Requirements

Comply with AWS D1.1/D1.1M, Section 5. Include certified copies of field welders' qualifications. List welders' names with corresponding code marks to identify each welder's welding work

1.2.5 Maintenance Control Program (MCP)

For each elevator, prepare and provide a written Maintenance Control Program (MCP) that complies with ASME A17.1/CSA B44 Section 8.6, including written documentation that details the test procedures for each and every test that is required to be performed by ASME A17.1/CSA B44. Assemble all MCP documentation, and supporting technical attachments, in a single MCP package and provide in both electronic and hard copy. Assemble entire hard copy MCP in 3-ring binders. For each elevator provided, the MCP must include only documentation and instruction that apply to the elevator specified.

For each elevator, provide an additional, separate binder that includes

all maintenance, repair, replacement, call back, and other records required by ASME A17.1/CSA B44. The records binder must be kept in the elevator mechanical room, maintained by elevator maintenance and service personnel, and be available at all times to authorized personnel.

Provide detailed information regarding emergency service procedures and elevator installation company personnel contact information. Provide a listing of all tools to be provided to the Contracting Officer as components of the elevator system.

### 1.3 QUALITY ASSURANCE

#### 1.3.1 Qualification

Provide elevator components manufactured by companies regularly engaged in the manufacture of elevator components. Utilize only licensed and certified elevator personnel for the installation, adjusting, testing, and servicing of the elevators.

##### 1.3.1.1 Elevator Contractor's Elevator Technicians

For elevator installations in the United States, including United States territories, perform all elevator related work under the direct guidance of a state certified elevator technician with a minimum of three years of experience in the installation of elevator systems of the type and complexity specified in the contract documents. Provide an endorsement letter from the elevator manufacturer, certifying that the elevator specialist is qualified. All elevator technicians must carry a current certification issued by one of the following organizations:

- a. National Association of Elevator Contractors (NAEC)
- b. National Elevator Industry Education Program (NEIEP)

#### 1.3.2 Manufacturers' Technical Support

Provide elevator components from manufacturers that provide factory training and online and live telephone elevator technical support to any elevator installation, service, and maintenance contractor. Provide elevator components from manufacturers that guarantee accessibility to all replacement and repair parts and components to any elevator installation, service, and maintenance contractor. Use only elevator component manufacturers that provide current published price lists for all elevator parts and components.

#### 1.3.3 Operation and Maintenance Data

Assemble all shop drawing and product data material for components provided into O&M Data Packages in accordance with Article SUBMITTALS. Provide two complete O&M Data Packages in hard copy and two complete electronic O&M data packages on separate CDs, in PDF format. Provide all O&M Data Packages to Contracting Officer. Include controller diagnostic documentation and software as required under Article CONTROL EQUIPMENT.

#### 1.3.4 Wiring Diagrams

Provide complete wiring diagrams and sequence of operations, which show electrical connections and functions of elevator systems. Provide one set (11 inch by 17 inch minimum size) of wiring diagrams, with individual

sheets laminated in plastic and assembled in binder, to be stored in the machine room or control room cabinet. Provide one additional hard copy set and two complete electronic sets on separate CDs, in PDF format. Provide all wiring diagram sets to the Contracting Officer. Coded diagrams are not acceptable unless fully identified.

#### 1.4 NEW INSTALLATION SERVICE

Provide elevator warranty service in accordance with the manufacturer's maintenance plan, warranty requirements and applicable safety codes, for a period of 12 months after the date of acceptance by Contracting Officer. Perform this work during regular working hours. Provide supplies and parts to keep elevator system in operation. Perform service only by factory trained personnel. Provide Monthly services to include repairs, adjustments, greasing, oiling, and cleaning. Provide service log in elevator machine room or control room and update Monthly, throughout the one-year warranty period.

Provide 24-hour emergency service, with two hour on-site response time, during this period without additional cost to the Government.

##### 1.4.1 Periodic Elevator Certification Inspection and Testing

Provide elevator mechanic to support NAVFAC QEI Certified Elevator Inspector in the periodic six-month and the annual Category 1 elevator certification inspection and testing. Perform Category 1 inspection and testing no greater than 30 days prior to the end of the warranty period. Perform all elevator certification testing in the presence of QEI Certified Elevator Inspector.

In conjunction with the testing noted above, test systems for Emergency Power Operation, Earthquake Emergency Operation, and Hospital Emergency Commandeering Service Operation, as applicable. Schedule so that testing does not interfere with building operations.

#### 1.5 FIRE PROTECTION SYSTEM

Coordinate interface between building fire protection system and elevator controls.

Additional fire protection requirements are located in: Section 28 31 76.00 22 INTERIOR COMBINATION EMERGENCY COMMUNICATIONS SYSTEMS and Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

##### 1.5.1 Fire Alarm Initiating Devices

Fire alarm initiating devices are specified in Section 28 31 76.00 22 INTERIOR COMBINATION EMERGENCY COMMUNICATIONS SYSTEMS, including conduit and wiring from each detector to fire protection addressable modules in elevator machine room or control room.

##### 1.5.2 Shunt Trip Disconnect

Provide flow switches specified in paragraph FIRE SPRINKLERS to comply with ASME A17.1/CSA B44 and NFPA 72 for shunt trip of the main line power supply. For each elevator, provide control wiring connecting the flow switch to a shunt trip equipped circuit breaker located in the elevator machine room or control room. Upon flow of water, flow switch will instantaneously cause opening of the shunt-trip circuit breaker and remove

power from the elevator. Flow switch must also send a signal to fire alarm control panel to indicate water flow condition.

## PART 2 PRODUCTS

### 2.1 ELEVATOR DESCRIPTION

Provide elevator system modifications that complies with ASME A17.1/CSA B44 in its entirety, ASME A17.2 in its entirety, and additional requirements specified herein. Provide elevator system that meets or exceeds the NEII-1 Ride Quality Performance Standards Matrix (RQPSM). Comply with the RQPSM "Intermediate Performance" criteria.

Provide and install elevators in accordance with 36 CFR 1191 - ABAAS, ICC IBC, IEEE C62.41, NFPA 70 and NFPA 101 requirements.

#### 2.1.1 Elevator Parameters

##### 2.1.1.1 Elevator No.1-4 - Non-EMSA Elevator

- a. Type: In-Ground Direct Plunger
- b. Rated load: 3500 lb.
- c. Rated Speed: 150 fpm
- d. Car Door Type: Single speed side slide.
- e. Car Door Opening Width: Existing.

#### 2.1.2 Cab Enclosure and Hoistway Entrance Assemblies

Provide finishes as listed below:

- a. Ceilings; supported anodized aluminum.  
Ceiling frame; stainless steel.

### 2.2 ELEVATOR OPERATION

ASME A17.1/CSA B44, Introduction, Section 3, Definitions.

#### 2.2.1 Duplex Selective Collective Automatic Operation

Provide Duplex Selective Collective Automatic Operation. If a car is taken out of service or fails to respond to a landing call within a predetermined adjustable time limit of approximately 40 to 180 seconds, transfer calls to the other car functioning as a single car Selective Collective elevator until the out-of-service car is returned to the system.

### 2.3 SPECIAL OPERATION AND CONTROL

Provide the following special operations and control systems.

#### 2.3.1 Keys for Elevator Key Switches

Provide a minimum of twelve keys per unique cylinder used on all key switches for a single elevator. If there is more than one elevator, additional keys will not be required unless there are additional unique

lock cylinders. Provide keys with brass or fiberglass tags marked "PROPERTY OF THE U.S. GOVERNMENT" on one side with function of key or approved code number on the other side.

### 2.3.2 Firefighters' Emergency Operation (FEO)

Provide FEO equipment and signaling devices. The designated level for the FEO Phase I key operated switch is the first floor. In the FEO Phase I fixture, provide FEO Operating Instructions.

#### 2.3.2.1 Firefighters' Emergency Operation (FEO) Key Box

Provide flush mounted, locking, FEO Key Box of a minimum size of 5 inch W by 9 inch H by 1.5 inch D. Install at a height of 6 feet above floor level and directly above the FEO Phase I key switch. Provide box equipped with lock that uses the FEO K1 key.

### 2.3.3 Hoistway Access Operation

Provide hoistway access operation with switches at top and bottom terminal landings. Locate switch 6 feet above floor level, within 12 inches of elevator hoistway entrance frame or with the ferrule exposed when located in the elevator entrance frame.

### 2.3.4 In-Car Inspection Operation

Provide In-Car Inspection Operation.

### 2.3.5 Independent Service

Provide exposed key-operated switch in car operating panel to enable independent service and simultaneously disable in-car signals and landing-call responses. Provide indicator lights that automatically illuminate during independent service. For duplex or group operation, if one car is removed from group another car will respond to its hall calls.

### 2.3.6 Elevator Auxiliary Power Operating System

Provide elevator auxiliary power operating system for all elevators.

## 2.4 ELEVATOR DRIVE SYSTEM

Provide hydraulic elevator drive system, associated equipment, which will operate at a maximum working pressure of 500 psi or less. Provide complete elevator system that meets or exceeds the NEII-1 Ride Quality Standard, including elevator ride quality and noise levels in car and in elevator machine room and machinery space.

## 2.5 CONTROL EQUIPMENT

Enclose all elevator control equipment in factory-primed and baked-enamel coated sheet-metal cabinets with ventilation louvers and removable or hinged doors. Mount cabinets at a height of 10 inches above machine room or control room finish floor.

### 2.5.1 Motor Control Equipment

Provide elevator motor control with electronic, soft-start motor starter.

## 2.5.2 Elevator Microprocessor Controller

For each individual elevator controller, and for each group controller, provide a microprocessor controller that complies with the following paragraphs. Provide controller(s) package that includes all hardware and software required for the installation, maintenance, and service of the elevator, in its' entirety. Provide verification of technical support service that the controller manufacturer provides to any licensed elevator installation, service, and maintenance company.

Provide an elevator controller from a manufacturer that provides comprehensive factory training to include controller installation, adjustment, service, and maintenance. The training must be identified as available to any licensed elevator contractor. Provide verification of an established and documented training schedule, with pricing, for factory training classes that manufacturer has provided for a minimum period of one year prior to contract award date.

The elevator controller must be identified as available for purchase and installation by any licensed elevator contractor. All components, parts, diagnostic tools, and software must be available for purchase and installation and use by any licensed elevator contractor; "exchange-only" provisions for the purchase of spare parts are not acceptable. The elevator controller manufacturer must publish an industry competitive price listing for all controller parts, diagnostic tools, and software.

Provide verification of telephone and internet based technical support service that the elevator controller manufacturer provides to any licensed elevator installation, service, and maintenance company at an industry competitive price. The service must include live telephone based technical support for installation, adjustment, maintenance, and troubleshooting of the elevator controller and related elevator components. The service must be available during standard working hours.

Provide an elevator controller that is designed to automatically reestablish normal elevator operation following any temporary loss of power, regardless of duration.

### 2.5.2.1 Elevator Controller Interface Cabinet

For each individual elevator microprocessor controller, provide a separate elevator control cabinet with an integrated human interface system. For group elevator installations, a single cabinet and interface system with full access to each elevator controller may be utilized. The separate controller interface cabinet must be supplied by the elevator controller manufacturer and include a minimum 12 inch wide keyboard and a minimum 10 inch monitor. The elevator controller interface cabinet must comply with arc-flash protection requirements of NFPA 70E and UFC 3-560-01.

#### 2.5.2.1.1 Elevator Microprocessor Human Interface

The interface system must provide complete elevator controller interface capability and must include the elevator controller manufacturer's comprehensive package of installation and diagnostic software. The microprocessor interface system must provide unrestricted access to all parameters, all levels of adjustment, and all flags necessary for installation, adjustment, maintenance, and troubleshooting of each elevator and for the elevator group. All software programming must be stored in non-volatile memory. The elevator controller fault log must

provide non-volatile memory fault log storage of all faults, trouble calls, and fault history for a minimum of one year and the ability to download or print the fault log. The controller interface must also provide the capability to display and diagnose trouble calls, faults, and shutdowns. Expiring software, degrading operation, and "key" access controls are not acceptable.

#### 2.5.2.2 Software and Documentation

Provide three copies of the manufacturer's maintenance and service diagnostic software, with complete software documentation, that will enable the same level of unrestricted access to all controllers of the same make and model, regardless of the installation date or location. Provide signed certification, from the manufacturer's corporate headquarters, that guarantees that the microprocessor software and access system will not terminate the unlimited and unrestricted access at any future date.

#### 2.5.2.3 Elevator Controller Certification

For elevator installations in the United States, including United States territories, provide an elevator microprocessor controller that has a current certificate of safety code compliance issued by the Technical Standards and Safety Authority (TSSA), Toronto, Canada.

### 2.6 OPERATING PANELS, SIGNAL FIXTURES, AND COMMUNICATIONS CABINETS

For all panels and fixtures, provide identical and uniform panel and fixture design, material, finish, and components for all elevators. For all panels and fixtures, legibly and indelibly identify all buttons, devices, and all operating positions for each device. Use engraving and backfilling, or photo etching, for button and device designations. Do not use attached signs. Provide elevator manufacturers' standard grade for all key switches unless otherwise specified. All illuminating panels and fixture components must utilize LED lighting for energy efficiency.

#### 2.6.1 Car and Hall Buttons

For all cab and landing fixture buttons, provide industry-standard, vandal resistant push buttons with positive-stop assembly design. Buttons must be minimum 3/4 inch diameter, satin-finish stainless steel, with illuminating LED halo.

#### 2.6.2 Passenger Car-Operating Panel

Provide each car with one car operating panels that contains operation controls and communication devices. Provide exposed, flush mounted buttons for the controls identified in subparagraph PASSENGER CONTROLS. Provide a lockable service cabinet for the controls listed in subparagraph SERVICE CONTROLS. Use engraving and backfilling or photo etching for button and switch designations. Do not use attached signs.

##### 2.6.2.1 Passenger Controls

In addition to ASME A17.1/CSA B44 requirements, provide the following operating controls, identified as indicated:

- a. Illuminating car-call buttons identified to correspond to landings served by the elevator.

- b. "DOOR OPEN" and "DOOR CLOSE" buttons. For front and rear openings at the same floor, include the identification "F" and "R" for each opening.
- c. Red, illuminating "ALARM" button.
- d. Key-operated "Independent Service" switch.
- e. "Help" communication device to include communication between elevator cab and elevator machine room or control room.

#### 2.6.2.2 Service Controls

In addition to ASME A17.1/CSA B44 requirements, provide the following operating controls, identified as indicated:

- a. Provide a key-operated, three-position switch for "In car Inspection Operation" and "Hoistway Access". The center switch position will provide normal, automatic operation.
- b. "Car Light" switch.
- c. "Car Fan" switch with two speed settings identified.
- d. 120-volt ac 60 Hz single-phase duplex electrical outlet of ground-fault-circuit-interrupt (GFCI) design.

#### 2.6.2.3 Certificate Window

Provide a minimum 4 inch wide by 6 inch high certificate window for elevator inspection certificate. Locate window in the Service Controls door of the Car Operating Panel.

#### 2.6.2.4 Emergency Signaling Devices

Provide an audible signaling device, operable from the Car Operating Panel button marked "ALARM". The audible signaling device must have a sound pressure rating between 80 and 90 dBA at 10 ft. Provide battery backup power capable of operating the audible signaling device for at least one hour.

#### 2.6.3 Elevator In-Car Position Indicators

For all elevators, provide illuminating position indicator in the Car Operating Panel.

#### 2.6.4 Elevator In-Car Direction Indicators

For 2-stop elevator installations, provide visual direction indicators and audible car arrival signal in the elevator car door jamb, in accordance with ABA Standards. Visual indicators must be visible from the hall call fixture.

#### 2.6.5 Hall Call Landing Fixtures

Provide a hall call fixture adjacent to each elevator. Provide a single push-button for terminal landings and dual push-buttons, up and down, at intermediate landings.

#### 2.6.5.1 Designated Landing Hall Call Fixture

##### 2.6.5.1.1 Location of COMMUNICATION MEANS FAILURE (CMF) Visual Signal

When required by ASME A17.1/CSA B44, provide an elevator CMF audible and illuminating signal, and reset switch, in the FEO Designated Landing hall call fixture. Mount the signal and reset switch at a minimum of 7 inches above the "UP" hall call button.

##### 2.6.5.1.2 COMMUNICATION MEANS FAILURE (CMF) Visual and Audible Signal Operation

Provide a CMF visual and audible signal system that conforms to ASME A17.1/CSA B44. Provide continuous verification of operability of the telephone line and immediate activation of audible and visual signals when verification means determines that the telephone line is not functioning. Provide illumination of visual signal at one second intervals. Provide a minimum of 65 dBA audible signal at 30 second intervals.

##### 2.6.5.1.3 Firefighters' Emergency Operation Phase I Switch and Visual Signal

When required by ASME A17.1/CSA B44, provide an elevator Firefighters' Emergency Operation Phase I switch and illuminating visual signal in the FEO Designated Landing hall call fixture. Provide FEO Phase I visual signal that is designed with intermittent, flashing, illumination when actuated by the machine room, control room, or hoistway fire alarm initiating device. Locate FEO Phase I key switch above the CMF visual signal with a minimum of 6 inches vertical between the centerlines of the CMF signal and the FEO Phase I key switch. Locate FEO Phase I visual signal directly above the Phase I switch. In addition, locate Elevator Corridor Call Station Pictograph at top of hall call fixture.

#### 2.6.6 Elevator Car Position and Direction Indicators and Car Arrival Signal

For elevator installations with three or more stops, provide a separate hall landing fixture that includes the visual elevator position indicator, visual direction indicators, and audible car arrival signal, in accordance with ABA Standards.

#### 2.6.7 Designated Landing Elevator Identification Fixture

For duplex and group elevator installations, provide a separate elevator identification fixture for each elevator, with identification engraved and backfilled with a contrasting color. Number elevators from left to right, as seen during primary approach from building main entrance to elevator lobby. For multiple elevator groups, begin numbering with group that is closest to the building main entrance.

### 2.7 PASSENGER ELEVATOR GUIDES, PLATFORM, AND ENCLOSURE

#### 2.7.1 Car Lighting

Utilize LED lighting for elevator car interior illumination. Provide a minimum of 10 foot-candles, measured at all areas of the car enclosure floor. Provide automatic car lighting operation that will turn off car lights after 3 minutes of inactivity. Car lights must automatically turn on upon actuation of an elevator car or hall call.

## 2.8 HOISTWAY EQUIPMENT

### 2.8.1 Pit "STOP" Switch

Provide push-to-stop/pull-to-run type pit "STOP" switch.

### 2.8.2 Traveling Cables

Suspend traveling cables by means of self-tightening webbed devices or internal suspension members.

## PART 3 EXECUTION

### 3.1 INSTALLATION

Install in accordance with DOD design criteria, contract specifications, manufacturer's instructions, NEII-1 Building Transportation Standards and Guidelines, and all applicable building and safety code requirements.

#### 3.1.1 Structural Members and Finish Materials

Do not cut or alter structural members. Do not alter finish materials from manufacturer's original design. Restore any damaged or defaced work to original condition.

#### 3.1.2 Miscellaneous Requirements

Provide recesses, cutouts, slots, holes, patching, grouting, and refinishing to accommodate elevator installation. Use core drilling to drill all new holes in concrete. Finish work to be straight, level, and plumb. During installation, protect machinery and equipment from dirt, water, or mechanical damage. At completion, clean all work and spot paint.

### 3.2 FIELD QUALITY CONTROL

The Contractor will provide and utilize a third-party licensed and certified Qualified Elevator Inspector (QEI) to conduct elevator pre-acceptance inspection and testing. The QEI must perform inspections and witness tests to ensure that the installation conforms to all applicable safety codes and contract requirements. The QEI will be directly employed by the Contractor and independent of the elevator contractor.

Upon completion, the QEI must provide written test data for all ASME A17.1/CSA B44 Acceptance Tests and written certification that the elevator is complete and ready for final Acceptance Inspection, Testing, and Commissioning.

### 3.3 ACCEPTANCE INSPECTION, TESTING AND COMMISSIONING

When elevator system installation is complete and ready for final inspection, notify Contracting Officer that elevator system is ready for Acceptance Inspection, Testing, and Commissioning. Provide QEI certification specified in Article FIELD QUALITY CONTROL.

Contracting Officer will obtain services of Naval Facilities Engineering Command (NAVFAC) QEI Certified Elevator Inspector. NAVFAC QEI will utilize the applicable NAVFAC Elevator Acceptance Inspection Form to

record the results of inspection and testing and to identify safety code and contract deficiencies. Specific values must be provided for all tests required by ASME A17.1/CSA B44, ASME A17.2, and contract documents. Upon completion of inspection and testing, the NAVFAC QEI will sign a copy of the completed forms and provide the signed copy to the Contracting Officer or representative. Within 2 weeks of the inspection, the QEI will also prepare a formal inspection report, including all test results and deficiencies. Upon successful completion of inspection and testing, NAVFAC Certified Elevator Inspector will complete, sign and post form NAVFACENGCOM 9-11014/23(Rev.9-2009), Elevator Inspection Certificate.

### 3.3.1 Acceptance Inspection Support

Prime and Elevator Contractors must provide inspection support and perform all required tests, in order to demonstrate proper operation of each elevator system and to prove that each system complies with contract requirements and all applicable building and safety codes. Inspection procedures in ASME A17.2 form a part of this inspection and acceptance testing. All inspection and testing must be conducted in the presence of the Qualified Elevator Inspector (QEI).

If the elevator does not comply with all contract and safety code requirements on the initial Acceptance Inspection and Test, the Contractor is responsible for all costs involved with re-inspection and re-testing required as a result of contractor delays and discrepancies discovered during inspection and testing.

### 3.3.2 Testing Materials and Instruments

Furnish all testing materials and instruments necessary for Acceptance Inspection, Testing and Commissioning. At a minimum, include calibrated test weights, tachometer, accelerometer, hydraulic pressure gauge, 600-volt mega ohm meter, volt meter and ammeter, infrared temperature gauge, door pressure gage, dynamometer, and 20 foot tape measure.

### 3.3.3 Field Tests

#### 3.3.3.1 Endurance Tests

Test each elevator for a period of one hour continuous, automatic operation, with specified rated load in the elevator cab. During the one hour test, stop car at each floor, in both directions of travel, and allow automatic door open and close operation. The requirements for Automatic Operation, Rated Speed, Leveling, Temperature Rise and Motor Amperes must be met throughout the duration of the Endurance Test. Restart the one hour test period from the beginning, following any shutdown or failure.

#### 3.3.3.2 Speed Tests

Determine actual speed of each elevator, in both directions of travel, with rated load and with no load in elevator car. Make Speed tests at the beginning and at the end of the Endurance test. Determine speed by tachometer reading or accelerometer, excluding accelerating and slow-down zones. Under all conditions, minimum acceptable elevator speed is the Rated speed specified. Maximum acceptable elevator speed is 110 percent of Rated speed.

#### 3.3.3.3 Leveling Tests

Test elevator car leveling operation and provide a leveling accuracy equal to or less than 1/8 inch at each floor with no load in car, and with rated load in car, in both directions of travel. Determine leveling accuracy at the beginning and at the end of the endurance tests.

#### 3.3.3.4 Temperature Rise Tests

Determine temperature rise of elevator pump motor and hydraulic fluid during one-hour full-load test run. Under these conditions, maximum temperature rise must not exceed acceptable temperature rise indicated on manufacturer's data plate. Start test only when equipment is within 5 degrees C of ambient temperature.

#### 3.3.3.5 Motor Ampere Tests

At beginning and end of Endurance test, measure and record motor amperage in both directions of travel and in both no-load and rated load conditions.

#### 3.3.3.6 Elevator Performance and Ride Quality Testing

Evaluate elevator performance to ensure compliance with specification requirements related to the NEII-1 Performance Standards Matrix for New Elevator Installations.

#### 3.3.3.7 Hydraulic Safety Valve (Automatic Shutoff Valve) Tests

In order to ensure consistent performance, regardless of hydraulic oil temperature, test the Hydraulic Safety Valve twice. Test once before the one-hour endurance test and once immediately after the one-hour test. For elevator certification, safety valve must perform to code in both tests.

#### 3.3.3.8 Hydraulic Pressure Tests

Check the hydraulic static pressure and rated-speed operating pressure at the hydraulic control valve, under both no load and rated load conditions.

#### 3.3.3.9 Pressure Test of Liner/Cylinder Assembly

Perform 20 psig pressure test of the completed and installed liner/cylinder assembly. Test liner/cylinder assembly as a sealed unit. Provide safety relief valve set to relieve at 20 psig; 4.5 inch diameter dial pressure gage scaled for 0 to 50 psig and calibrated to 0.5 percent accuracy; and an air pressure admission throttle and shutoff valve. For safety, pressure test must only be performed when liner and cylinder are fully inserted and assembled in the well casing. Perform the test from remote location outside of the elevator pit. Perform test in the presence of, and witnessed by, a Certified Elevator Inspector.

-- End of Section --

SECTION 23 00 00

AIR SUPPLY, DISTRIBUTION, VENTILATION, AND EXHAUST SYSTEMS  
08/18

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASME INTERNATIONAL (ASME)

ASME A13.1 (2015) Scheme for the Identification of Piping Systems

ASTM INTERNATIONAL (ASTM)

ASTM A123/A123M (2017) Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products

ASTM A53/A53M (2018) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless

ASTM A924/A924M (2018) Standard Specification for General Requirements for Steel Sheet, Metallic-Coated by the Hot-Dip Process

ASTM B117 (2016) Standard Practice for Operating Salt Spray (Fog) Apparatus

ASTM D1654 (2008; R 2016; E 2017) Standard Test Method for Evaluation of Painted or Coated Specimens Subjected to Corrosive Environments

ASTM D3359 (2017) Standard Test Methods for Rating Adhesion by Tape Test

ASTM D520 (2000; R 2011) Zinc Dust Pigment

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA MG 1 (2016; SUPP 2016) Motors and Generators

NEMA MG 10 (2017) Energy Management Guide for Selection and Use of Fixed Frequency Medium AC Squirrel-Cage Polyphase Induction Motors

NEMA MG 11 (1977; R 2012) Energy Management Guide for Selection and Use of Single Phase Motors

UNDERWRITERS LABORATORIES (UL)

- UL 6 (2007; Reprint Nov 2014) Electrical Rigid Metal Conduit-Steel
- UL Bld Mat Dir (updated continuously online) Building Materials Directory

1.2 SYSTEM DESCRIPTION

Furnish ductwork, piping offsets, fittings, and accessories as required to provide a complete installation. Coordinate the work of the different trades to avoid interference between piping, equipment, structural, and electrical work. Provide complete, in place, all necessary offsets in piping and ductwork, and all fittings, and other components, required to install the work as indicated and specified.

1.2.1 Mechanical Equipment Identification

The number of charts and diagrams must be equal to or greater than the number of mechanical equipment rooms. Where more than one chart or diagram per space is required, mount these in edge pivoted, swinging leaf, extruded aluminum frame holders which open to 170 degrees.

1.2.1.1 Charts

Provide chart listing of equipment by designation numbers and capacities such as flow rates, pressure and temperature differences, heating and cooling capacities, horsepower, pipe sizes, and voltage and current characteristics.

1.2.2 Service Labeling

Label equipment, including fans, air handlers, terminal units, etc. with labels made of self-sticking, plastic film designed for permanent installation. Provide labels in accordance with the typical examples below:

SERVICE	LABEL AND TAG DESIGNATION
Ductless Split System	FC 1-3A
Heat Pump	HP 1-3A

Identify similar services with different temperatures or pressures. Where pressures could exceed 125 pounds per square inch, gage, include the maximum system pressure in the label. Label and arrow piping in accordance with the following:

- a. Each point of entry and exit of pipe passing through walls.
- b. Each change in direction, i.e., elbows, tees.
- c. In congested or hidden areas and at all access panels at each point required to clarify service or indicated hazard.
- d. In long straight runs, locate labels at distances within eyesight of

each other not to exceed 75 feet. All labels must be visible and legible from the primary service and operating area.

For Bare or Insulated Pipes	
for Outside Diameters of	Lettering
1/2 thru 1-3/8 inch	1/2 inch
1-1/2 thru 2-3/8 inch	3/4 inch
2-1/2 inch and larger	1-1/4 inch

### 1.2.3 Color Coding

Color coding of all piping systems must be in accordance with ASME A13.1.

### 1.3 SUBMITTALS

Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-06 Test Reports

Performance Tests

SD-08 Manufacturer's Instructions

Manufacturer's Installation Instructions

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals

### 1.4 QUALITY ASSURANCE

Except as otherwise specified, approval of materials and equipment is based on manufacturer's published data.

- a. Where materials and equipment are specified to conform to the standards of the Underwriters Laboratories, the label of or listing with reexamination in UL Bld Mat Dir, and UL 6 is acceptable as sufficient evidence that the items conform to Underwriters Laboratories requirements. In lieu of such label or listing, submit a written certificate from any nationally recognized testing agency, adequately equipped and competent to perform such services, stating that the items have been tested and that the units conform to the specified requirements. Outline methods of testing used by the specified agencies.
- b. Where materials or equipment are specified to be constructed or tested, or both, in accordance with the standards of the ASTM International (ASTM), the ASME International (ASME), or other standards, a manufacturer's certificate of compliance of each item is acceptable as proof of compliance.
- c. Conformance to such agency requirements does not relieve the item from compliance with other requirements of these specifications.

#### 1.4.1 Asbestos Prohibition

Do not use asbestos and asbestos-containing products.

#### 1.5 DELIVERY, STORAGE, AND HANDLING

Protect stored equipment at the jobsite from the weather, humidity and temperature variations, dirt and dust, or other contaminants. Additionally, cap or plug all pipes until installed.

### PART 2 PRODUCTS

#### 2.1 STANDARD PRODUCTS

Except for the fabricated duct, plenums and casings specified in paragraphs "Metal Ductwork" and "Plenums and Casings for Field-Fabricated Units", provide components and equipment that are standard products of manufacturers regularly engaged in the manufacturing of products that are of a similar material, design and workmanship. This requirement applies to all equipment, including diffusers, registers, fire dampers, and balancing dampers.

- a. Standard products are defined as components and equipment that have been in satisfactory commercial or industrial use in similar applications of similar size for at least two years before bid opening.
- b. Prior to this two year period, these standard products must have been sold on the commercial market using advertisements in manufacturers' catalogs or brochures. These manufacturers' catalogs, or brochures must have been copyrighted documents or have been identified with a manufacturer's document number.
- c. Provide equipment items that are supported by a service organization.

#### 2.2 IDENTIFICATION PLATES

In addition to standard manufacturer's identification plates, provide engraved laminated phenolic identification plates for each piece of mechanical equipment. Identification plates are to designate the function of the equipment. Submit designation with the shop drawings. Provide identification plates that are layers, black-white-black, engraved to show white letters on black background. Letters must be upper case. Identification plates that are 1-1/2-inches high and smaller must be 1/16-inch thick, with engraved lettering 1/8-inch high; identification plates larger than 1-1/2-inches high must be 1/8-inch thick, with engraved lettering of suitable height. Identification plates 1-1/2-inches high and larger must have beveled edges. Install identification plates using a compatible adhesive.

#### 2.3 EQUIPMENT GUARDS AND ACCESS

Fully enclose or guard belts, pulleys, chains, gears, couplings, projecting setscrews, keys, and other rotating parts exposed to personnel contact according to OSHA requirements. Properly guard or cover with insulation of a type specified, high temperature equipment and piping exposed to contact by personnel or where it creates a potential fire hazard.

#### 2.4 ELECTRICAL WORK

- a. Provide motors, controllers, integral disconnects, contactors, and controls with their respective pieces of equipment, except controllers indicated as part of motor control centers. Provide electrical equipment, including motors and wiring, as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide manual or automatic control and protective or signal devices required for the operation specified and control wiring required for controls and devices specified, but not shown. For packaged equipment, include manufacturer provided controllers with the required monitors and timed restart.
- b. For single-phase motors, provide high-efficiency type, fractional-horsepower alternating-current motors, including motors that are part of a system, in accordance with NEMA MG 11. Provide premium efficiency type integral size motors in accordance with NEMA MG 1.
- c. For polyphase motors, provide squirrel-cage medium induction motors, including motors that are part of a system, and that meet the efficiency ratings for premium efficiency motors in accordance with NEMA MG 1. Select premium efficiency polyphase motors in accordance with NEMA MG 10.
- d. Provide motors in accordance with NEMA MG 1 and of sufficient size to drive the load at the specified capacity without exceeding the nameplate rating of the motor. Provide motors rated for continuous duty with the enclosure specified. Provide motor duty that allows for maximum frequency start-stop operation and minimum encountered interval between start and stop. Provide motor torque capable of accelerating the connected load within 20 seconds with 80 percent of the rated voltage maintained at motor terminals during one starting period. Provide motor starters complete with thermal overload protection and other necessary appurtenances. Fit motor bearings with grease supply fittings and grease relief to outside of the enclosure.
- e. Where two-speed or variable-speed motors are indicated, solid-state variable-speed controllers are allowed to accomplish the same function. Use solid-state variable-speed controllers for motors rated 10 hp or less and adjustable frequency drives for larger motors.

#### 2.5 ANCHOR BOLTS

Provide anchor bolts for equipment placed on concrete equipment pads or on concrete slabs. Bolts to be of the size and number recommended by the equipment manufacturer and located by means of suitable templates. Installation of anchor bolts must not degrade the surrounding concrete.

#### 2.6 PAINTING

Paint equipment units in accordance with approved equipment manufacturer's standards unless specified otherwise. Field retouch only if approved. Otherwise, return equipment to the factory for refinishing.

#### 2.7 FACTORY PAINTING

Factory paint new equipment, which are not of galvanized construction.

Paint with a corrosion resisting paint finish according to ASTM A123/A123M or ASTM A924/A924M. Clean, phosphatize and coat internal and external ferrous metal surfaces with a paint finish which has been tested according to ASTM B117, ASTM D1654, and ASTM D3359. Submit evidence of satisfactory paint performance for a minimum of 125 hours for units to be installed indoors and 500 hours for units to be installed outdoors. Provide rating of failure at the scribe mark that is not less than 6, average creepage not greater than 1/8 inch. Provide rating of the inscribed area that is not less than 10, no failure. On units constructed of galvanized steel that have been welded, provide a final shop docket of zinc-rich protective paint on exterior surfaces of welds or welds that have burned through from the interior according to ASTM D520 Type I.

Field paint factory painting that has been damaged prior to acceptance by the Contracting Officer in compliance with the requirements of paragraph FIELD PAINTING OF MECHANICAL EQUIPMENT.

### PART 3 EXECUTION

#### 3.1 EXAMINATION

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing the work.

#### 3.2 INSTALLATION

- a. Install materials and equipment in accordance with the requirements of the contract drawings and approved manufacturer's installation instructions. Accomplish installation by workers skilled in this type of work. Perform installation so that there is no degradation of the designed fire ratings of walls, partitions, ceilings, and floors.
- b. No installation is permitted to block or otherwise impede access to any existing machine or system. Install all hinged doors to swing open a minimum of 120 degrees. Provide an area in front of all access doors that clears a minimum of 3 feet. In front of all access doors to electrical circuits, clear the area the minimum distance to energized circuits as specified in OSHA Standards, part 1910.333 (Electrical-Safety Related work practices) and an additional 3 feet.
- c. Except as otherwise indicated, install emergency switches and alarms in conspicuous locations. Mount all indicators, to include gauges, meters, and alarms in order to be easily visible by people in the area.

##### 3.2.1 Condensate Drain Lines

Provide water seals in the condensate drain from all units. Provide a depth of each seal of 2 inches plus the number of inches, measured in water gauge, of the total static pressure rating of the unit to which the drain is connected. Provide water seals that are constructed of 2 tees and an appropriate U-bend with the open end of each tee plugged. Provide pipe cap or plug cleanouts where indicated. Connect drains indicated to connect to the sanitary waste system using an indirect waste fitting. Insulate air conditioner drain lines as specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

### 3.2.2 Equipment and Installation

Provide frames and supports for tanks, compressors, pumps, valves, air handling units, fans, coils, dampers, and other similar items requiring supports. Floor mount or wall hang air handling units as indicated. Anchor and fasten as detailed. Set floor-mounted equipment on not less than 6 inch concrete pads or curbs doweled in place unless otherwise indicated. Make concrete foundations heavy enough to minimize the intensity of the vibrations transmitted to the piping, duct work and the surrounding structure, as recommended in writing by the equipment manufacturer. In lieu of a concrete pad foundation, build a concrete pedestal block with isolators placed between the pedestal block and the floor. Make the concrete foundation or concrete pedestal block a mass not less than three times the weight of the components to be supported.

### 3.2.3 Access Panels

Install access panels for concealed valves, vents, controls, dampers, and items requiring inspection or maintenance of sufficient size, and locate them so that the concealed items are easily serviced and maintained or completely removed and replaced.

### 3.2.4 Insulation

Provide thickness and application of insulation materials for piping, and equipment according to Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

### 3.3 EQUIPMENT PADS

Provide equipment pads to the dimensions shown or, if not shown, to conform to the shape of each piece of equipment served with a minimum 6-inch margin around the equipment and supports. Allow equipment bases and foundations, when constructed of concrete or grout, to cure a minimum of 14 calendar days before being loaded.

### 3.4 CUTTING AND PATCHING

Install work in such a manner and at such time that a minimum of cutting and patching of the building structure is required. Make holes in exposed locations, in or through existing floors, by drilling and smooth by sanding. Use of a jackhammer is permitted only where specifically approved. Make holes through masonry walls to accommodate sleeves with an iron pipe masonry core saw.

### 3.5 CLEANING

Thoroughly clean surfaces of piping and equipment that have become covered with dirt, plaster, or other material during handling and construction before such surfaces are prepared for final finish painting or are enclosed within the building structure. Before final acceptance, clean mechanical equipment, including piping, ducting, and fixtures, and free from dirt, grease, and finger marks. When the work area is in an occupied space such as office, laboratory or warehouse protect all furniture and equipment from dirt and debris. Incorporate housekeeping for field construction work which leaves all furniture and equipment in the affected area free of construction generated dust and debris; and, all floor surfaces vacuum-swept clean.

### 3.6 PENETRATIONS

Provide sleeves and prepared openings for penetrating items.

#### 3.6.1 Sleeves

Fabricate sleeves, except as otherwise specified or indicated, from 20 gauge thick mill galvanized sheet metal. Where sleeves are installed in bearing walls or partitions, provide black steel pipe conforming with ASTM A53/A53M, Schedule 20.

#### 3.6.2 Framed Prepared Openings

Fabricate framed prepared openings from 20 gauge galvanized steel, unless otherwise indicated.

#### 3.6.3 Insulation

Provide duct insulation in accordance with Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS continuous through sleeves and prepared openings except firewall penetrations.

### 3.7 FIELD PAINTING OF MECHANICAL EQUIPMENT

Clean, pretreat, prime and paint metal surfaces; except aluminum surfaces need not be painted. Apply coatings to clean dry surfaces. Clean the surfaces to remove dust, dirt, rust, oil and grease by wire brushing and solvent degreasing prior to application of paint, except clean to bare metal on metal surfaces subject to temperatures in excess of 120 degrees F. Where more than one coat of paint is specified, apply the second coat after the preceding coat is thoroughly dry. Lightly sand damaged painting and retouch before applying the succeeding coat. Provide aluminum or light gray finish coat.

#### 3.7.1 Temperatures less than 120 degrees F

Immediately after cleaning, apply one coat of pretreatment primer applied to a minimum dry film thickness of 0.3 mil, one coat of primer applied to a minimum dry film thickness of one mil; and two coats of enamel applied to a minimum dry film thickness of one mil per coat to metal surfaces subject to temperatures less than 120 degrees F.

### 3.8 IDENTIFICATION SYSTEMS

Provide identification tags made of brass, engraved laminated plastic, or engraved anodized aluminum, indicating service and item number on all valves and dampers. Provide tags that are 1-3/8 inch minimum diameter with stamped or engraved markings. Make indentations black for reading clarity. Attach tags to valves with No. 12 AWG 0.0808-inch diameter corrosion-resistant steel wire, copper wire, chrome-plated beaded chain or plastic straps designed for that purpose.

### 3.9 TESTING, ADJUSTING, AND BALANCING

The requirements for testing, adjusting, and balancing are specified in Section 23 05 92 TESTING/ADJUSTING/BALANCING: SMALL HEATING/VENTILATING/COOLING SYSTEMS. Begin testing, adjusting, and balancing only when the air supply and distribution, including controls, has been completed, with the exception of performance tests.

### 3.10 PERFORMANCE TESTS

After testing, adjusting, and balancing is complete as specified, test each system as a whole to see that all items perform as integral parts of the system and temperatures and conditions are evenly controlled throughout the building. Record the testing during the applicable season. Make corrections and adjustments as necessary to produce the conditions indicated or specified. Conduct capacity tests and general operating tests by an experienced engineer. Provide tests that cover a period of not less than 14 days for each system and demonstrate that the entire system is functioning according to the specifications. Make coincidental chart recordings at points indicated on the drawings for the duration of the time period and record the temperature at space thermostats or space sensors, the humidity at space humidistats or space sensors and the ambient temperature and humidity in a shaded and weather protected area.

Submit test reports for the performance tests in booklet form, upon completion of testing. Document phases of tests performed including initial test summary, repairs/adjustments made, and final test results in the reports.

### 3.11 CLEANING AND ADJUSTING

Provide temporary filters prior to startup of all fans that are operated during construction, and provide new filters after all construction dirt has been removed from the building, and the ducts, plenums, casings, and other items specified have been vacuum cleaned. Provide documentation showing that after construction ends, new filters were provided and installed. Maintain system in this clean condition until final acceptance. Properly lubricate bearings with oil or grease as recommended by the manufacturer. Adjust miscellaneous equipment requiring adjustment to setting indicated or directed. Adjust fans to the speed indicated by the manufacturer to meet specified conditions. Maintain all equipment installed under the contract until close out documentation is received, the project is completed and the building has been documented as beneficially occupied.

### 3.12 OPERATION AND MAINTENANCE

#### 3.12.1 Operation and Maintenance Manuals

Submit six manuals at least 2 weeks prior to field training. Submit data complying with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA. Submit Data Package 3 for the items/units listed under SD-10 Operation and Maintenance Data

-- End of Section --

SECTION 23 03 00.00 20

BASIC MECHANICAL MATERIALS AND METHODS

08/10

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM B117 (2016) Standard Practice for Operating  
Salt Spray (Fog) Apparatus

1.2 RELATED REQUIREMENTS

This section applies to all sections of Divisions: 21, FIRE SUPPRESSION; 22, PLUMBING; and 23, HEATING, VENTILATING, AND AIR CONDITIONING of this project specification, unless specified otherwise in the individual section.

1.3 QUALITY ASSURANCE

1.3.1 Material and Equipment Qualifications

Provide materials and equipment that are standard products of manufacturers regularly engaged in the manufacture of such products, which are of a similar material, design and workmanship. Standard products must have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year use must include applications of equipment and materials under similar circumstances and of similar size. The product must have been for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2 year period.

1.3.2 Alternative Qualifications

Products having less than a two-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturer's factory or laboratory tests, can be shown.

1.3.3 Service Support

The equipment items must be supported by service organizations. Submit a certified list of qualified permanent service organizations for support of the equipment which includes their addresses and qualifications. These service organizations must be reasonably convenient to the equipment installation and able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.3.4 Manufacturer's Nameplate

For each item of equipment, provide a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a

conspicuous place; the nameplate of the distributing agent will not be acceptable.

#### 1.3.5 Modification of References

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction", or words of similar meaning, to mean the Contracting Officer.

##### 1.3.5.1 Definitions

For the International Code Council (ICC) Codes referenced in the contract documents, advisory provisions must be considered mandatory, the word "should" is interpreted as "must." Reference to the "code official" must be interpreted to mean the "Contracting Officer." For Navy owned property, references to the "owner" must be interpreted to mean the "Contracting Officer." For leased facilities, references to the "owner" must be interpreted to mean the "lessor." References to the "permit holder" must be interpreted to mean the "Contractor."

##### 1.3.5.2 Administrative Interpretations

For ICC Codes referenced in the contract documents, the provisions of Chapter 1, "Administrator," do not apply. These administrative requirements are covered by the applicable Federal Acquisition Regulations (FAR) included in this contract and by the authority granted to the Officer in Charge of Construction to administer the construction of this project. References in the ICC Codes to sections of Chapter 1, must be applied appropriately by the Contracting Officer as authorized by his administrative cognizance and the FAR.

#### 1.4 DELIVERY, STORAGE, AND HANDLING

Handle, store, and protect equipment and materials to prevent damage before and during installation in accordance with the manufacturer's recommendations, and as approved by the Contracting Officer. Replace damaged or defective items.

#### 1.5 ELECTRICAL REQUIREMENTS

Furnish motors, controllers, disconnects and contactors with their respective pieces of equipment. Motors, controllers, disconnects and contactors must conform to and have electrical connections provided under Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Furnish internal wiring for components of packaged equipment as an integral part of the equipment. Extended voltage range motors will not be permitted. Controllers and contactors shall have a maximum of 120 volt control circuits, and must have auxiliary contacts for use with the controls furnished. When motors and equipment furnished are larger than sizes indicated, the cost of additional electrical service and related work must be included under the section that specified that motor or equipment. Power wiring and conduit for field installed equipment must be provided under and conform to the requirements of Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

#### 1.6 ACCESSIBILITY

Install all work so that parts requiring periodic inspection, operation,

maintenance, and repair are readily accessible. Install concealed valves, expansion joints, controls, dampers, and equipment requiring access, in locations freely accessible through access doors.

## PART 2 PRODUCTS

Not Used

## PART 3 EXECUTION

### 3.1 PAINTING OF NEW EQUIPMENT

New equipment painting must be factory applied or shop applied, and must be as specified herein, and provided under each individual section.

#### 3.1.1 Factory Painting Systems

Manufacturer's standard factory painting systems may be provided subject to certification that the factory painting system applied will withstand 125 hours in a salt-spray fog test, except that equipment located outdoors must withstand 500 hours in a salt-spray fog test. Salt-spray fog test must be in accordance with ASTM B117, and for that test the acceptance criteria must be as follows: immediately after completion of the test, the paint must show no signs of blistering, wrinkling, or cracking, and no loss of adhesion; and the specimen must show no signs of rust creepage beyond 0.125 inch on either side of the scratch mark.

The film thickness of the factory painting system applied on the equipment must not be less than the film thickness used on the test specimen. If manufacturer's standard factory painting system is being proposed for use on surfaces subject to temperatures above 120 degrees F, the factory painting system must be designed for the temperature service.

#### 3.1.2 Shop Painting Systems for Metal Surfaces

Clean, pretreat, prime and paint metal surfaces; except aluminum surfaces need not be painted. Apply coatings to clean dry surfaces. Clean the surfaces to remove dust, dirt, rust, oil and grease by wire brushing and solvent degreasing prior to application of paint, except metal surfaces subject to temperatures in excess of 120 degrees F must be cleaned to bare metal.

Where more than one coat of paint is specified, apply the second coat after the preceding coat is thoroughly dry. Lightly sand damaged painting and retouch before applying the succeeding coat. Color of finish coat must be aluminum or light gray.

- a. Temperatures Less Than 120 Degrees F: Immediately after cleaning, the metal surfaces subject to temperatures less than 120 degrees F must receive one coat of pretreatment primer applied to a minimum dry film thickness of 0.3 mil, one coat of primer applied to a minimum dry film thickness of 1 mil; and two coats of enamel applied to a minimum dry film thickness of 1 mil per coat.

-- End of Section --



#### 1.4 SUBMITTALS

Submit the following in accordance with Section 01 33 00, "Submittal Procedures."

SD-06 Test Reports

TAB Report

##### 1.4.1 TAB Report

Submit TAB report with any/all known deficiencies in operation, performance, or air flow are clearly identified. The report shall be reported in the specified format including the following data:

- a. Report Format: Submit completed report forms for each of the following; as a minimum, report all data as contained on standard NEBB Procedural Stds, AABC MN-1, OR SMACNA TAB HVAC Sys report forms as contained within the referenced standards:

- (1) Air Systems

- (a) Fan report for fan coil units.

- (b) DX cooling coils - report entering/leaving, wet/dry bulb temperatures and energy transferred.

- (c) Heating coils, DX report entering/leaving dry bulb temperatures and energy transferred.

The report shall be neatly bound with a waterproof cover. It shall contain a table of contents, with each page numbered. All report data shall be typed - handwritten data will not be acceptable.

- b. Temperatures: On each TAB report form reporting TAB work accomplished on HVAC thermal energy transfer equipment, include the indoor and outdoor dry bulb temperature range and indoor and outdoor wet bulb temperature range within the TAB data was recorded.
- c. Instruments: List the types of instruments actually used to measure the TAB data. Include in the listing each instrument's unique identification number, calibration date, and calibration expiration date.

#### 1.5 QUALITY ASSURANCE

##### 1.5.1 Modifications of References

Accomplish work in accordance with referenced publications of AABC or NEBB except as modified by this section. In the references referred to herein, consider the advisory or recommended provisions to be mandatory, as though the word "shall" had been substituted for the words "should" or "could" or "may" wherever they appear. Interpret reference to the "authority having jurisdiction," the "Administrative Authority," the "Owner," or the "Design Engineer" to mean the "Contracting Officer."

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 TAB PROCEDURES

3.1.1 TAB Field Work

Test, adjust, and balance the listed HVAC systems to the state of operation indicated on and specified in the contract design documents. Air systems and water systems shall be proportionately balanced and reported in the TAB report. Provide instruments and consumables required to accomplish the TAB work. Conduct TAB work, on the listed HVAC systems in conformance with the AABC MN-1, or NEBB Procedural Stds, except as modified by this section:

- a. Workmanship: Conduct TAB work on specified HVAC systems until measured parameters are within plus or minus 5 percent of the design values, that is, the values specified or indicated on the contract documents, except outside air shall be plus 5 percent/minus 0 percent, exhaust shall be plus 0 percent/minus 5 percent.

3.1.2 Data From TAB Field Work

After all TAB work has been completed, prepare a handwritten, pre-final TAB report using all report forms complete as specified for the final TAB report. Except as approved otherwise by the Contracting Officer, in writing, the TAB work and the TAB report shall be considered incomplete until the TAB work is accomplished to within the accuracy range specified in the paragraph titled "Workmanship."

3.1.3 Quality Assurance For TAB Field Work

3.1.3.1 Field Check

Verbally notify the Contracting Officer that the field check of the pre-final, handwritten report can commence; give this verbal notice 48 hours in advance of when the field check of the pre-final report can commence. Do not schedule the field check of the pre-final report until the TAB work is accomplished to within the accuracy range specified in the paragraph titled "Workmanship" or written approval of the deviations from the requirements has been received from the Contracting Officer.

- a. Recheck: During field check the Contractor shall recheck, in the presence of the Contracting Officer, random selections of all reported data recorded in the pre-final report.
- b. Areas of Recheck: Points and areas of recheck shall be selected by the Contracting Officer.
- c. Procedures: Measurements and test procedures shall be the same as was used for forming basis of the pre-final report.
- d. Recheck Selections: Selections for recheck will not exceed 25 percent of the total number of reported data entries tabulated in the pre-final report.

#### 3.1.3.2 Retests

If random tests reveal a measured value which is an out-of-tolerance quantity, the report is subject to disapproval at the Contracting Officers' discretion. In the event the report is disapproved, all systems shall be readjusted and tested; new data recorded; a new pre-final report submitted; and a new field check conducted at no additional cost to the Government.

#### 3.1.3.3 Out-of-Tolerance Quantity

Out-of-tolerance quantity pertains to field checking of the pre-final report. The term is defined as measurement taken during field checking which does not fall within the range of plus 5 to minus 5 percent of the reported value for the specific parameter.

#### 3.1.3.4 Report Acceptance

On completion, and approval, of the pre-final report field check, the Contractor shall prepare, assemble, and submit the final certified TAB report in the required format for final review/approval.

-- End of Section --

SECTION 23 07 00

THERMAL INSULATION FOR MECHANICAL SYSTEMS  
02/13

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only. At the discretion of the Government, the manufacturer of any material supplied will be required to furnish test reports pertaining to any of the tests necessary to assure compliance with the standard or standards referenced in this specification.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING  
ENGINEERS (ASHRAE)

ASHRAE 90.1 - SI (2013) Energy Standard for Buildings  
Except Low-Rise Residential Buildings

ASTM INTERNATIONAL (ASTM)

ASTM A580/A580M (2018) Standard Specification for  
Stainless Steel Wire

ASTM B209 (2014) Standard Specification for Aluminum  
and Aluminum-Alloy Sheet and Plate

ASTM C1136 (2017a) Standard Specification for  
Flexible, Low Permeance Vapor Retarders  
for Thermal Insulation

ASTM C1710 (2011) Standard Guide for Installation of  
Flexible Closed Cell Preformed Insulation  
in Tube and Sheet Form

ASTM C534/C534M (2016) Standard Specification for  
Preformed Flexible Elastomeric Cellular  
Thermal Insulation in Sheet and Tubular  
Form

ASTM C647 (2008; R 2013) Properties and Tests of  
Mastics and Coating Finishes for Thermal  
Insulation

ASTM C920 (2018) Standard Specification for  
Elastomeric Joint Sealants

ASTM C921 (2010) Standard Practice for Determining  
the Properties of Jacketing Materials for  
Thermal Insulation

ASTM D2863 (2017a) Standard Test Method for Measuring  
the Minimum Oxygen Concentration to  
Support Candle-Like Combustion of Plastics

	(Oxygen Index)
ASTM D882	(2012) Tensile Properties of Thin Plastic Sheeting
ASTM E2231	(2018) Standard Practice for Specimen Preparation and Mounting of Pipe and Duct Insulation Materials to Assess Surface Burning Characteristics
ASTM E84	(2018a) Standard Test Method for Surface Burning Characteristics of Building Materials
ASTM E96/E96M	(2016) Standard Test Methods for Water Vapor Transmission of Materials
CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)	
CDPH SECTION 01350	(2010; Version 1.1) Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources using Environmental Chambers
FM GLOBAL (FM)	
FM APP GUIDE	(updated on-line) Approval Guide <a href="http://www.approvalguide.com/">http://www.approvalguide.com/</a>
GREEN SEAL (GS)	
GS-36	(2013) Adhesives for Commercial Use
INTERNATIONAL ORGANIZATION FOR STANDARDIZATION (ISO)	
ISO 2758	(2014) Paper - Determination of Bursting Strength
MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)	
MSS SP-58	(2009) Pipe Hangers and Supports - Materials, Design and Manufacture, Selection, Application, and Installation
MIDWEST INSULATION CONTRACTORS ASSOCIATION (MICA)	
MICA Insulation Stds	(8th Ed) National Commercial & Industrial Insulation Standards
SCIENTIFIC CERTIFICATION SYSTEMS (SCS)	
SCS	SCS Global Services (SCS) Indoor Advantage
SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)	
SCAQMD Rule 1168	(2017) Adhesive and Sealant Applications

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-A-24179 (1969; Rev A; Am 2 1980; Notice 1 1987)  
Adhesive, Flexible Unicellular-Plastic  
Thermal Insulation

MIL-PRF-19565 (1988; Rev C) Coating Compounds, Thermal  
Insulation, Fire- and Water-Resistant,  
Vapor-Barrier

UNDERWRITERS LABORATORIES (UL)

UL 2818 (2013) GREENGUARD Certification Program  
For Chemical Emissions For Building  
Materials, Finishes And Furnishings

UL 723 (2018) UL Standard for Safety Test for  
Surface Burning Characteristics of  
Building Materials

UL 94 (2013; Reprint Sep 2017) UL Standard for  
Safety Tests for Flammability of Plastic  
Materials for Parts in Devices and  
Appliances

1.2 SYSTEM DESCRIPTION

1.2.1 General

Provide field-applied insulation and accessories on mechanical systems as specified herein; factory-applied insulation is specified under the piping, or equipment to be insulated. Field applied insulation materials required for use on Government-furnished items as listed in the SPECIAL CONTRACT REQUIREMENTS shall be furnished and installed by the Contractor.

1.3 SUBMITTALS

Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Submit the three SD types, SD-02 Shop Drawings, SD-03 Product Data, and SD-08 Manufacturer's Instructions at the same time for each system.

SD-02 Shop Drawings

MICA Plates

Pipe Insulation Systems and Associated Accessories

SD-03 Product Data

Pipe Insulation Systems

SD-08 Manufacturer's Instructions

Pipe Insulation Systems

#### 1.4 CERTIFICATIONS

##### 1.4.1 Adhesives and Sealants

Provide products certified to meet indoor air quality requirements by UL 2818 (Greenguard) Gold, SCS Global Services Indoor Advantage Gold or provide certification or validation by other third-party programs that products meet the requirements of this Section. Provide current product certification documentation from certification body. When product does not have certification, provide validation that product meets the indoor air quality product requirements cited herein.

#### 1.5 QUALITY ASSURANCE

##### 1.5.1 Installer Qualification

Qualified installers shall have successfully completed three or more similar type jobs within the last 5 years.

#### 1.6 DELIVERY, STORAGE, AND HANDLING

Materials shall be delivered in the manufacturer's unopened containers. Materials delivered and placed in storage shall be provided with protection from weather, humidity, dirt, dust and other contaminants. The Contracting Officer may reject insulation material and supplies that become dirty, dusty, wet, or contaminated by some other means. Packages or standard containers of insulation, jacket material, cements, adhesives, and coatings delivered for use, and samples required for approval shall have manufacturer's stamp or label attached giving the name of the manufacturer and brand, and a description of the material, date codes, and approximate shelf life (if applicable). Insulation packages and containers shall be asbestos free.

### PART 2 PRODUCTS

#### 2.1 STANDARD PRODUCTS

Provide materials which are the standard products of manufacturers regularly engaged in the manufacture of such products and that essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Submit a complete list of materials, including manufacturer's descriptive technical literature, performance data, catalog cuts, and installation instructions. The product number, k-value, thickness and furnished accessories including adhesives, sealants and jackets for each mechanical system requiring insulation shall be included. The product data must be copyrighted, have an identifying or publication number, and shall have been published prior to the issuance date of this solicitation. Materials furnished under this section shall be submitted together in a booklet and in conjunction with the MICA plates booklet (SD-02). Annotate the product data to indicate which MICA plate is applicable.

##### 2.1.1 Insulation System

Provide insulation systems in accordance with the approved MICA National Insulation Standards plates as supplemented by this specification. Provide field-applied insulation for heating, ventilating, and cooling (HVAC) air distribution systems and piping systems that are located within, on, under, and adjacent to buildings; and for plumbing systems.

Provide CFC and HCFC free insulation.

### 2.1.2 Surface Burning Characteristics

Unless otherwise specified, insulation must have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Flame spread, and smoke developed indexes, shall be determined by ASTM E84 or UL 723. Test insulation in the same density and installed thickness as the material to be used in the actual construction. Prepare and mount test specimens according to ASTM E2231.

## 2.2 MATERIALS

Provide insulation that meets or exceed the requirements of ASHRAE 90.1 - SI. Insulation exterior shall be cleanable, grease resistant, non-flaking and non-peeling. Materials shall be compatible and shall not contribute to corrosion, soften, or otherwise attack surfaces to which applied in either wet or dry state. Calcium silicate shall not be used on chilled or cold water systems. Materials shall be asbestos free. Provide product recognized under UL 94 (if containing plastic) and listed in FM APP GUIDE.

### 2.2.1 Adhesives

Provide non-aerosol adhesive products used on the interior of the building (defined as inside of the weatherproofing system) that meet either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168 (HVAC duct sealants must meet limit requirements of "Other" category within SCAQMD Rule 1168 sealants table). Provide aerosol adhesives used on the interior of the building that meet either emissions requirements of CDPH SECTION 01350 (use the office or classroom requirements, regardless of space type) or VOC content requirements of GS-36.

#### 2.2.1.1 Contact Adhesive

Adhesives may be any of, but not limited to, the neoprene based, rubber based, or elastomeric type that have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. The adhesive shall not adversely affect, initially or in service, the insulation to which it is applied, nor shall it cause any corrosive effect on metal to which it is applied. Any solvent dispersing medium or volatile component of the adhesive shall have no objectionable odor and shall not contain any benzene or carbon tetrachloride. The dried adhesive shall not emit nauseous, irritating, or toxic volatile matters or aerosols when the adhesive is heated to any temperature up to 212 degrees F. The dried adhesive shall be nonflammable and fire resistant. Flexible Elastomeric Adhesive: Comply with MIL-A-24179, Type II, Class I. Provide product listed in FM APP GUIDE.

### 2.2.2 Caulking

ASTM C920, Type S, Grade NS, Class 25, Use A.

### 2.2.3 Corner Angles

Nominal 0.016 inch aluminum 1 by 1 inch with factory applied kraft

backing. Aluminum shall be ASTM B209, Alloy 3003, 3105, or 5005.

#### 2.2.4 Fittings

Fabricated Fittings are the prefabricated fittings for flexible elastomeric pipe insulation systems in accordance with ASTM C1710. Together with the flexible elastomeric tubes, they provide complete system integrity for retarding heat gain and controlling condensation drip from refrigeration systems. Flexible elastomeric, fabricated fittings provide thermal protection (0.25 k) and condensation resistance (0.05 Water Vapor Transmission factor). For satisfactory performance, properly installed protective vapor retarder/barriers and vapor stops shall be used on high relative humidity and below ambient temperature applications to reduce movement of moisture through or around the insulation to the colder interior surface.

#### 2.2.5 Jackets

##### 2.2.5.1 Aluminum Jackets

Aluminum jackets shall be corrugated, embossed or smooth sheet, 0.016 inch nominal thickness; ASTM B209, Temper H14, Temper H16, Alloy 3003, 5005, or 3105. Corrugated aluminum jacket shall not be used outdoors. Aluminum jacket securing bands shall be Type 304 stainless steel, 0.015 inch thick, 1/2 inch wide for pipe under 12 inch diameter and 3/4 inch wide for pipe over 12 inch and larger diameter. Aluminum jacket circumferential seam bands shall be 2 by 0.016 inch aluminum matching jacket material. Bands for insulation below ground shall be 3/4 by 0.020 inch thick stainless steel, or fiberglass reinforced tape. The jacket may, at the option of the Contractor, be provided with a factory fabricated Pittsburgh or "Z" type longitudinal joint. When the "Z" joint is used, the bands at the circumferential joints shall be designed by the manufacturer to seal the joints and hold the jacket in place.

##### 2.2.5.2 Polyvinyl Chloride (PVC) Jackets

Polyvinyl chloride (PVC) jacket and fitting covers shall have high impact strength, ultraviolet (UV) resistant rating or treatment and moderate chemical resistance with minimum thickness 0.030 inch.

##### 2.2.5.3 Vapor Barrier/Vapor Retarder

Apply the following criteria to determine which system is required.

- a. On piping and equipment operating below 95 degrees F or located outside shall be equipped with a vapor barrier.
- b. Pipes and equipment that are located inside and that always operate above 95 degrees F shall be installed with a vapor retarder where required as stated in paragraph VAPOR RETARDER REQUIRED.

##### 2.2.6 Vapor Retarder Required

ASTM C921, Type I, minimum puncture resistance 50 Beach units on all surfaces except concealed ductwork, where a minimum puncture resistance of 25 Beach units is acceptable. Minimum tensile strength, 35 pounds/inch width. ASTM C921, Type II, minimum puncture resistance 25 Beach units, tensile strength minimum 20 pounds/inch width. Jackets used on insulation exposed in finished areas shall have white finish suitable for painting

without sizing. Based on the application, insulation materials that require manufacturer or fabricator applied pipe insulation jackets are cellular glass, when all joints are sealed with a vapor barrier mastic, and mineral fiber. All non-metallic jackets shall have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Flexible elastomerics require (in addition to vapor barrier skin) vapor retarder jacketing for high relative humidity and below ambient temperature applications.

#### 2.2.6.1 White Vapor Retarder All Service Jacket (ASJ)

ASJ is for use on hot/cold pipes, ducts, or equipment indoors or outdoors if covered by a suitable protective jacket. The product shall meet all physical property and performance requirements of ASTM C1136, Type I, except the burst strength shall be a minimum of 85 psi. ASTM D2863 Limited Oxygen Index (LOI) shall be a minimum of 31.

In addition, neither the outer exposed surface nor the inner-most surface contacting the insulation shall be paper or other moisture-sensitive material. The outer exposed surface shall be white and have an emittance of not less than 0.80. The outer exposed surface shall be paintable.

#### 2.2.6.2 Vapor Retarder/Vapor Barrier Mastic Coatings

##### 2.2.6.2.1 Vapor Barrier

The vapor barrier shall be self adhesive (minimum 2 mils adhesive, 3 mils embossed) greater than 3 plies standard grade, silver, white, black and embossed white jacket for use on hot/cold pipes. Permeability shall be less than 0.02 when tested in accordance with ASTM E96/E96M. Products shall meet UL 723 or ASTM E84 flame and smoke requirements and shall be UV resistant.

##### 2.2.6.2.2 Vapor Retarder

The vapor retarder coating shall be fire and water resistant and appropriately selected for either outdoor or indoor service. Color shall be white. The water vapor permeance of the compound shall be 0.013 perms or less at 43 mils dry film thickness as determined according to procedure B of ASTM E96/E96M utilizing apparatus described in ASTM E96/E96M. The coating shall be nonflammable, fire resistant type. Coating shall meet MIL-PRF-19565 Type II (if selected for indoor service) and be Qualified Products Database listed. All other application and service properties shall be in accordance with ASTM C647.

#### 2.2.6.3 Laminated Film Vapor Retarder

ASTM C1136, Type I, maximum moisture vapor transmission 0.02 perms, minimum puncture resistance 50 Beach units on all surfaces except concealed ductwork; where Type II, maximum moisture vapor transmission 0.02 perms, a minimum puncture resistance of 25 Beach units is acceptable. Vapor retarder shall have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Flexible Elastomeric exterior foam with factory applied UV Jacket. Construction of laminate designed to provide UV resistance, high puncture, tear resistance and an excellent WVT rate.

#### 2.2.6.4 Polyvinylidene Chloride (PVDC) Film Vapor Retarder

The PVDC film vapor retarder shall have a maximum moisture vapor transmission of 0.02 perms, minimum puncture resistance of 150 Beach units, a minimum tensile strength in any direction of 30 lb/inch when tested in accordance with ASTM D882, and a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84.

#### 2.2.6.5 Polyvinylidene Chloride Vapor Retarder Adhesive Tape

Requirements must meet the same as specified for Laminated Film Vapor Retarder above.

#### 2.2.6.6 Vapor Barrier/Weather Barrier

The vapor barrier shall be greater than 3 ply self adhesive laminate -white vapor barrier jacket- superior performance (less than 0.0000 permeability when tested in accordance with ASTM E96/E96M). Vapor barrier shall meet UL 723 or ASTM E84 25 flame and 50 smoke requirements; and UV resistant. Minimum burst strength 185 psi in accordance with ISO 2758. Tensile strength 68 lb/inch width (PSTC-1000). Tape shall be as specified for laminated film vapor barrier above.

#### 2.2.7 Vapor Retarder Not Required

ASTM C921, Type II, Class D, minimum puncture resistance 50 Beach units on all surfaces except ductwork, where Type IV, maximum moisture vapor transmission 0.10, a minimum puncture resistance of 25 Beach units is acceptable. Jacket shall have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84.

#### 2.2.8 Wire

Soft annealed ASTM A580/A580M Type 302, 304 or 316 stainless steel, 16 or 18 gauge.

#### 2.2.9 Sealants

Sealants shall be chosen from the butyl polymer type, the styrene-butadiene rubber type, or the butyl type of sealants. Sealants shall have a maximum permeance of 0.02 perms based on Procedure B for ASTM E96/E96M, and a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84.

### 2.3 PIPE INSULATION SYSTEMS

Conform insulation materials to Table 1 and minimum insulation thickness as listed in Table 2 and meet or exceed the requirements of ASHRAE 90.1 - SI. Limit pipe insulation materials to those listed herein and meeting the following requirements:

#### 2.3.1 Aboveground Cold Pipeline ( -30 to 60 deg. F)

Insulation for outdoor, indoor, exposed or concealed applications, shall be as follows:

#### 2.3.1.1 Flexible Elastomeric Cellular Insulation

Closed-cell, foam- or expanded-rubber materials containing anti-microbial additive, complying with ASTM C534/C534M, Grade 1, Type I or II. Type I, Grade 1 for tubular materials. Type II, Grade 1, for sheet materials. Type I and II shall have vapor retarder/vapor barrier skin on one or both sides of the insulation, and require an additional exterior vapor retarder covering for high relative humidity and below ambient temperature applications.

#### 2.3.2 Aboveground Hot Pipeline (Above 60 deg. F)

Insulation for outdoor, indoor, exposed or concealed applications shall meet the following requirements. Supply the insulation with manufacturer's recommended factory-applied jacket/vapor barrier.

##### 2.3.2.1 Flexible Elastomeric Cellular Insulation

Closed-cell, foam- or expanded-rubber materials containing anti-microbial additive, complying with ASTM C534/C534M, Grade 1, Type I or II to 220 degrees F service. Type I for tubular materials. Type II for sheet materials.

### PART 3 EXECUTION

#### 3.1 APPLICATION - GENERAL

Insulation shall only be applied to unheated and uncooled piping. Flexible elastomeric cellular insulation shall not be compressed at joists, studs, columns, ducts, hangers, etc. The insulation shall not pull apart after a one hour period; any insulation found to pull apart after one hour, shall be replaced.

##### 3.1.1 Installation

Except as otherwise specified, material shall be installed in accordance with the manufacturer's written instructions. Insulation materials shall not be applied until tests specified in other sections of this specification are completed. Material such as rust, scale, dirt and moisture shall be removed from surfaces to receive insulation. Insulation shall be kept clean and dry. Insulation shall not be removed from its shipping containers until the day it is ready to use and shall be returned to like containers or equally protected from dirt and moisture at the end of each workday. Insulation that becomes dirty shall be thoroughly cleaned prior to use. If insulation becomes wet or if cleaning does not restore the surfaces to like new condition, the insulation will be rejected, and shall be immediately removed from the jobsite. Joints shall be staggered on multi layer insulation. Insulation, jacketing and accessories shall be installed in accordance with MICA Insulation Stds plates except where modified herein or on the drawings.

##### 3.1.2 Installation of Flexible Elastomeric Cellular Insulation

Install flexible elastomeric cellular insulation with seams and joints sealed with rubberized contact adhesive. Flexible elastomeric cellular insulation shall not be used on surfaces greater than 220 degrees F. Stagger seams when applying multiple layers of insulation. Protect insulation exposed to weather and not shown to have vapor barrier weatherproof jacketing with two coats of UV resistant finish or PVC or

metal jacketing as recommended by the manufacturer after the adhesive is dry and cured.

#### 3.1.2.1 Adhesive Application

Apply a brush coating of adhesive to both butt ends to be joined and to both slit surfaces to be sealed. Allow the adhesive to set until dry to touch but tacky under slight pressure before joining the surfaces. Insulation seals at seams and joints shall not be capable of being pulled apart one hour after application. Insulation that can be pulled apart one hour after installation shall be replaced.

#### 3.1.2.2 Adhesive Safety Precautions

Use natural cross-ventilation, local (mechanical) pickup, and/or general area (mechanical) ventilation to prevent an accumulation of solvent vapors, keeping in mind the ventilation pattern must remove any heavier-than-air solvent vapors from lower levels of the workspaces. Gloves and spectacle-type safety glasses are recommended in accordance with safe installation practices.

#### 3.1.3 Pipes That Require Insulation

Insulation is required on all pipes, except for omitted items as specified.

### 3.2 PIPE INSULATION SYSTEMS INSTALLATION

Install pipe insulation systems in accordance with the approved MICA Insulation Stds plates as supplemented by the manufacturer's published installation instructions.

#### 3.2.1 Pipe Insulation

##### 3.2.1.1 General

Pipe insulation shall be installed on aboveground cold pipeline systems as specified below to form a continuous thermal retarder/barrier, including straight runs, fittings and appurtenances unless specified otherwise. Installation shall be with full length units of insulation and using a single cut piece to complete a run. Cut pieces or scraps abutting each other shall not be used. Pipe insulation shall be omitted on the following:

- a. Pipe used solely for fire protection.
- b. Adjacent insulation.
- c. ASME stamps.
- d. Access plates of fan housings.

##### 3.2.1.2 Pipes Passing Through Walls, Roofs, and Floors

Pipe insulation shall be continuous through the sleeve.

Provide an aluminum jacket or vapor barrier/weatherproofing self adhesive jacket (minimum 2 mils adhesive, 3 mils embossed) less than 0.0000 permeability, greater than 3 ply standard grade, silver, white, black and embossed with factory applied moisture retarder over the insulation

wherever penetrations require sealing.

#### 3.2.1.2.1 Penetrate Interior Walls

The aluminum jacket or vapor barrier/weatherproofing - self adhesive jacket (minimum 2 mils adhesive, 3 mils embossed) less than 0.0000 permeability, greater than 3 plies standard grade, silver, white, black and embossed shall extend 2 inches beyond either side of the wall and shall be secured on each end with a band.

#### 3.2.1.2.2 Penetrating Exterior Walls

Continue the aluminum jacket required for pipe exposed to weather through the sleeve to a point 2 inches beyond the interior surface of the wall.

#### 3.2.1.3 Pipes Passing Through Hangers

Insulation, whether hot or cold application, shall be continuous through hangers. All horizontal pipes 2 inches and smaller shall be supported on hangers with the addition of a Type 40 protection shield to protect the insulation in accordance with MSS SP-58. Whenever insulation shows signs of being compressed, or when the insulation or jacket shows visible signs of distortion at or near the support shield, insulation inserts as specified below for piping larger than 2 inches shall be installed, or factory insulated hangers (designed with a load bearing core) can be used.

##### 3.2.1.3.1 Vertical Pipes

Supported with either Type 8 or Type 42 riser clamps with the addition of two Type 40 protection shields in accordance with MSS SP-58 covering the 360-degree arc of the insulation. An insulation insert of cellular glass or calcium silicate shall be installed between each shield and the pipe. The insert shall cover the 360-degree arc of the pipe. Inserts shall be the same thickness as the insulation, and shall extend 2 inches on each end beyond the protection shield. When insulation inserts are required in accordance with the above, and the insulation thickness is less than 1 inch, wooden or cork dowels or blocks may be installed between the pipe and the shield to prevent the hanger from crushing the insulation, as an option instead of installing insulation inserts. The insulation jacket shall be continuous over the wooden dowel, wooden block, or insulation insert. The vertical weight of the pipe shall be supported with hangers located in a horizontal section of the pipe. When the pipe riser is longer than 30 feet, the weight of the pipe shall be additionally supported with hangers in the vertical run of the pipe that are directly clamped to the pipe, penetrating the pipe insulation. These hangers shall be insulated and the insulation jacket sealed as indicated herein for anchors in a similar service.

##### 3.2.1.3.2 Inserts

Covered with a jacket material of the same appearance and quality as the adjoining pipe insulation jacket, overlap the adjoining pipe jacket 1-1/2 inches, and seal as required for the pipe jacket. The jacket material used to cover inserts in flexible elastomeric cellular insulation shall conform to ASTM C1136, Type 1, and is allowed to be of a different material than the adjoining insulation material.

3.2.1.4 Flexible Elastomeric Cellular Pipe Insulation

Flexible elastomeric cellular pipe insulation shall be tubular form for pipe sizes 6 inches and less. Seams shall be staggered when applying multiple layers of insulation. Sweat fittings shall be insulated with miter-cut pieces the same size as on adjacent piping. Screwed fittings shall be insulated with sleeved fitting covers fabricated from miter-cut pieces and shall be overlapped and sealed to the adjacent pipe insulation. Type II requires an additional exterior vapor retarder/barrier covering for high relative humidity and below ambient temperature applications.

3.2.1.5 Pipes in high abuse areas.

In high abuse areas such as janitor closets and traffic areas in equipment rooms, kitchens, and mechanical rooms, welded PVC, aluminum or flexible laminate cladding (comprised of elastomeric, plastic or metal foil laminate) laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) vapor barrier/weatherproofing jacket, - less than 0.0000 permeability; (greater than 3 ply, standard grade, silver, white, black and embossed) aluminum jackets shall be utilized. Pipe insulation to the 6 foot level shall be protected.

3.2.1.6 Pipe Insulation Material and Thickness

Pipe insulation materials must be as listed in Table 1 and must meet or exceed the requirements of ASHRAE 90.1 - SI.

TABLE 1					
Insulation Material for Piping					
Service					
	Material	Specification	Type	Class	VR/VB Req'd
Refrigerant Suction Piping (35 degrees F nominal)					
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		No
Condensate Drain Located Inside Building					
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		No
Note: VR/VB = Vapor Retarder/Vapor Barrier					

TABLE 2						
Piping Insulation Thickness (inch) Do not use integral wicking material in Chilled water applications exposed to outdoor ambient conditions in climatic zones 1 through 4.						
Service						
	Material	Tube And Pipe Size (inch)				
		<1	1-<1.5	1.5-<4	4-<8	> or = >8
Refrigerant Suction Piping (35 degrees F nominal)						
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
Condensate Drain Located Inside Building						
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A

3.2.2 Aboveground Cold Pipelines

The following cold pipelines for minus 30 to plus 60 degrees F, shall be insulated in accordance with Table 2 except those piping listed in subparagraph Pipe Insulation in PART 3 as to be omitted. This includes but is not limited to the following:

- a. Refrigerant suction lines.
- b. Air conditioner condensate drains.

3.2.2.1 Insulation Material and Thickness

Insulation thickness for cold pipelines shall be determined using Table 2.

3.2.2.2 Factory or Field applied Jacket

Insulation shall be covered with a factory applied vapor retarder jacket/vapor barrier or field applied seal welded PVC jacket or greater than 3 ply laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) vapor barrier/weatherproofing jacket - less than 0.0000 permeability, standard grade, sliver, white, black and embossed for use with Mineral Fiber, Cellular Glass, and Phenolic Foam Insulated Pipe. Insulation inside the building, to be protected with an aluminum jacket or

greater than 3ply vapor barrier/weatherproofing self-adhesive (minimum 2 mils adhesive, 3 mils embossed) product, less than 0.0000 permeability, standard grade, Embossed Silver, White & Black, shall have the insulation and vapor retarder jacket installed as specified herein. The aluminum jacket or greater than 3ply vapor barrier/weatherproofing self-adhesive (minimum 2 mils adhesive, 3 mils embossed) product, less than 0.0000 permeability, standard grade, embossed silver, White & Black, shall be installed as specified for piping exposed to weather, except sealing of the laps of the aluminum jacket is not required. In high abuse areas such as janitor closets and traffic areas in equipment rooms, kitchens, and mechanical rooms, aluminum jackets or greater than 3ply vapor barrier/weatherproofing self-adhesive (minimum 2 mils adhesive, 3 mils embossed) product, less than 0.0000 permeability, standard grade, embossed silver, white & black, shall be provided for pipe insulation to the 6 ft level.

### 3.2.2.3 Installing Insulation for Straight Runs Hot and Cold Pipe

Apply insulation to the pipe with tight butt joints. Seal all butted joints and ends with joint sealant and seal with a vapor retarder coating, greater than 3 ply laminate jacket - less than 0.0000 perm adhesive tape or PVDC adhesive tape.

#### 3.2.2.3.1 Longitudinal Laps of the Jacket Material

Overlap not less than 1-1/2 inches. Provide butt strips 3 inches wide for circumferential joints.

#### 3.2.2.3.2 Laps and Butt Strips

Secure with adhesive and staple on 4 inch centers if not factory self-sealing.

#### 3.2.2.3.3 Factory Self-Sealing Lap Systems

May be used when the ambient temperature is between 40 and 120 degrees F during installation. Install the lap system in accordance with manufacturer's recommendations. Use a stapler only if specifically recommended by the manufacturer. Where gaps occur, replace the section or repair the gap by applying adhesive under the lap and then stapling.

#### 3.2.2.3.4 Staples

Coat all staples, including those used to repair factory self-seal lap systems, with a vapor retarder coating or PVDC adhesive tape or greater than 3 ply laminate jacket - less than 0.0000 perm adhesive tape. Coat all seams, except those on factory self-seal systems, with vapor retarder coating or PVDC adhesive tape or greater than 3 ply laminate jacket - less than 0.0000 perm adhesive tape.

#### 3.2.2.3.5 Breaks and Punctures in the Jacket Material

Patch by wrapping a strip of jacket material around the pipe and secure it with adhesive, staple, and coat with vapor retarder coating or PVDC adhesive tape or greater than 3 ply laminate jacket - less than 0.0000 perm adhesive tape. Extend the patch not less than 1-1/2 inches past the break.

### 3.2.2.3.6 Flexible Elastomeric Cellular Pipe Insulation

Install by slitting the tubular sections and applying them onto the piping or tubing. Alternately, whenever possible slide un-slitted sections over the open ends of piping or tubing. Secure all seams and butt joints and seal with adhesive. When using self seal products only the butt joints shall be secured with adhesive. Push insulation on the pipe, never pulled. Stretching of insulation may result in open seams and joints. Clean cut all edges. Rough or jagged edges of the insulation are not permitted. Use proper tools such as sharp knives. Do not stretch Grade 1, Type II sheet insulation around the pipe when used on pipe larger than 6 inches. On pipes larger than 12 inches, adhere sheet insulation directly to the pipe on the lower 1/3 of the pipe.

### 3.2.2.4 Insulation for Fittings and Accessories

- a. Pipe insulation shall be tightly butted to the insulation of the fittings and accessories. The butted joints and ends shall be sealed with joint sealant and sealed with a vapor retarder coating or PVDC adhesive tape or greater than 3 ply laminate jacket - less than 0.0000 perm adhesive tape.
- b. Precut or preformed insulation shall be placed around all fittings and accessories and shall conform to MICA plates except as modified herein: 5 for anchors; 10, 11, and 13 for fittings; 14 for valves; and 17 for flanges and unions. Insulation shall be the same insulation as the pipe insulation, including same density, thickness, and thermal conductivity. Where precut/preformed is unavailable, rigid preformed pipe insulation sections may be segmented into the shape required. Insulation of the same thickness and conductivity as the adjoining pipe insulation shall be used. If nesting size insulation is used, the insulation shall be overlapped 2 inches or one pipe diameter. Elbows insulated using segments shall conform to MICA Tables 12.20 "Mitered Insulation Elbow". Submit a booklet containing completed MICA Insulation Stds plates detailing each insulating system for each pipe, duct, or equipment insulating system, after approval of materials and prior to applying insulation.
  - (1) The MICA plates shall detail the materials to be installed and the specific insulation application. Submit all MICA plates required showing the entire insulating system, including plates required to show insulation penetrations, vessel bottom and top heads, legs, and skirt insulation as applicable. The MICA plates shall present all variations of insulation systems including locations, materials, vaporproofing, jackets and insulation accessories.
  - (2) If the Contractor elects to submit detailed drawings instead of edited MICA Plates, the detail drawings shall be technically equivalent to the edited MICA Plate submittal.
- c. Upon completion of insulation installation on flanges, unions, valves, anchors, fittings and accessories, terminations, seams, joints and insulation not protected by factory vapor retarder jackets or PVC fitting covers shall be protected with PVDC or greater than 3 ply laminate jacket - less than 0.0000 perm adhesive tape or two coats of vapor retarder coating with a minimum total thickness of 1/16 inch, applied with glass tape embedded between coats. Tape seams shall overlap 1 inch. The coating shall extend out onto the adjoining pipe

insulation 2 inches. Fabricated insulation with a factory vapor retarder jacket shall be protected with either greater than 3 ply laminate jacket - less than 0.0000 perm adhesive tape, standard grade, silver, white, black and embossed or PVDC adhesive tape or two coats of vapor retarder coating with a minimum thickness of 1/16 inch and with a 2 inch wide glass tape embedded between coats. Where fitting insulation butts to pipe insulation, the joints shall be sealed with a vapor retarder coating and a 4 inch wide ASJ tape which matches the jacket of the pipe insulation.

- d. Anchors attached directly to the pipe shall be insulated for a sufficient distance to prevent condensation but not less than 6 inches from the insulation surface.
- e. Insulation shall be marked showing the location of unions, strainers, and check valves.

#### 3.2.2.5 Optional PVC Fitting Covers

At the option of the Contractor, premolded, one or two piece PVC fitting covers may be used in lieu of the vapor retarder and embedded glass tape. Factory precut or premolded insulation segments shall be used under the fitting covers for elbows. Insulation segments shall be the same insulation as the pipe insulation including same density, thickness, and thermal conductivity. The covers shall be secured by PVC vapor retarder tape, adhesive, seal welding or with tacks made for securing PVC covers. Seams in the cover, and tacks and laps to adjoining pipe insulation jacket, shall be sealed with vapor retarder tape to ensure that the assembly has a continuous vapor seal.

#### 3.2.3 Piping Exposed to Weather

Piping exposed to weather shall be insulated and jacketed as specified for the applicable service inside the building. After this procedure, a laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) vapor barrier/weatherproofing jacket - less than 0.0000 permeability (greater than 3 ply, standard grade, silver, white, black and embossed aluminum jacket or PVC jacket shall be applied. PVC jacketing requires no factory-applied jacket beneath it, however an all service jacket shall be applied if factory applied jacketing is not furnished. Flexible elastomeric cellular insulation exposed to weather shall be treated in accordance with paragraph INSTALLATION OF FLEXIBLE ELASTOMERIC CELLULAR INSULATION in PART 3.

##### 3.2.3.1 Aluminum Jacket

The jacket for hot piping may be factory applied. The jacket shall overlap not less than 2 inches at longitudinal and circumferential joints and shall be secured with bands at not more than 12 inch centers. Longitudinal joints shall be overlapped down to shed water and located at 4 or 8 o'clock positions. Joints on piping 60 degrees F and below shall be sealed with metal jacketing/flashing sealant while overlapping to prevent moisture penetration. Where jacketing on piping 60 degrees F and below abuts an un-insulated surface, joints shall be caulked to prevent moisture penetration. Joints on piping above 60 degrees F shall be sealed with a moisture retarder.

### 3.2.3.2 Insulation for Fittings

Flanges, unions, valves, fittings, and accessories shall be insulated and finished as specified for the applicable service. Two coats of breather emulsion type weatherproof mastic (impermeable to water, permeable to air) recommended by the insulation manufacturer shall be applied with glass tape embedded between coats. Tape overlaps shall be not less than 1 inch and the adjoining aluminum jacket not less than 2 inches. Factory preformed aluminum jackets may be used in lieu of the above. Molded PVC fitting covers shall be provided when PVC jackets are used for straight runs of pipe. PVC fitting covers shall have adhesive welded joints and shall be weatherproof laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) vapor barrier/weatherproofing jacket - less than 0.0000 permeability, (greater than 3 ply, standard grade, silver, white, black and embossed, and UV resistant).

### 3.2.3.3 PVC Jacket

PVC jacket shall be ultraviolet resistant and adhesive welded weather tight with manufacturer's recommended adhesive. Installation shall include provision for thermal expansion.

-- End of Section --

SECTION 23 23 00

REFRIGERANT PIPING  
10/07

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)

AHRI 710 I-P	(2009) Performance Rating of Liquid-Line Driers
AHRI 720	(2002) Refrigerant Access Valves and Hose Connectors
AHRI 750 I-P	(2016) Performance Rating of Thermostatic Refrigerant Expansion Valves
ANSI/AHRI 760	(2007) Performance Rating of Solenoid Valves for Use With Volatile Refrigerants

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 15 & 34	(2013) ASHRAE Standard 34-2016 Safety Standard for Refrigeration Systems/ASHRAE Standard 34-2016 Designation and Safety Classification of Refrigerants-ASHRAE Standard 34-2016
ASHRAE 17	(2015) Method of Testing Capacity of Thermostatic Refrigerant Expansion Valves

AMERICAN WELDING SOCIETY (AWS)

AWS A5.8/A5.8M	(2011; Amendment 2012) Specification for Filler Metals for Brazing and Braze Welding
AWS BRH	(2007; 5th Ed) Brazing Handbook
AWS Z49.1	(2012) Safety in Welding and Cutting and Allied Processes

ASME INTERNATIONAL (ASME)

ASME B16.22	(2013) Standard for Wrought Copper and Copper Alloy Solder Joint Pressure Fittings
ASME B16.26	(2013) Standard for Cast Copper Alloy Fittings for Flared Copper Tubes

REPAIR FOUR ELEVATORS, BUILDING 4881  
N40085-15-D4709

ASME B31.1 (2016; Errata 2016) Power Piping  
ASME B31.5 (2016) Refrigeration Piping and Heat  
Transfer Components  
ASME B40.100 (2013) Pressure Gauges and Gauge  
Attachments

ASTM INTERNATIONAL (ASTM)

ASTM A53/A53M (2018) Standard Specification for Pipe,  
Steel, Black and Hot-Dipped, Zinc-Coated,  
Welded and Seamless  
ASTM A653/A653M (2017) Standard Specification for Steel  
Sheet, Zinc-Coated (Galvanized) or  
Zinc-Iron Alloy-Coated (Galvannealed) by  
the Hot-Dip Process  
ASTM B117 (2016) Standard Practice for Operating  
Salt Spray (Fog) Apparatus  
ASTM B280 (2018) Standard Specification for Seamless  
Copper Tube for Air Conditioning and  
Refrigeration Field Service  
ASTM B62 (2017) Standard Specification for  
Composition Bronze or Ounce Metal Castings  
ASTM B75/B75M (2011) Standard Specification for Seamless  
Copper Tube  
ASTM D3308 (2012; R 2017) PStandard Specification for  
TFE Resin Skived Tape  
ASTM D520 (2000; R 2011) Zinc Dust Pigment

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS  
INDUSTRY (MSS)

MSS SP-58 (2009) Pipe Hangers and Supports -  
Materials, Design and Manufacture,  
Selection, Application, and Installation

1.2 SUBMITTALS

Submit the following in accordance with Section 01 33 00 SUBMITTAL  
PROCEDURES:

SD-02 Shop Drawings

Refrigerant Piping System

SD-03 Product Data

Refrigerant Piping System  
Qualifications  
Refrigerant Piping Tests

Verification of Dimensions

SD-06 Test Reports

Refrigerant Piping Tests

SD-07 Certificates

Service Organization

1.3 QUALITY ASSURANCE

1.3.1 Qualifications

Submit 3 copies of qualified procedures, and list of names and identification symbols of qualified welders and welding operators, prior to non-factory welding operations.

1.3.2 Contract Drawings

Because of the small scale of the drawings, it is not possible to indicate all offsets, fittings, and accessories that may be required. Carefully investigate the plumbing, fire protection, electrical, structural and finish conditions that would affect the work to be performed and arrange such work accordingly, furnishing required offsets, fittings, and accessories to meet such conditions.

1.4 DELIVERY, STORAGE, AND HANDLING

Protect stored items from the weather, humidity and temperature variations, dirt and dust, or other contaminants. Proper protection and care of all material both before and during installation is the Contractor's responsibility. Replace any materials found to be damaged at the Contractor's expense. During installation, cap piping and similar openings to keep out dirt and other foreign matter.

PART 2 PRODUCTS

2.1 STANDARD COMMERCIAL PRODUCTS

- a. Provide materials and equipment which are standard products of a manufacturer regularly engaged in the manufacturing of such products, that are of a similar material, design and workmanship and that have been in satisfactory commercial or industrial use for 2 years prior to bid opening.
- b. The 2 year use shall include applications of equipment and materials under similar circumstances and of similar size. The 2 years experience shall be satisfactorily completed by a product which has been sold or is offered for sale on the commercial market through advertisements, manufacturer's catalogs, or brochures. Products having less than a 2 year field service record will be acceptable if a certified record of satisfactory field operation, for not less than 6000 hours exclusive of the manufacturer's factory tests, can be shown.
- c. Products shall be supported by a service organization. System components shall be environmentally suitable for the indicated locations. Submit a certified list of qualified permanent service organizations for support of the equipment which includes their

addresses and qualifications. The service organizations shall be reasonably convenient to the equipment installation and be able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

- d. Exposed equipment moving parts, parts that produce high operating temperature, parts which may be electrically energized, and parts that may be a hazard to operating personnel shall be insulated, fully enclosed, guarded, or fitted with other types of safety devices. Install safety devices so that proper operation of equipment is not impaired. Welding and cutting safety requirements shall be in accordance with AWS Z49.1.
- e. Manufacturer's standard catalog data, at least 5 weeks prior to the purchase or installation of a particular component, highlighted to show material, size, options, performance charts and curves, etc. in adequate detail to demonstrate compliance with contract requirements. Include in the data manufacturer's recommended installation instructions and procedures. Provide data for the following components as a minimum:
  - (1) Piping and Fittings
  - (2) Valves
  - (3) Piping Accessories
  - (4) Pipe Hangers, Inserts, and Supports

## 2.2 ELECTRICAL WORK

Manual or automatic control and protective or signal devices required for the operation specified and any control wiring required for controls and devices specified, but not shown, shall be provided.

## 2.3 REFRIGERANT PIPING SYSTEM

Refrigerant piping, valves, fittings, and accessories shall be in accordance with ASHRAE 15 & 34 and ASME B31.5, except as specified herein. Refrigerant piping, valves, fittings, and accessories shall be compatible with the fluids used and capable of withstanding the pressures and temperatures of the service. Refrigerant piping, valves, and accessories used for refrigerant service shall be cleaned, dehydrated, and sealed (capped or plugged) prior to shipment from the manufacturer's plant. Submit drawings, at least 5 weeks prior to beginning construction, provided in adequate detail to demonstrate compliance with contract requirements. Drawings shall consist of:

- a. Piping layouts which identify all valves and fittings.
- b. Plans and elevations which identify clearances required for maintenance and operation.

## 2.4 PIPE, FITTINGS AND END CONNECTIONS (JOINTS)

### 2.4.1 Copper Tubing

Copper tubing shall conform to ASTM B280 annealed or hard drawn as required. Copper tubing shall be soft annealed where bending is required and hard drawn where no bending is required. Soft annealed copper tubing shall not be used in sizes larger than 1-3/8 inches. Joints shall be brazed except that joints on lines 7/8 inch and smaller may be flared.

Cast copper alloy fittings for flared copper tube shall conform to ASME B16.26 and ASTM B62. Wrought copper and bronze solder-joint pressure fittings shall conform to ASME B16.22 and ASTM B75/B75M. Joints and fittings for brazed joint shall be wrought-copper or forged-brass sweat fittings. Cast sweat-type joints and fittings shall not be allowed for brazed joints. Brass or bronze adapters for brazed tubing may be used for connecting tubing to flanges and to threaded ends of valves and equipment.

#### 2.4.2 Brazing Filler Metal

Filler metal shall conform to AWS A5.8/A5.8M, Type BAg-5 with AWS Type 3 flux, except Type BCuP-5 or BCuP-6 may be used for brazing copper-to-copper joints.

### 2.5 VALVES

Valves shall be designed, manufactured, and tested specifically for refrigerant service. Valve bodies shall be of brass, bronze, steel, or ductile iron construction. Valves 1 inch and smaller shall have brazed or socket welded connections. Threaded end connections shall not be used, except in pilot pressure or gauge lines where maintenance disassembly is required and welded flanges cannot be used. Internal parts shall be removable for inspection or replacement without applying heat or breaking pipe connections. Valve stems exposed to the atmosphere shall be stainless steel or corrosion resistant metal plated carbon steel. Direction of flow shall be legibly and permanently indicated on the valve body. Control valve inlets shall be fitted with integral or adapted strainer or filter where recommended or required by the manufacturer. Purge, charge and receiver valves shall be of manufacturer's standard configuration.

#### 2.5.1 Refrigerant Stop Valves

Valve shall be the globe or full-port ball type with a back-seating stem especially packed for refrigerant service. Valve packing shall be replaceable under line pressure. Valve shall be provided with a handwheel or wrench operator and a seal cap. Valve shall be the straight or angle pattern design as indicated.

#### 2.5.2 Check Valves

Valve shall be the swing or lift type as required to provide positive shutoff at the differential pressure indicated. Valve shall be provide with resilient seat.

#### 2.5.3 Liquid Solenoid Valves

Valves shall comply with ANSI/AHRI 760 and be suitable for continuous duty with applied voltages 15 percent under and 5 percent over nominal rated voltage at maximum and minimum encountered pressure and temperature service conditions. Valves shall be direct-acting or pilot-operating type, packless, except that packed stem, seal capped, manual lifting provisions shall be furnished. Solenoid coils shall be moisture-proof, UL approved, totally encapsulated or encapsulated and metal jacketed as required. Valves shall have safe working pressure of 400 psi and a maximum operating pressure differential of at least 200 psi at 85 percent rated voltage. Valves shall have an operating pressure differential suitable for the refrigerant used.

#### 2.5.4 Expansion Valves

Valve shall conform to AHRI 750 I-P and ASHRAE 17. Valve shall be the diaphragm and spring-loaded type with internal or external equalizers, and bulb and capillary tubing. Valve shall be provided with an external superheat adjustment along with a seal cap. Internal equalizers may be utilized where flowing refrigerant pressure drop between outlet of the valve and inlet to the evaporator coil is negligible and pressure drop across the evaporator is less than the pressure difference corresponding to 2 degrees F of saturated suction temperature at evaporator conditions. Bulb charge shall be determined by the manufacturer for the application and such that liquid will remain in the bulb at all operating conditions. Gas limited liquid charged valves and other valve devices for limiting evaporator pressure shall not be used without a distributor or discharge tube or effective means to prevent loss of control when bulb becomes warmer than valve body. Pilot-operated valves shall have a characterized plug to provide required modulating control. A de-energized solenoid valve may be used in the pilot line to close the main valve in lieu of a solenoid valve in the main liquid line. An isolatable pressure gauge shall be provided in the pilot line, at the main valve. Automatic pressure reducing or constant pressure regulating expansion valves may be used only where indicated or for constant evaporator loads.

#### 2.5.5 Safety Relief Valves

Valve shall be the two-way type, unless indicated otherwise. Valve shall bear the ASME code symbol. Valve capacity shall be certified by the National Board of Boiler and Pressure Vessel Inspectors. Valve shall be of an automatically reseating design after activation.

#### 2.5.6 Evaporator Pressure Regulators, Direct-Acting

Valve shall include a diaphragm/spring assembly, external pressure adjustment with seal cap, and pressure gauge port. Valve shall maintain a constant inlet pressure by balancing inlet pressure on diaphragm against an adjustable spring load. Pressure drop at system design load shall not exceed the pressure difference corresponding to a 2 degrees F change in saturated refrigerant temperature at evaporator operating suction temperature. Spring shall be selected for indicated maximum allowable suction pressure range.

#### 2.5.7 Refrigerant Access Valves

Refrigerant access valves and hose connections shall be in accordance with AHRI 720.

### 2.6 PIPING ACCESSORIES

#### 2.6.1 Filter Driers

Driers shall conform to AHRI 710 I-P. Sizes 5/8 inch and larger shall be the full flow, replaceable core type. Sizes 1/2 inch and smaller shall be the sealed type. Cores shall be of suitable desiccant that will not plug, cake, dust, channel, or break down, and shall remove water, acid, and foreign material from the refrigerant. Filter driers shall be constructed so that none of the desiccant will pass into the refrigerant lines. Minimum bursting pressure shall be 1,500 psi.

## 2.6.2 Sight Glass and Liquid Level Indicator

### 2.6.2.1 Assembly and Components

Assembly shall be pressure- and temperature-rated and constructed of materials suitable for the service. Glass shall be borosilicate type. Ferrous components subject to condensation shall be electro-galvanized.

### 2.6.2.2 Gauge Glass

Gauge glass shall include top and bottom isolation valves fitted with automatic checks, and packing followers; red-line or green-line gauge glass; elastomer or polymer packing to suit the service; and gauge glass guard.

### 2.6.2.3 Bull's-Eye and Inline Sight Glass Reflex Lens

Bull's-eye and inline sight glass reflex lens shall be provided for dead-end liquid service. For pipe line mounting, two plain lenses in one body suitable for backlighting viewing shall be provided.

### 2.6.2.4 Moisture Indicator

Indicator shall be a self-reversible action, moisture reactive, color changing media. Indicator shall be furnished with full-color-printing tag containing color, moisture and temperature criteria. Unless otherwise indicated, the moisture indicator shall be an integral part of each corresponding sight glass.

## 2.6.3 Strainers

Strainers used in refrigerant service shall have brass or cast iron body, Y-or angle-pattern, cleanable, not less than 60-mesh noncorroding screen of an area to provide net free area not less than ten times the pipe diameter with pressure rating compatible with the refrigerant service. Screens shall be stainless steel or monel and reinforced spring-loaded where necessary for bypass-proof construction.

## 2.6.4 Pressure and Vacuum Gauges

Gauges shall conform to ASME B40.100 and shall be provided with throttling type needle valve or a pulsation dampener and shut-off valve. Gauge shall be a minimum of 3-1/2 inches in diameter with a range from 0 psig to approximately 1.5 times the maximum system working pressure. Each gauge range shall be selected so that at normal operating pressure, the needle is within the middle-third of the range.

## 2.6.5 Temperature Gauges

Temperature gauges shall be the industrial duty type and be provided for the required temperature range. Gauges shall have Fahrenheit scale in 2 degrees graduations scale (black numbers) on a white face. The pointer shall be adjustable. Rigid stem type temperature gauges shall be provided in thermal wells located within 5 feet of the finished floor. Universal adjustable angle type or remote element type temperature gauges shall be provided in thermal wells located 5 to 7 feet above the finished floor. Remote element type temperature gauges shall be provided in thermal wells located 7 feet above the finished floor.

#### 2.6.5.1 Stem Cased-Glass

Stem cased-glass case shall be polished stainless steel or cast aluminum, 9 inches long, with clear acrylic lens, and non-mercury filled glass tube with indicating-fluid column.

#### 2.6.5.2 Bimetallic Dial

Bimetallic dial type case shall be not less than 3-1/2 inches, stainless steel, and shall be hermetically sealed with clear acrylic lens. Bimetallic element shall be silicone dampened and unit fitted with external calibrator adjustment. Accuracy shall be one percent of dial range.

#### 2.6.5.3 Liquid-, Solid-, and Vapor-Filled Dial

Liquid-, solid-, and vapor-filled dial type cases shall be not less than 3-1/2 inches, stainless steel or cast aluminum with clear acrylic lens. Fill shall be nonmercury, suitable for encountered cross-ambients, and connecting capillary tubing shall be double-braided bronze.

#### 2.6.5.4 Thermal Well

Thermal well shall be identical size, 1/2 or 3/4 inch NPT connection, brass or stainless steel. Where test wells are indicated, provide captive plug-fitted type 1/2 inch NPT connection suitable for use with either engraved stem or standard separable socket thermometer or thermostat. Mercury shall not be used in thermometers. Extended neck thermal wells shall be of sufficient length to clear insulation thickness by 1 inch.

#### 2.6.6 Pipe Hangers, Inserts, and Supports

Pipe hangers, inserts, guides, and supports shall conform to MSS SP-58.

#### 2.6.7 Escutcheons

Escutcheons shall be chromium-plated iron or chromium-plated brass, either one piece or split pattern, held in place by internal spring tension or set screws.

### 2.7 FABRICATION

#### 2.7.1 Factory Coating

Unless otherwise specified, equipment and component items, when fabricated from ferrous metal, shall be factory finished with the manufacturer's standard finish, except that items located outside of buildings shall have weather resistant finishes that will withstand 125 hours exposure to the salt spray test specified in ASTM B117 using a 5 percent sodium chloride solution. Immediately after completion of the test, the specimen shall show no signs of blistering, wrinkling, cracking, or loss of adhesion and no sign of rust creepage beyond 1/8 inch on either side of the scratch mark. Cut edges of galvanized surfaces where hot-dip galvanized sheet steel is used shall be coated with a zinc-rich coating conforming to ASTM D520, Type I.

### PART 3 EXECUTION

#### 3.1 EXAMINATION

After becoming familiar with all details of the work, perform a verification of dimensions in the field. Submit a letter, at least 2 weeks prior to beginning construction, including the date the site was visited, conformation of existing conditions, and any discrepancies found before performing any work.

#### 3.2 INSTALLATION

Pipe and fitting installation shall conform to the requirements of ASME B31.1. Cut pipe accurately to measurements established at the jobsite, and work into place without springing or forcing, completely clearing all windows, doors, and other openings. Cutting or other weakening of the building structure to facilitate piping installation are not permitted without written approval. Cut pipe or tubing square, remove by reaming, and permit free expansion and contraction without causing damage to the building structure, pipe, joints, or hangers.

##### 3.2.1 Directional Changes

Make changes in direction with fittings, except that bending of pipe 4 inches and smaller is permitted, provided a pipe bender is used and wide weep bends are formed. Mitering or notching pipe or other similar construction to form elbows or tees is not permitted. The centerline radius of bends shall not be less than 6 diameters of the pipe. Bent pipe showing kinks, wrinkles, flattening, or other malformations will not be accepted.

##### 3.2.2 Functional Requirements

Piping shall be installed 1/2 inch/10 feet of pipe in the direction of flow to ensure adequate oil drainage. Open ends of refrigerant lines or equipment shall be properly capped or plugged during installation to keep moisture, dirt, or other foreign material out of the system. Piping shall remain capped until installation. Equipment piping shall be in accordance with the equipment manufacturer's recommendations and the contract drawings. Equipment and piping arrangements shall fit into space allotted and allow adequate acceptable clearances for installation, replacement, entry, servicing, and maintenance.

##### 3.2.3 Fittings and End Connections

###### 3.2.3.1 Threaded Connections

Make threaded connections with tapered threads and make tight with PTFE tape complying with ASTM D3308 or equivalent thread-joint compound applied to the male threads only. Show not more than three threads after the joint is made.

###### 3.2.3.2 Brazed Connections

Perform brazing in accordance with AWS BRH, except as modified herein. During brazing, fill the pipe and fittings with a pressure regulated inert gas, such as nitrogen, to prevent the formation of scale. Before brazing copper joints, clean both the outside of the tube and the inside of the fitting with a wire fitting brush until the entire joint surface is bright

and clean. Do not use brazing flux. Remove surplus brazing material at all joints. Make steel tubing joints in accordance with the manufacturer's recommendations. Paint joints in steel tubing with the same material as the baked-on coating within 8 hours after joints are made. Protect tubing against oxidation during brazing by continuous purging of the inside of the piping using nitrogen. Support piping prior to brazing and do not spring or force.

#### 3.2.3.3 Flared Connections

When flared connections are used, a suitable lubricant shall be used between the back of the flare and the nut in order to avoid tearing the flare while tightening the nut.

#### 3.2.3.4 Flanged Connections

When steel refrigerant piping is used, union or flange joints shall be provided in each line immediately preceding the connection to each piece of equipment requiring maintenance, such as compressors, coils, chillers, control valves, and other similar items. Flanged joints shall be assembled square end tight with matched flanges, gaskets, and bolts. Gaskets shall be suitable for use with the refrigerants to be handled.

#### 3.2.4 Valves

##### 3.2.4.1 General

Refrigerant stop valves shall be installed on each side of each piece of equipment such as compressors condensers, evaporators, receivers, and other similar items in multiple-unit installation, to provide partial system isolation as required for maintenance or repair. Stop valves shall be installed with stems horizontal unless otherwise indicated. Ball valves shall be installed with stems positioned to facilitate operation and maintenance. Isolating valves for pressure gauges and switches shall be external to thermal insulation. Safety switches shall not be fitted with isolation valves. Filter dryers having access ports may be considered a point of isolation. Purge valves shall be provided at all points of systems where accumulated noncondensable gases would prevent proper system operation. Valves shall be furnished to match line size, unless otherwise indicated or approved.

##### 3.2.4.2 Expansion Valves

Expansion valves shall be installed with the thermostatic expansion valve bulb located on top of the suction line when the suction line is less than 2-1/8 inches in diameter and at the 4 o'clock or 8 o'clock position on lines larger than 2-1/8 inches. The bulb shall be securely fastened with two clamps. The bulb shall be insulated. The bulb shall be installed in a horizontal portion of the suction line, if possible, with the pigtail on the bottom. If the bulb must be installed in a vertical line, the bulb tubing shall be facing up.

##### 3.2.5 Vibration Dampers

Vibration damper shall be provided in the suction and discharge lines on spring mounted compressors. Vibration dampers shall be installed parallel with the shaft of the compressor and shall be anchored firmly at the upstream end on the suction line and the downstream end in the discharge line.

### 3.2.6 Strainers

Strainers shall be provided immediately ahead of solenoid valves and expansion devices. Strainers may be an integral part of an expansion valve.

### 3.2.7 Filter Dryer

A liquid line filter dryer shall be provided on each refrigerant circuit located such that all liquid refrigerant passes through a filter dryer. Dryers shall be sized in accordance with the manufacturer's recommendations for the system in which it is installed. Dryers shall be installed such that it can be isolated from the system, the isolated portion of the system evacuated, and the filter dryer replaced. Dryers shall be installed in the horizontal position except replaceable core filter dryers may be installed in the vertical position with the access flange on the bottom.

### 3.2.8 Sight Glass

A moisture indicating sight glass shall be installed in all refrigerant circuits down stream of all filter dryers and where indicated. Site glasses shall be full line size.

### 3.2.9 Discharge Line Oil Separator

Discharge line oil separator shall be provided in the discharge line from each compressor. Oil return line shall be connected to the compressor as recommended by the compressor manufacturer.

### 3.2.10 Accumulator

Accumulators shall be provided in the suction line to each compressor.

### 3.2.11 Pipe Hangers, Inserts, and Supports

Pipe hangers, inserts, and supports shall conform to MSS SP-58, except as modified herein. Pipe hanger types 5, 12, and 26 shall not be used. Hangers used to support piping 2 inches and larger shall be fabricated to permit adequate adjustment after erection while still supporting the load. Piping subjected to vertical movement, when operating temperatures exceed ambient temperatures, shall be supported by variable spring hangers and supports or by constant support hangers.

#### 3.2.11.1 Hangers

Do not use Type 3 on insulated piping. Type 24 may be used only on trapeze hanger systems or on fabricated frames.

#### 3.2.11.2 Inserts

Secure Type 18 inserts to concrete forms before concrete is placed. Continuous inserts which allow more adjustments may be used if they otherwise meet the requirements for Type 18 inserts.

#### 3.2.11.3 C-Clamps

Torque Type 19 and 23 C-clamps in accordance with MSS SP-58 and have both

locknuts and retaining devices, furnished by the manufacturer.  
Field-fabricated C-clamp bodies or retaining devices are not acceptable.

#### 3.2.11.4 Angle Attachments

Type 20 attachments used on angles and channels shall be furnished with an added malleable-iron heel plate or adapter.

#### 3.2.11.5 Saddles and Shields

Where Type 39 saddle or Type 40 shield are permitted for a particular pipe attachment application, the Type 39 saddle, connected to the pipe, shall be used on all pipe 4 inches and larger when the temperature of the medium is 60 degrees F or higher. Type 40 shields shall be used on all piping less than 4 inches and all piping 4 inches and larger carrying medium less than 60 degrees F. A high density insulation insert of cellular glass shall be used under the Type 40 shield for piping 2 inches and larger.

#### 3.2.11.6 Horizontal Pipe Supports

Horizontal pipe supports shall be spaced as specified in MSS SP-58 and a support shall be installed not over 1 foot from the pipe fitting joint at each change in direction of the piping. Pipe supports shall be spaced not over 5 feet apart at valves. Pipe hanger loads suspended from steel joist with hanger loads between panel points in excess of 50 pounds shall have the excess hanger loads suspended from panel points.

#### 3.2.11.7 Vertical Pipe Supports

Vertical pipe shall be supported at each floor, except at slab-on-grade, and at intervals of not more than 15 feet not more than 8 feet from end of risers, and at vent terminations.

#### 3.2.11.8 Pipe Guides

Type 35 guides using, steel, reinforced polytetrafluoroethylene (PTFE) or graphite slides shall be provided where required to allow longitudinal pipe movement. Lateral restraints shall be provided as required. Slide materials shall be suitable for the system operating temperatures, atmospheric conditions, and bearing loads encountered.

#### 3.2.11.9 Steel Slides

Where steel slides do not require provisions for restraint of lateral movement, an alternate guide method may be used. On piping 4 inches and larger, a Type 39 saddle shall be used. On piping under 4 inches, a Type 40 protection shield may be attached to the pipe or insulation and freely rest on a steel slide plate.

#### 3.2.11.10 High Temperature Guides with Cradles

Where there are high system temperatures and welding to piping is not desirable, then the Type 35 guide shall include a pipe cradle, welded to the guide structure and strapped securely to the pipe. The pipe shall be separated from the slide material by at least 4 inches, or by an amount adequate for the insulation, whichever is greater.

#### 3.2.11.11 Multiple Pipe Runs

In the support of multiple pipe runs on a common base member, a clip or clamp shall be used where each pipe crosses the base support member. Spacing of the base support members shall not exceed the hanger and support spacing required for an individual pipe in the multiple pipe run.

#### 3.2.11.12 Structural Attachments

Attachment to building structure concrete and masonry shall be by cast-in concrete inserts, built-in anchors, or masonry anchor devices. Inserts and anchors shall be applied with a safety factor not less than 5. Supports shall not be attached to metal decking. Masonry anchors for overhead applications shall be constructed of ferrous materials only. Structural steel brackets required to support piping, headers, and equipment, but not shown, shall be provided under this section.

#### 3.2.12 Pipe Alignment Guides

Pipe alignment guides shall be provided where indicated for expansion loops, offsets, and bends and as recommended by the manufacturer for expansion joints, not to exceed 5 feet on each side of each expansion joint, and in lines 4 inches or smaller not more than 2 feet on each side of the joint.

#### 3.2.13 Pipe Anchors

Anchors shall be provided wherever necessary or indicated to localize expansion or to prevent undue strain on piping. Anchors shall consist of heavy steel collars with lugs and bolts for clamping and attaching anchor braces, unless otherwise indicated. Anchor braces shall be installed in the most effective manner to secure the desired results using turnbuckles where required. Supports, anchors, or stays shall not be attached where they will injure the structure or adjacent construction during installation or by the weight of expansion of the pipeline. Where pipe and conduit penetrations of vapor barrier sealed surfaces occur, these items shall be anchored immediately adjacent to each penetrated surface, to provide essentially zero movement within penetration seal. Detailed drawings of pipe anchors shall be submitted for approval before installation.

#### 3.2.14 Building Surface Penetrations

Sleeves shall not be installed in structural members except where indicated or approved. Sleeves in nonload bearing surfaces shall be galvanized sheet metal, conforming to ASTM A653/A653M, Coating Class G-90, 20 gauge. Sleeves in load bearing surfaces shall be uncoated carbon steel pipe, conforming to ASTM A53/A53M, Standard weight. Sealants shall be applied to moisture and oil-free surfaces and elastomers to not less than 1/2 inch depth. Sleeves shall not be installed in structural members.

##### 3.2.14.1 General Service Areas

Each sleeve shall extend through its respective wall, floor, or roof, and shall be cut flush with each surface. Pipes passing through concrete or masonry wall or concrete floors or roofs shall be provided with pipe sleeves fitted into place at the time of construction. Sleeves shall be of such size as to provide a minimum of 1/4 inch all-around clearance between bare pipe and sleeves or between jacketed-insulation and sleeves.

Except in pipe chases or interior walls, the annular space between pipe and sleeve or between jacket over-insulation and sleeve shall be sealed.

#### 3.2.14.2 Waterproof Penetrations

Pipes passing through roof or floor waterproofing membrane shall be installed through a 17 ounce copper sleeve, or a 0.032 inch thick aluminum sleeve, each within an integral skirt or flange. Flashing sleeve shall be suitably formed, and skirt or flange shall extend not less than 8 inches from the pipe and be set over the roof or floor membrane in a troweled coating of bituminous cement. The flashing sleeve shall extend up the pipe a minimum of 2 inches above the roof or floor penetration. The annular space between the flashing sleeve and the bare pipe or between the flashing sleeve and the metal-jacket-covered insulation shall be sealed as indicated. Penetrations shall be sealed by either one of the following methods.

##### 3.2.14.2.1 Waterproofing Clamping Flange

Pipes up to and including 10 inches in diameter passing through roof or floor waterproofing membrane may be installed through a cast iron sleeve with caulking recess, anchor lugs, flashing clamp device, and pressure ring with brass bolts. Waterproofing membrane shall be clamped into place and sealant shall be placed in the caulking recess.

##### 3.2.14.2.2 Modular Mechanical Type Sealing Assembly

In lieu of a waterproofing clamping flange and caulking and sealing of annular space between pipe and sleeve or conduit and sleeve, a modular mechanical type sealing assembly may be installed. Seals shall consist of interlocking synthetic rubber links shaped to continuously fill the annular space between the pipe/conduit and sleeve with corrosion protected carbon steel bolts, nuts, and pressure plates. Links shall be loosely assembled with bolts to form a continuous rubber belt around the pipe with a pressure plate under each bolt head and each nut. After the seal assembly is properly positioned in the sleeve, tightening of the bolt shall cause the rubber sealing elements to expand and provide a watertight seal between the pipe/conduit and the sleeve. Each seal assembly shall be sized as recommended by the manufacturer to fit the pipe/conduit and sleeve involved. The Contractor electing to use the modular mechanical type seals shall provide sleeves of the proper diameters.

##### 3.2.14.3 Escutcheons

Finished surfaces where exposed piping, bare or insulated, pass through floors, walls, or ceilings, except in boiler, utility, or equipment rooms, shall be provided with escutcheons. Where sleeves project slightly from floors, special deep-type escutcheons shall be used. Escutcheon shall be secured to pipe or pipe covering.

##### 3.2.15 Access Panels

Access panels shall be provided for all concealed valves, vents, controls, and items requiring inspection or maintenance. Access panels shall be of sufficient size and located so that the concealed items may be serviced and maintained or completely removed and replaced.

### 3.2.16 Field Applied Insulation

Field installed insulation shall be as specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS, except as defined differently herein.

### 3.3 CLEANING AND ADJUSTING

Clean uncontaminated system(s) by evacuation and purging procedures currently recommended by refrigerant and refrigerant equipment manufacturers, and as specified herein, to remove small amounts of air and moisture. Systems containing moderate amounts of air, moisture, contaminated refrigerant, or any foreign matter shall be considered contaminated systems. Restoring contaminated systems to clean condition including disassembly, component replacement, evacuation, flushing, purging, and re-charging, shall be performed using currently approved refrigerant and refrigeration manufacturer's procedures. Restoring contaminated systems shall be at no additional cost to the Government as determined by the Contracting Officer. Water shall not be used in any procedure or test.

### 3.4 REFRIGERANT PIPING TESTS

After all components of the refrigerant system have been installed and connected, subject the entire refrigeration system to pneumatic, evacuation, and startup tests as described herein. Submit a schedule, at least 2 weeks prior to the start of related testing, for each test. Identify the proposed date, time, and location for each test. Conduct tests in the presence of the Contracting Officer. Water and electricity required for the tests will be furnished by the Government. Provide all material, equipment, instruments, and personnel required for the test. Provide the services of a qualified technician, as required, to perform all tests and procedures indicated herein. Field tests shall be coordinated with Section 23 05 92 TESTING/ADJUSTING/BALANCING: SMALL HEATING/VENTILATING/COOLING SYSTEMS. Submit 6 copies of the tests report in bound 8 1/2 by 11 inch booklets documenting all phases of the tests performed. The report shall include initial test summaries, all repairs/adjustments made, and the final test results.

#### 3.4.1 Preliminary Procedures

Prior to pneumatic testing, equipment which has been factory tested and refrigerant charged as well as equipment which could be damaged or cause personnel injury by imposed test pressure, positive or negative, shall be isolated from the test pressure or removed from the system. Safety relief valves and rupture discs, where not part of factory sealed systems, shall be removed and openings capped or plugged.

#### 3.4.2 Pneumatic Test

Pressure control and excess pressure protection shall be provided at the source of test pressure. Valves shall be wide open, except those leading to the atmosphere. Test gas shall be dry nitrogen, with minus 70 degree F dewpoint and less than 5 ppm oil. Test pressure shall be applied in two stages before any refrigerant pipe is insulated or covered. First stage test shall be at 10 psi with every joint being tested with a thick soap or color indicating solution. Second stage tests shall raise the system to the minimum refrigerant leakage test pressure specified in ASHRAE 15 & 34 with a maximum test pressure 25 percent greater. Pressure above 100 psig

shall be raised in 10 percent increments with a pressure acclimatizing period between increments. The initial test pressure shall be recorded along with the ambient temperature to which the system is exposed. Final test pressures of the second stage shall be maintained on the system for a minimum of 24 hours. At the end of the 24 hour period, the system pressure will be recorded along with the ambient temperature to which the system is exposed. A correction factor of 0.3 psi will be allowed for each degree F change between test space initial and final ambient temperature, plus for increase and minus for a decrease. If the corrected system pressure is not exactly equal to the initial system test pressure, then the system shall be investigated for leaking joints. To repair leaks, the joint shall be taken apart, thoroughly cleaned, and reconstructed as a new joint. Joints repaired by caulking, remelting, or back-welding/brazing shall not be acceptable. Following repair, the entire system shall be retested using the pneumatic tests described above. The entire system shall be reassembled once the pneumatic tests are satisfactorily completed.

#### 3.4.3 Evacuation Test

Following satisfactory completion of the pneumatic tests, the pressure shall be relieved and the entire system shall be evacuated to an absolute pressure of 300 micrometers. During evacuation of the system, the ambient temperature shall be higher than 35 degrees F. No more than one system shall be evacuated at one time by one vacuum pump. Once the desired vacuum has been reached, the vacuum line shall be closed and the system shall stand for 1 hour. If the pressure rises over 500 micrometers after the 1 hour period, then the system shall be evacuated again down to 300 micrometers and let set for another 1 hour period. The system shall not be charged until a vacuum of at least 500 micrometers is maintained for a period of 1 hour without the assistance of a vacuum line. If during the testing the pressure continues to rise, check the system for leaks, repair as required, and repeat the evacuation procedure. During evacuation, pressures shall be recorded by a thermocouple-type, electronic-type, or a calibrated-micrometer type gauge.

#### 3.4.4 System Charging and Startup Test

Following satisfactory completion of the evacuation tests, the system shall be charged with the required amount of refrigerant by raising pressure to normal operating pressure and in accordance with manufacturer's procedures. Following charging, the system shall operate with high-side and low-side pressures and corresponding refrigerant temperatures, at design or improved values. The entire system shall be tested for leaks. Fluorocarbon systems shall be tested with halide torch or electronic leak detectors.

#### 3.4.5 Refrigerant Leakage

If a refrigerant leak is discovered after the system has been charged, the leaking portion of the system shall immediately be isolated from the remainder of the system and the refrigerant pumped into the system receiver or other suitable container. Under no circumstances shall the refrigerant be discharged into the atmosphere.

#### 3.4.6 Contractor's Responsibility

At all times during the installation and testing of the refrigeration system, take steps to prevent the release of refrigerants into the

atmosphere. The steps shall include, but not be limited to, procedures which will minimize the release of refrigerants to the atmosphere and the use of refrigerant recovery devices to remove refrigerant from the system and store the refrigerant for reuse or reclaim. At no time shall more than 3 ounces of refrigerant be released to the atmosphere in any one occurrence. Any system leaks within the first year shall be repaired in accordance with the requirements herein at no cost to the Government including material, labor, and refrigerant if the leak is the result of defective equipment, material, or installation.

-- End of Section --

SECTION 23 81 00

DECENTRALIZED UNITARY HVAC EQUIPMENT  
05/18

PART 1 GENERAL

1.1 RELATED REQUIREMENTS

Section 23 03 00.00 20 BASIC MECHANICAL MATERIALS AND METHODS, applies to this section with the additions and modifications specified herein.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)

ANSI/AHRI 210/240 (2008; Add 1 2011; Add 2 2012) Performance Rating of Unitary Air-Conditioning & Air-Source Heat Pump Equipment

ANSI/AHRI 460 (2005) Performance Rating of Remote Mechanical-Draft Air-Cooled Refrigerant Condensers

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ANSI/ASHRAE 15 & 34 (2016) ANSI/ASHRAE Standard 15-Safety Standard for Refrigeration Systems and ANSI/ASHRAE Standard 34-Designation and Safety Classification of Refrigerants

ASHRAE 15 & 34 (2013) ASHRAE Standard 34-2016 Safety Standard for Refrigeration Systems/ASHRAE Standard 34-2016 Designation and Safety Classification of Refrigerants-ASHRAE Standard 34-2016

ASHRAE 90.1 - IP (2013) Energy Standard for Buildings Except Low-Rise Residential Buildings

AMERICAN WELDING SOCIETY (AWS)

AWS Z49.1 (2012) Safety in Welding and Cutting and Allied Processes

ASME INTERNATIONAL (ASME)

ASME BPVC SEC IX (2017; Errata 2018) BPVC Section IX-Welding, Brazing and Fusing Qualifications

ASME BPVC SEC VIII D1 (2017) BPVC Section VIII-Rules for  
Construction of Pressure Vessels Division 1

ASTM INTERNATIONAL (ASTM)

ASTM B117 (2016) Standard Practice for Operating  
Salt Spray (Fog) Apparatus

ASTM D520 (2000; R 2011) Zinc Dust Pigment

ASTM E84 (2018a) Standard Test Method for Surface  
Burning Characteristics of Building  
Materials

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-DTL-5541 (2006; Rev F) Chemical Conversion Coatings  
on Aluminum and Aluminum Alloys

UNDERWRITERS LABORATORIES (UL)

UL 1995 (2015) UL Standard for Safety Heating and  
Cooling Equipment

1.3 SUBMITTALS

Submit the following in accordance with Section 01 33 00 SUBMITTAL  
PROCEDURES:

SD-03 Product Data

Spare Parts

System Performance Tests

Inventory

Manufacturer's Standard Catalog Data

SD-06 Test Reports

Refrigerant Tests, Charging, and Start-Up

System Performance Tests

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals

1.4 QUALITY ASSURANCE

Carefully investigate the plumbing, fire protection, electrical,  
structural and finish conditions that would affect the work to be  
performed and arrange such work accordingly, furnishing required offsets,  
fittings, and accessories to meet such conditions. Submit drawings  
consisting of:

- a. Equipment layouts which identify assembly and installation details.

- b. Plans and elevations which identify clearances required for maintenance and operation.
- c. Wiring diagrams which identify each component individually and interconnected or interlocked relationships between components.
- d. Foundation drawings, bolt-setting information, and foundation bolts prior to concrete foundation construction for equipment indicated or required to have concrete foundations.
- e. Details, if piping and equipment are to be supported other than as indicated, which include loadings and type of frames, brackets, stanchions, or other supports.
- f. Automatic temperature control diagrams and control sequences.
- g. Installation details which includes the amount of factory set superheat and corresponding refrigerant pressure/temperature.
- h. Equipment schedules

#### 1.5 DELIVERY, STORAGE, AND HANDLING

Protect stored items from the weather, humidity and temperature variations, dirt and dust, or other contaminants. Properly protect and care for all material both before and during installation. Submit an inventory of all the stored items. Replace any materials found to be damaged, at no additional cost to the Government. During installation, cap piping and similar openings capped to keep out dirt and other foreign matter.

#### 1.6 WARRANTY

Provide equipment with the 1 year manufacturer's warranty.

### PART 2 PRODUCTS

#### 2.1 MATERIALS

Provide Manufacturer's standard catalog data, at least 5 weeks prior to the purchase or installation of a particular component, highlighted to show material, size, options, performance charts and curves, etc. in adequate detail to demonstrate compliance with contract requirements. Data includes manufacturer's recommended installation instructions and procedures. Submit data for each specified component. Minimum efficiency requirements must be in accordance with ASHRAE 90.1 - IP.

##### 2.1.1 Standard Products

Provide materials and equipment that are standard products of a manufacturer regularly engaged in the manufacturing of such products, which are of a similar material, design and workmanship. The standard products must have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2 year use includes applications of equipment and materials under similar circumstances and of similar size. The 2 years' experience must be satisfactorily completed by a product which has been sold or is offered for sale on the commercial market through advertisements, manufacturer's catalogs, or brochures. Products

having less than a 2 year field service record will be acceptable if a certified record of satisfactory field operation, for not less than 6000 hours exclusive of the manufacturer's factory tests, can be shown. Products must be supported by a service organization. Ensure system components are environmentally suitable for the indicated geographic locations.

#### 2.1.2 Nameplates

Major equipment including compressors, condensers, receivers, heat exchanges, fans, and motors must have the manufacturer's name, address, type or style, model or serial number, and catalog number on a plate secured to the item of equipment. Plates must be durable and legible throughout equipment life and made of anodized aluminum. Fix plates in prominent locations with nonferrous screws or bolts.

#### 2.1.3 Safety Devices

Exposed moving parts, parts that produce high operating temperature, parts which may be electrically energized, and parts that may be a hazard to operating personnel must be insulated, fully enclosed, guarded, or fitted with other types of safety devices. Safety devices must be installed so that proper operation of equipment is not impaired. Welding and cutting safety requirements must be in accordance with AWS Z49.1.

### 2.2 EQUIPMENT

#### 2.2.1 Mini-Split-System Air Conditioners Heat Pumps

##### 2.2.1.1 Small-Capacity Split-System Air-Conditioners (Not Exceeding 65,000 Btu/hr

Provide an air-cooled, split system which employs a remote condensing unit, a separate wall mounted indoor unit, and interconnecting refrigerant piping. Provide the heat pump type unit conforming to applicable Underwriters Laboratories (UL) standards including UL 1995. Unit must be rated in accordance with ANSI/AHRI 210/240. Provide indoor unit with necessary fans, air filters, and galvanized steel cabinet construction. The remote unit must be as specified in paragraph CONDENSING UNIT. Provide double-width, double inlet, forward curved backward inclined, or airfoil blade, centrifugal scroll type evaporator or supply fans. Provide the manufacturer's standard condenser or outdoor fans for the unit specified and may be either propeller or centrifugal scroll type. Fan and condenser motors must have totally enclosed enclosures. Design unit to operate at outdoor ambient temperatures up to 115 degrees F.

##### 2.2.1.1.1 Air-to-Refrigerant Coil

Provide condensing coils with copper tubes of 3/8 inch minimum diameter with aluminum fins that are mechanically bonded or soldered to the tubes. Casing must be galvanized steel or aluminum. Avoid contact of dissimilar metals. Test coils in accordance with ASHRAE 15 & 34 at the factory and ensure suitability for the working pressure of the installed system. Dehydrate and seal each coil testing and prior to evaluation and charging.

##### 2.2.1.1.2 Compressor

Provide direct drive variable speed type compressor. Provide compressor with internal over temperature and pressure protector; sump heater; oil

pump; high pressure and low pressure controls; and liquid line dryer. The compressor shall be driven by inverter circuit to control compressor speed. The compressor speed shall dynamically vary to match the room load.

#### 2.2.1.1.3 Refrigeration Circuit

Refrigerant-containing components must comply with ASHRAE 15 & 34 and be factory tested, cleaned, dehydrated, charged, and sealed. Provide each unit with a factory operating charge of refrigerant and oil or a holding charge. Field charge unit shipped with a holding charge. Provide refrigerant charging valves. Provide filter-drier in liquid line to prevent freeze-up in event of loss of water flow during heating cycle.

#### 2.2.1.1.4 Unit Controls

Provide unit internally prewired with a 24 volt control circuit powered by an internal transformer. Provide terminal blocks for power wiring and external control wiring. Internally protect unit by fuses or a circuit breaker in accordance with UL 1995. Equip units with three-phase power with phase monitoring protection to protect against problems caused by phase loss, phase imbalance and phase reversal. Provide unit with microprocessor controls to provide all 24V control functions. Control unit by a heating /cooling thermostat with automatic changeover.

#### 2.2.1.1.5 Condensing Coil

Provide coils with copper tubes of 3/8 inch minimum diameter with aluminum fins that are mechanically bonded or soldered to the tubes. Provide galvanized steel or aluminum casing. Avoid contact of dissimilar metals. Test coils in accordance with ANSI/ASHRAE 15 & 34 at the factory and ensure suitability for the working pressure of the installed system. Dehydrate and seal each coil after testing and prior to evaluation and charging. Provide separate expansion devices for each compressor circuit.

#### 2.2.1.1.6 Remote Condenser Unit

Fit each remote condenser coil fitted with a manual isolation valve and an access valve on the coil side. Saturated refrigerant condensing temperature must not exceed 120 degrees F at 104 degrees F ambient. Provide unit with low ambient condenser controls to ensure proper operation in an ambient temperature of 0 degrees F. Provide fan and cabinet construction as specified in paragraph UNITARY EQUIPMENT ACCESSORIES. Fan and condenser motors must have manufacturer's standard enclosures.

#### 2.2.1.1.6.1 Air-Cooled Condenser

Provide Unit in accordance with ANSI/AHRI 460 and conform to the requirements of UL 1995. Provide factory fabricated, tested, packaged, and self-contained unit; complete with casing, propeller or centrifugal type fans, heat rejection coils, connecting piping and wiring, and all necessary accessories.

#### 2.2.1.1.7 Air Filters

Provide filters of the cleanable type that are capable of filtering the entire air supply. Mount filter(s) integral within the unit and make accessible by hinged access panel(s).

#### 2.2.1.1.8 Fans

Provide direct driven, statically and dynamically balanced, centrifugal type fans. Design the outdoor fan so that condensate will evaporate without drip, splash, or spray on building exterior. Provide indoor fan with a minimum two-speed motor with built-in overload protection. Fan motors must be the inherently protected, permanent split-capacitor type.

### 2.3 FINISHES

#### 2.3.1 Equipment and Components Factory Coating

Unless otherwise specified, equipment and component items, when fabricated from ferrous metal, must be factory finished with the manufacturer's standard finish, except that items located outside of buildings must have weather resistant finishes that will withstand 125 hours exposure to the salt spray test specified in ASTM B117 using a 5 percent sodium chloride solution. Immediately after completion of the test, the specimen must show no signs of blistering, wrinkling, cracking, or loss of adhesion and no sign of rust creepage beyond 1/8 inch on either side of the scratch mark. Cut edges of galvanized surfaces where hot-dip galvanized sheet steel is used must be coated with a zinc-rich coating conforming to ASTM D520, Type I.

Where stipulated in equipment specifications of this section, coat finned tube coils of the affected equipment as specified below. Apply coating at the premises of a company specializing in such work. Degrease and prepare for coating in accordance with the coating applicator's procedures for the type of metals involved. Completed coating must show no evidence of softening, blistering, cracking, crazing, flaking, loss of adhesion, or "bridging" between the fins.

##### 2.3.1.1 Phenolic Coating

Provide a resin base thermosetting phenolic coating. Apply coating by immersion dipping of the entire coil. Provide a minimum of two coats. Bake or heat dry coils following immersions. After final immersion and prior to final baking, spray entire coil with particular emphasis given to building up coating on sheared edges. Total dry film thickness must be 2.5 to 3.0 mils.

##### 2.3.1.2 Chemical Conversion Coating with Polyelastomer Finish Coat

Dip coils in a chemical conversion solution to molecularly deposit a corrosion resistant coating by electrolysis action. Chemical conversion coatings must conform to MIL-DTL-5541, Class 1A. Cure conversion coating at a temperature of 110 to 140 degrees F for a minimum of 3 hours. Coat coil surfaces with a complex polymer primer with a dry film thickness of 1 mil. Cure primer coat for a minimum of 1 hour. Using dip tank method, provide three coats of a complex polyelastomer finish coat. After each of the first two finish coats, cure the coils for 1 hour. Following the third coat, spray a fog coat of an inert sealer on the coil surfaces. Total dry film thickness must be 2.5 to 3.0 mils. Cure finish coat for a minimum of 3 hours. Coating materials must have 300 percent flexibility, operate in temperatures of minus 50 to plus 220 degrees F, and protect against atmospheres of a pH range of 1 to 14.

### 2.3.1.3 Vinyl Coating

Apply coating using an airless fog nozzle. For each coat, make at least two passes with the nozzle. Materials to be applied are as follows:

- a. Total dry film thickness, 6.5 mils maximum
- b. Vinyl Primer, 24 percent solids by volume: One coat 2 mils thick
- c. Vinyl Copolymer, 30 percent solids by volume: One coat 4.5 mils thick

### 2.3.2 Factory Applied Insulation

Refrigeration equipment must be provided with factory installed insulation on surfaces subject to sweating including the suction line piping. Where motors are the gas-cooled type, factory installed insulation must be provided on the cold-gas inlet connection to the motor in accordance with manufacturer's standard practice. Factory insulated items installed outdoors are not required to be fire-rated. As a minimum, factory insulated items installed indoors must have a flame spread index no higher than 75 and a smoke developed index no higher than 150. Factory insulated items (no jacket) installed indoors and which are located in air plenums, in ceiling spaces, and in attic spaces must have a flame spread index no higher than 25 and a smoke developed index no higher than 50. Flame spread and smoke developed indexes must be determined by ASTM E84. Insulation must be tested in the same density and installed thickness as the material to be used in the actual construction. Material supplied by a manufacturer with a jacket must be tested as a composite material. Jackets, facings, and adhesives must have a flame spread index no higher than 25 and a smoke developed index no higher than 50 when tested in accordance with ASTM E84.

## 2.4 TESTS, INSPECTIONS, AND VERIFICATIONS

All manufactured units must be inspected and tested, and documentation provided to demonstrate that each unit is in compliance with ANSI/AHRI and UL requirements and that the minimum efficiency requirements of ASHRAE 90.1 - IP have been met.

## PART 3 EXECUTION

### 3.1 EXAMINATION

After becoming familiar with all details of the work, perform Verification of Dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

### 3.2 INSTALLATION

Perform work in accordance with the manufacturer's published diagrams, recommendations, and equipment warranty requirements. Where equipment is specified to conform to the requirements of ASME BPVC SEC VIII D1 and ASME BPVC SEC IX, the design, fabrication, and installation of the system must conform to ASME BPVC SEC VIII D1 and ASME BPVC SEC IX.

#### 3.2.1 Equipment

Provide refrigeration equipment conforming to ASHRAE 15 & 34. Provide necessary supports for all equipment, appurtenances, and pipe as required,

including frames or supports for compressors, pumps, cooling towers, condensers, and similar items. Isolate compressors from the building structure. Floor-mounted equipment must be set on not less than a 6 inch concrete pad doweled in place.

### 3.2.2 Field Applied Insulation

Apply field applied insulation as specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS, except as defined differently herein.

### 3.3 CLEANING AND ADJUSTING

Equipment must be wiped clean, with all traces of oil, dust, dirt, or paint spots removed. Temporary filters must be provided for all fans that are operated during construction, and new filters must be installed after all construction dirt has been removed from the building. System must be maintained in this clean condition until final acceptance. Bearings must be properly lubricated with oil or grease as recommended by the manufacturer. Belts must be tightened to proper tension. Control valves and other miscellaneous equipment requiring adjustment must be adjusted to setting indicated or directed. Fans must be adjusted to the speed indicated by the manufacturer to meet specified conditions. Testing, adjusting, and balancing must be as specified in Section 23 05 92 TESTING/ADJUSTING/BALANCING: SMALL HEATING/VENTILATING/COOLING SYSTEMS.

### 3.4 TRAINING

- a. The posted instructions must cover all of the items contained in the approved operation and maintenance manuals as well as demonstrations of routine maintenance operations. Submit 6 complete copies of an operation manual in bound 8-1/2 by 11 inch booklets listing step-by-step procedures required for system startup, operation, abnormal shutdown, emergency shutdown, and normal shutdown. The booklets must include the manufacturer's name, model number, and parts list. The manuals must include the manufacturer's name, model number, service manual, and a brief description of all equipment and their basic operating features.

### 3.5 REFRIGERANT TESTS, CHARGING, AND START-UP

Split-system refrigerant piping systems must be tested and charged as specified in Section 23 23 00 REFRIGERANT PIPING. Packaged refrigerant systems which are factory charged must be checked for refrigerant and oil capacity to verify proper refrigerant levels in accordance with manufacturer's recommendations. Following charging, packaged systems must be tested for leaks with a halide torch or an electronic leak detector. Submit 6 copies of each test containing the information described below in bound 8-1/2 by 11 inch booklets. Individual reports must be submitted for the refrigerant system tests.

- a. The date the tests were performed.
- b. A list of equipment used, with calibration certifications.
- c. Initial test summaries.
- d. Repairs/adjustments performed.
- e. Final test results.

#### 3.5.1 Refrigerant Leakage

If a refrigerant leak is discovered after the system has been charged, the

leaking portion of the system must immediately be isolated from the remainder of the system and the refrigerant pumped into the system receiver or other suitable container. Under no circumstances must the refrigerant be discharged into the atmosphere.

### 3.5.2 Contractor's Responsibility

Take steps, at all times during the installation and testing of the refrigeration system, to prevent the release of refrigerants into the atmosphere. The steps must include, but not be limited to, procedures which will minimize the release of refrigerants to the atmosphere and the use of refrigerant recovery devices to remove refrigerant from the system and store the refrigerant for reuse or reclaim. At no time must more than 3 ounces of refrigerant be released to the atmosphere in any one occurrence. Any system leaks within the first year must be repaired in accordance with the requirements herein at no cost to the Government including material, labor, and refrigerant if the leak is the result of defective equipment, material, or installation.

### 3.6 SYSTEM PERFORMANCE TESTS

Before each refrigeration system is accepted, conduct tests to demonstrate the general operating characteristics of all equipment by a registered professional engineer or an approved manufacturer's start-up representative experienced in system start-up and testing, at such times as directed. Six copies of the report provided in bound 8-1/2 by 11 inch booklets. The report must document compliance with the specified performance criteria upon completion and testing of the system. The report must indicate the number of days covered by the tests and any conclusions as to the adequacy of the system.

For equipment providing heating and cooling the system performance tests must be performed during the heating and cooling seasons.

- a. Submit a schedule, at least 2 weeks prior to the start of related testing, for the system performance tests. The schedules must identify the proposed date, time, and location for each test. Tests must cover a period of not less than 48 hours for each system and must demonstrate that the entire system is functioning in accordance with the drawings and specifications.
- b. Make corrections and adjustments, as necessary, tests must be re-conducted to demonstrate that the entire system is functioning as specified. Prior to acceptance, install and tighten service valve seal caps and blanks over gauge points. Replace any refrigerant lost during the system startup.
- c. If tests do not demonstrate satisfactory system performance, correct deficiencies and retest the system. Conduct tests in the presence of the Contracting Officer. Water and electricity required for the tests will be furnished by the Government. Provide all material, equipment, instruments, and personnel required for the test.
- d. Coordinate field tests with Section 23 05 92  
TESTING/ADJUSTING/BALANCING: SMALL HEATING/VENTILATING/COOLING SYSTEMS. Submit 6 copies of the report provided in bound 8-1/2 by 11 inch booklets. The report must document compliance with the specified performance criteria upon completion and testing of the system. The report must indicate the number of days covered by the tests and any

conclusions as to the adequacy of the system. Submit the report including the following information (where values are taken at least three different times at outside dry-bulb temperatures that are at least 5 degrees F apart):

- (1) Date and outside weather conditions.
- (2) The load on the system based on the following:
  - (a) The refrigerant used in the system.
  - (b) Condensing temperature and pressure.
  - (c) Suction temperature and pressure.
  - (d) Ambient, condensing and coolant temperatures.
  - (e) Running current, voltage and proper phase sequence for each phase of all motors.
- (3) The actual on-site setting of operating and safety controls.
- (4) Thermostatic expansion valve superheat - value as determined by field test.
- (5) Subcooling.
- (6) High and low refrigerant temperature switch set-points
- (7) Low oil pressure switch set-point.
- (8) Defrost system timer and thermostat set-points.
- (9) Moisture content.
- (10) Capacity control set-points.
- (11) Field data and adjustments which affect unit performance and energy consumption.
- (12) Field adjustments and settings which were not permanently marked as an integral part of a device.

### 3.7 MAINTENANCE

#### 3.7.1 EXTRA MATERIALS

Submit spare parts data for each different item of equipment specified, after approval of detail drawings and not later than 2 months prior to the date of beneficial occupancy. Include in the data a complete list of parts and supplies, with current unit prices and source of supply, a recommended spare parts list for 1 year of operation, and a list of the parts recommended by the manufacturer to be replaced on a routine basis.

-- End of Section --

SECTION 26 00 00.00 20

BASIC ELECTRICAL MATERIALS AND METHODS

07/06

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D709 (2017) Standard Specification for Laminated Thermosetting Materials

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 100 (2000; Archived) The Authoritative Dictionary of IEEE Standards Terms

IEEE C2 (2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code

IEEE C57.12.28 (2014) Standard for Pad-Mounted Equipment - Enclosure Integrity

IEEE C57.12.29 (2014) Standard for Pad-Mounted Equipment - Enclosure Integrity for Coastal Environments

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250 (2014) Enclosures for Electrical Equipment (1000 Volts Maximum)

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2017; ERTA 1-2 2017; TIA 17-1; TIA 17-2; TIA 17-3; TIA 17-4; TIA 17-5; TIA 17-6; TIA 17-7; TIA 17-8; TIA 17-9; TIA 17-10; TIA 17-11; TIA 17-12; TIA 17-13; TIA 17-14; TIA 17-15; TIA 17-16; TIA 17-17 ) National Electrical Code

1.2 RELATED REQUIREMENTS

This section applies to certain sections of Division 02, EXISTING CONDITIONS, Division 11, EQUIPMENT, and Divisions 22 and 23, PLUMBING and HEATING VENTILATING AND AIR CONDITIONING. This section applies to all sections of Division 26 and 33, ELECTRICAL and UTILITIES, of this project specification unless specified otherwise in the individual sections. This section has been incorporated into, and thus, does not apply to, and is not referenced in the following sections.

Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM

### 1.3 DEFINITIONS

- a. Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, shall be as defined in IEEE 100.
- b. The technical sections referred to herein are those specification sections that describe products, installation procedures, and equipment operations and that refer to this section for detailed description of submittal types.
- c. The technical paragraphs referred to herein are those paragraphs in PART 2 - PRODUCTS and PART 3 - EXECUTION of the technical sections that describe products, systems, installation procedures, equipment, and test methods.

### 1.4 ADDITIONAL SUBMITTALS INFORMATION

Submittals required in other sections that refer to this section must conform to the following additional requirements as applicable.

#### 1.4.1 Shop Drawings (SD-02)

Include wiring diagrams and installation details of equipment indicating proposed location, layout and arrangement, control panels, accessories, piping, ductwork, and other items that must be shown to ensure a coordinated installation. Wiring diagrams shall identify circuit terminals and indicate the internal wiring for each item of equipment and the interconnection between each item of equipment. Drawings shall indicate adequate clearance for operation, maintenance, and replacement of operating equipment devices.

#### 1.4.2 Product Data (SD-03)

Submittal shall include performance and characteristic curves.

### 1.5 QUALITY ASSURANCE

#### 1.5.1 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Equipment, materials, installation, and workmanship shall be in accordance with the mandatory and advisory provisions of NFPA 70 unless more stringent requirements are specified or indicated.

#### 1.5.2 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Products shall have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year period shall include applications of equipment and materials under similar circumstances and of similar size. The product shall have been on sale on the commercial market through advertisements,

manufacturers' catalogs, or brochures during the 2-year period. Where two or more items of the same class of equipment are required, these items shall be products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in the technical section.

#### 1.5.2.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

#### 1.5.2.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site shall not be used, unless specified otherwise.

#### 1.6 WARRANTY

The equipment items shall be supported by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

#### 1.7 POSTED OPERATING INSTRUCTIONS

Provide for each system and principal item of equipment as specified in the technical sections for use by operation and maintenance personnel. The operating instructions shall include the following:

- a. Wiring diagrams, control diagrams, and control sequence for each principal system and item of equipment.
- b. Start up, proper adjustment, operating, lubrication, and shutdown procedures.
- c. Safety precautions.
- d. The procedure in the event of equipment failure.
- e. Other items of instruction as recommended by the manufacturer of each system or item of equipment.

Print or engrave operating instructions and frame under glass or in approved laminated plastic. Post instructions where directed. For operating instructions exposed to the weather, provide weather-resistant materials or weatherproof enclosures. Operating instructions shall not fade when exposed to sunlight and shall be secured to prevent easy removal or peeling.

#### 1.8 MANUFACTURER'S NAMEPLATE

Each item of equipment shall have a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

#### 1.9 FIELD FABRICATED NAMEPLATES

ASTM D709. Provide laminated plastic nameplates for each equipment enclosure, relay, switch, and device; as specified in the technical sections or as indicated on the drawings. Each nameplate inscription shall identify the function and, when applicable, the position. Nameplates shall be melamine plastic, 0.125 inch thick, white with black center core. Surface shall be matte finish. Corners shall be square. Accurately align lettering and engrave into the core. Minimum size of nameplates shall be one by 2.5 inches. Lettering shall be a minimum of 0.25 inch high normal block style.

#### 1.10 WARNING SIGNS

Provide warning signs for the enclosures of electrical equipment including substations, pad-mounted transformers, pad-mounted switches, generators, and switchgear having a nominal rating exceeding 600 volts.

- a. When the enclosure integrity of such equipment is specified to be in accordance with IEEE C57.12.28 or IEEE C57.12.29, such as for pad-mounted transformers, provide self-adhesive warning signs on the outside of the high voltage compartment door(s). Sign shall be a decal and shall have nominal dimensions of 7 by 10 inches with the legend "DANGER HIGH VOLTAGE" printed in two lines of nominal 2 inch high letters. The word "DANGER" shall be in white letters on a red background and the words "HIGH VOLTAGE" shall be in black letters on a white background. Decal shall be Panduit No. PPS0710D72 or approved equal.

#### 1.11 ELECTRICAL REQUIREMENTS

Electrical installations shall conform to IEEE C2, NFPA 70, and requirements specified herein.

#### 1.12 INSTRUCTION TO GOVERNMENT PERSONNEL

Where specified in the technical sections, furnish the services of competent instructors to give full instruction to designated Government personnel in the adjustment, operation, and maintenance of the specified systems and equipment, including pertinent safety requirements as required. Instructors shall be thoroughly familiar with all parts of the installation and shall be trained in operating theory as well as practical operation and maintenance work. Instruction shall be given during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. The number of man-days (8 hours per day) of instruction furnished shall be as specified in the individual section.

### PART 2 PRODUCTS

#### 2.1 FACTORY APPLIED FINISH

Electrical equipment shall have factory-applied painting systems which shall, as a minimum, meet the requirements of NEMA 250 corrosion-resistance test.

REPAIR FOUR ELEVATORS, BUILDING 4881  
N40085-15-D4709

PART 3 EXECUTION

3.1 FIELD APPLIED PAINTING

Paint electrical equipment as required to match finish of adjacent surfaces or to meet the indicated or specified safety criteria. Painting shall be as specified in the section specifying the associated electrical equipment.

3.2 FIELD FABRICATED NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets.

3.3 WARNING SIGN MOUNTING

Provide the number of signs required to be readable from each accessible side, but space the signs a maximum of 30 feet apart.

-- End of Section --

SECTION 26 20 00

INTERIOR DISTRIBUTION SYSTEM

02/14

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

- |           |   |
|-----------|---|
| ASTM B1   | (2013) Standard Specification for Hard-Drawn Copper Wire  |
| ASTM B8   | (2011; R 2017) Standard Specification for Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft |
| ASTM D709 | (2017) Standard Specification for Laminated Thermosetting Materials   |

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

- |          |   |
|----------|---|
| IEEE 100 | (2000; Archived) The Authoritative Dictionary of IEEE Standards Terms |
| IEEE C2  | (2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code   |

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

- |            |   |
|------------|---|
| ANSI C80.1 | (2005) American National Standard for Electrical Rigid Steel Conduit (ERSC)                               |
| ANSI C80.3 | (2015) American National Standard for Electrical Metallic Tubing (EMT)                                    |
| NEMA 250   | (2014) Enclosures for Electrical Equipment (1000 Volts Maximum)   |
| NEMA FU 1  | (2012) Low Voltage Cartridge Fuses  |
| NEMA ICS 6 | (1993; R 2016) Industrial Control and Systems: Enclosures   |
| NEMA KS 1  | (2013) Enclosed and Miscellaneous Distribution Equipment Switches (600 V Maximum)                         |
| NEMA MG 1  | (2016; SUPP 2016) Motors and Generators   |
| NEMA MG 10 | (2017) Energy Management Guide for Selection and Use of Fixed Frequency Medium AC Squirrel-Cage Polyphase |

Induction Motors

NEMA MG 11 (1977; R 2012) Energy Management Guide for Selection and Use of Single Phase Motors

NEMA RN 1 (2005; R 2013) Polyvinyl-Chloride (PVC) Externally Coated Galvanized Rigid Steel Conduit and Intermediate Metal Conduit

NEMA WD 1 (1999; R 2015) Standard for General Color Requirements for Wiring Devices

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2017; ERTA 1-2 2017; TIA 17-1; TIA 17-2; TIA 17-3; TIA 17-4; TIA 17-5; TIA 17-6; TIA 17-7; TIA 17-8; TIA 17-9; TIA 17-10; TIA 17-11; TIA 17-12; TIA 17-13; TIA 17-14; TIA 17-15; TIA 17-16; TIA 17-17 ) National Electrical Code

NFPA 70E (2018; TIA 18-1; TIA 81-2) Standard for Electrical Safety in the Workplace

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.147 The Control of Hazardous Energy (Lock Out/Tag Out)

UNDERWRITERS LABORATORIES (UL)

UL 1 (2005; Reprint Aug 2017) UL Standard for Safety Flexible Metal Conduit

UL 1242 (2006; Reprint Mar 2014) Standard for Electrical Intermediate Metal Conduit -- Steel

UL 198M (2018) UL Standard for Mine-Duty Fuses

UL 20 (2010; Reprint Feb 2012) General-Use Snap Switches

UL 360 (2013; Reprint May 2018) UL Standard for Safety Liquid-Tight Flexible Metal Conduit

UL 4248-1 (2017) UL Standard for Safety Fuseholders - Part 1: General Requirements

UL 4248-12 (2018) UL Standard for Safety Fuseholders - Part 12: Class R

UL 44 (2018) Thermoset-Insulated Wires and Cables

UL 486A-486B (2018) UL Standard for Wire Connectors

UL 486C (2018) Splicing Wire Connectors

UL 489 (2016) UL Standard for Safety Molded-Case

	Circuit Breakers, Molded-Case Switches and Circuit-Breaker Enclosures
UL 50	(2015) UL Standard for Safety Enclosures for Electrical Equipment, Non-Environmental Considerations
UL 510	(2017) UL Standard for Safety Polyvinyl Chloride, Polyethylene and Rubber Insulating Tape
UL 514A	(2013; Reprint Aug 2017) UL Standard for Safety Metallic Outlet Boxes
UL 514B	(2012; Reprint Nov 2014) Conduit, Tubing and Cable Fittings
UL 514C	(2014; Reprint Dec 2014) Nonmetallic Outlet Boxes, Flush-Device Boxes, and Covers
UL 6	(2007; Reprint Nov 2014) Electrical Rigid Metal Conduit-Steel
UL 797	(2007; Reprint Mar 2017) UL Standard for Safety Electrical Metallic Tubing -- Steel
UL 83	(2017) UL Standard for Safety Thermoplastic-Insulated Wires and Cables
UL 984	(1996; Reprint Sep 2005) Hermetic Refrigerant Motor-Compressors

## 1.2 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, are as defined in IEEE 100.

## 1.3 SUBMITTALS

Submit the following in accordance with Section 01 33 00.

### SD-02 Shop Drawings

#### Panelboards

Include wiring diagrams and installation details of equipment indicating proposed location, layout and arrangement, control panels, accessories, piping, ductwork, and other items that must be shown to ensure a coordinated installation. Identify circuit terminals on wiring diagrams and indicate the internal wiring for each item of equipment and the interconnection between each item of equipment. Indicate on the drawings adequate clearance for operation, maintenance, and replacement of operating equipment devices.

### SD-03 Product Data

Circuit breakers

Switches

Include performance and characteristic curves.

SD-06 Test Reports

600-volt wiring test

SD-07 Certificates

Fuses

#### 1.4 QUALITY ASSURANCE

##### 1.4.1 Fuses

Submit coordination data as specified in paragraph, FUSES of this section.

##### 1.4.2 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" or "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Provide equipment, materials, installation, and workmanship in accordance with the mandatory and advisory provisions of NFPA 70 unless more stringent requirements are specified or indicated.

##### 1.4.3 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship and:

- a. Have been in satisfactory commercial or industrial use for 2 years prior to bid opening including applications of equipment and materials under similar circumstances and of similar size.
- b. Have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period.
- c. Where two or more items of the same class of equipment are required, provide products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

##### 1.4.3.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

##### 1.4.3.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site

are not acceptable.

#### 1.5 WARRANTY

Provide equipment items supported by service organizations that are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

### PART 2 PRODUCTS

#### 2.1 MATERIALS AND EQUIPMENT

As a minimum, meet requirements of UL, where UL standards are established for those items, and requirements of NFPA 70 for all materials, equipment, and devices.

#### 2.2 CONDUIT AND FITTINGS

Conform to the following:

##### 2.2.1 Rigid Metallic Conduit

###### 2.2.1.1 Rigid, Threaded Zinc-Coated Steel Conduit

ANSI C80.1, UL 6.

###### 2.2.2 Intermediate Metal Conduit (IMC)

UL 1242, zinc-coated steel only.

###### 2.2.3 Electrical, Zinc-Coated Steel Metallic Tubing (EMT)

UL 797, ANSI C80.3.

###### 2.2.4 Plastic-Coated Rigid Steel and IMC Conduit

NEMA RN 1, Type 40( 40 mils thick).

###### 2.2.5 Flexible Metal Conduit

UL 1.

###### 2.2.5.1 Liquid-Tight Flexible Metal Conduit, Steel

UL 360.

###### 2.2.6 Fittings for Metal Conduit, EMT, and Flexible Metal Conduit

UL 514B. Ferrous fittings: cadmium- or zinc-coated in accordance with UL 514B.

###### 2.2.6.1 Fittings for Rigid Metal Conduit and IMC

Threaded-type. Split couplings unacceptable.

###### 2.2.6.2 Fittings for EMT

Steel compression type.

### 2.3 OUTLET BOXES AND COVERS

UL 514A, cadmium- or zinc-coated, if ferrous metal. UL 514C, if nonmetallic.

### 2.4 CABINETS, JUNCTION BOXES, AND PULL BOXES

Volume greater than 100 cubic inches, UL 50, hot-dip, zinc-coated, if sheet steel.

### 2.5 WIRES AND CABLES

Provide wires and cables in accordance applicable requirements of NFPA 70 and UL for type of insulation, jacket, and conductor specified or indicated. Do not use wires and cables manufactured more than 12 months prior to date of delivery to site.

#### 2.5.1 Conductors

Provide the following:

- a. Conductor sizes and capacities shown are based on copper, unless indicated otherwise.
- b. Conductors No. 8 AWG and larger diameter: stranded.
- c. Conductors No. 10 AWG and smaller diameter: solid.
- d. Conductors for remote control, alarm, and signal circuits, classes 1, 2, and 3: stranded unless specifically indicated otherwise.
- e. All conductors: copper.

##### 2.5.1.1 Minimum Conductor Sizes

Provide minimum conductor size in accordance with the following:

- a. Branch circuits: No. 12 AWG.
- b. Class 1 remote-control and signal circuits: No. 14 AWG.
- c. Class 2 low-energy, remote-control and signal circuits: No. 16 AWG.
- d. Class 3 low-energy, remote-control, alarm and signal circuits: No. 22 AWG.

#### 2.5.2 Color Coding

Provide color coding for service, feeder, branch, control, and signaling circuit conductors.

##### 2.5.2.1 Ground and Neutral Conductors

Provide color coding of ground and neutral conductors as follows:

- a. Grounding conductors: Green.
- b. Neutral conductors: White.

- c. Exception, where neutrals of more than one system are installed in same raceway or box, other neutrals color coding: white with a different colored (not green) stripe for each.

#### 2.5.2.2 Ungrounded Conductors

Provide color coding of ungrounded conductors in different voltage systems as follows:

- a. 208/120 volt, three-phase
  - (1) Phase A - black
  - (2) Phase B - red
  - (3) Phase C - blue
- b. 480/277 volt, three-phase
  - (1) Phase A - brown
  - (2) Phase B - orange
  - (3) Phase C - yellow
- c. 120/240 volt, single phase: Black and red

#### 2.5.3 Insulation

Unless specified or indicated otherwise or required by NFPA 70, provide power and lighting wires rated for 600-volts, Type THWN/THHN conforming to UL 83 or Type XHHW or RHW conforming to UL 44, except that grounding wire may be type TW conforming to UL 83; remote-control and signal circuits: Type TW or TF, conforming to UL 83. Where lighting fixtures require 90-degree Centigrade (C) conductors, provide only conductors with 90-degree C insulation or better.

#### 2.5.4 Bonding Conductors

ASTM B1, solid bare copper wire for sizes No. 8 AWG and smaller diameter; ASTM B8, Class B, stranded bare copper wire for sizes No. 6 AWG and larger diameter.

#### 2.6 SPLICES AND TERMINATION COMPONENTS

UL 486A-486B for wire connectors and UL 510 for insulating tapes. Connectors for No. 10 AWG and smaller diameter wires: insulated, pressure-type in accordance with UL 486A-486B or UL 486C (twist-on splicing connector). Provide solderless terminal lugs on stranded conductors.

#### 2.7 DEVICE PLATES

Provide the following:

- a. UL listed, one-piece device plates for outlets to suit the devices installed.

- b. For metal outlet boxes, plates on unfinished walls: zinc-coated sheet steel or cast metal having round or beveled edges.
- c. For nonmetallic boxes and fittings, other suitable plates may be provided.
- d. Plates on finished walls: nylon or lexan, minimum 0.03 inch wall thickness and same color as receptacle or toggle switch with which they are mounted.
- e. Plates on finished walls: satin finish stainless steel or brushed-finish aluminum, minimum 0.03 inch thick.
- f. Screws: machine-type with countersunk heads in color to match finish of plate.
- g. Sectional type device plates are not be permitted.
- h. Plates installed in wet locations: gasketed and UL listed for "wet locations."

## 2.8 SWITCHES

### 2.8.1 Toggle Switches

NEMA WD 1, UL 20, single pole, double pole, three-way, totally enclosed with bodies of thermoplastic or thermoset plastic and mounting strap with grounding screw. Include the following:

- a. Handles: white thermoplastic.
- b. Wiring terminals: screw-type, side-wired.
- c. Contacts: silver-cadmium and contact arm - one-piece copper alloy.
- d. Switches: rated quiet-type ac only, 120/277 volts, with current rating and number of poles indicated.

### 2.8.2 Disconnect Switches

NEMA KS 1. Provide heavy duty-type switches where indicated, where switches are rated higher than 240 volts, and for double-throw switches. Utilize Class R fuseholders and fuses for fused switches, unless indicated otherwise. Provide horsepower rated for switches serving as the motor-disconnect means. Provide switches in NEMA enclosure as indicated per NEMA ICS 6.

## 2.9 FUSES

NEMA FU 1. Provide complete set of fuses for each fusible switch. Coordinate time-current characteristics curves of fuses serving motors or connected in series with circuit breakers or other circuit protective devices for proper operation. Submit coordination data for approval. Provide fuses with a voltage rating not less than circuit voltage.

### 2.9.1 Fuseholders

Provide in accordance with UL 4248-1.

## 2.9.2 Cartridge Fuses, Current Limiting Type (Class R)

UL 198M, Class time-delay type. Provide only Class R associated fuseholders in accordance with UL 4248-12.

## 2.10 PANELBOARDS

### 2.10.1 Circuit Breakers

UL 489, thermal magnetic-type having a minimum short-circuit current rating equal to the short-circuit current rating of the panelboard in which the circuit breaker will be mounted. Breaker terminals: UL listed as suitable for type of conductor provided. Series rated circuit breakers and plug-in circuit breakers are unacceptable.

#### 2.10.1.1 Multipole Breakers

Provide common trip-type with single operating handle. Design breaker such that overload in one pole automatically causes all poles to open. Maintain phase sequence throughout each panel so that any three adjacent breaker poles are connected to Phases A, B, and C, respectively.

#### 2.10.1.2 Circuit Breakers for HVAC Equipment

Provide circuit breakers for HVAC equipment having motors (group or individual) marked for use with HACR type and UL listed as HACR type.

## 2.11 MOTORS

Provide motors in accordance with the following:

- a. Hermetic-type sealed motor compressors: Also comply with UL 984.
- b. Provide the size in terms of HP, or kVA, or full-load current, or a combination of these characteristics, and other characteristics, of each motor as indicated or specified.
- c. Determine specific motor characteristics to ensure provision of correctly sized starters and overload heaters.
- d. Rate motors for operation on 208-volt, 3-phase circuits with a terminal voltage rating of 200 volts, and those for operation on 480-volt, 3-phase circuits with a terminal voltage rating of 460 volts.
- e. Use motors designed to operate at full capacity with voltage variation of plus or minus 10 percent of motor voltage rating.
- f. Unless otherwise indicated, use continuous duty type motors if rated 1 HP and above.
- g. Where fuse protection is specifically recommended by the equipment manufacturer, provide fused switches in lieu of non-fused switches indicated.

### 2.11.1 High Efficiency Single-Phase Motors

Single-phase fractional-horsepower alternating-current motors: high efficiency types corresponding to the applications listed in NEMA MG 11.

In exception, for motor-driven equipment with a minimum seasonal or overall efficiency rating, such as a SEER rating, provide equipment with motor to meet the overall system rating indicated.

#### 2.11.2 Premium Efficiency Polyphase Motors

Select polyphase motors based on high efficiency characteristics relative to typical characteristics and applications as listed in NEMA MG 10. In addition, continuous rated, polyphase squirrel-cage medium induction motors must meet the requirements for premium efficiency electric motors in accordance with NEMA MG 1, including the NEMA full load efficiency ratings. In exception, for motor-driven equipment with a minimum seasonal or overall efficiency rating, such as a SEER rating, provide equipment with motor to meet the overall system rating indicated.

#### 2.11.3 Motor Sizes

Provide size for duty to be performed, not exceeding the full-load nameplate current rating when driven equipment is operated at specified capacity under most severe conditions likely to be encountered. When motor size provided differs from size indicated or specified, make adjustments to wiring, disconnect devices, and branch circuit protection to accommodate equipment actually provided. Provide controllers for motors rated 1-hp and above with electronic phase-voltage monitors designed to protect motors from phase-loss, undervoltage, and overvoltage. Provide protection for motors from immediate restart by a time adjustable restart relay.

#### 2.11.4 Wiring and Conduit

Provide internal wiring for components of packaged equipment as an integral part of the equipment. Provide power wiring and conduit for field-installed equipment as specified herein. Power wiring and conduit: conform to the requirements specified herein. Control wiring: provided under, and conform to, the requirements of the section specifying the associated equipment.

#### 2.12 MOTOR CONTROLLERS

- a. Provide a disconnecting means, capable of being locked in the open position, for the motor that is located in sight from the motor location and the driven machinery location. As an alternative, provide a motor controller disconnect, capable of being locked in the open position, to serve as the disconnecting means for the motor if it is in sight from the motor location and the driven machinery location.
- b. Overload protective devices: provide adequate protection to motor windings; be thermal inverse-time-limit type; and include manual reset-type pushbutton on outside of motor controller case.

#### 2.13 LOCKOUT REQUIREMENTS

Provide disconnecting means capable of being locked out for machines and other equipment to prevent unexpected startup or release of stored energy in accordance with 29 CFR 1910.147. Comply with requirements of Division 23, "Mechanical" for mechanical isolation of machines and other equipment.

2.14 MANUFACTURER'S NAMEPLATE

Provide on each item of equipment a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

2.15 FIELD FABRICATED NAMEPLATES

Provide field fabricated nameplates in accordance with the following:

- a. ASTM D709.
- b. Provide laminated plastic nameplates for each equipment enclosure, relay, switch, and device; as specified or as indicated on the drawings.
- c. Each nameplate inscription: identify the function and, when applicable, the position.
- d. Nameplates: melamine plastic, 0.125 inch thick, white with black center core.
- e. Surface: matte finish. Corners: square. Accurately align lettering and engrave into the core.
- f. Minimum size of nameplates: one by 2.5 inches.
- g. Lettering size and style: a minimum of 0.25 inch high normal block style.

2.16 FACTORY APPLIED FINISH

Provide factory-applied finish on electrical equipment in accordance with the following:

- a. NEMA 250 corrosion-resistance test and the additional requirements as specified herein.
- b. Interior and exterior steel surfaces of equipment enclosures: thoroughly cleaned followed by a rust-inhibitive phosphatizing or equivalent treatment prior to painting.
- c. Exterior surfaces: free from holes, seams, dents, weld marks, loose scale or other imperfections.
- d. Interior surfaces: receive not less than one coat of corrosion-resisting paint in accordance with the manufacturer's standard practice.
- e. Exterior surfaces: primed, filled where necessary, and given not less than two coats baked enamel with semigloss finish.
- f. Equipment located indoors: ANSI Light Gray, and equipment located outdoors: ANSI Dark Gray.
- g. Provide manufacturer's coatings for touch-up work and as specified in paragraph FIELD APPLIED PAINTING.

PART 3 EXECUTION

3.1 INSTALLATION

Electrical installations, including weatherproof and hazardous locations and ducts, plenums and other air-handling spaces: conform to requirements of NFPA 70 and IEEE C2 and to requirements specified herein.

3.1.1 Wiring Methods

Provide insulated conductors installed in rigid steel conduit, IMC, rigid nonmetallic conduit, or EMT, except where specifically indicated or specified otherwise or required by NFPA 70 to be installed otherwise. Grounding conductor: separate from electrical system neutral conductor. Provide insulated green equipment grounding conductor for circuit(s) installed in conduit and raceways. Minimum conduit size: 1/2 inch in diameter for low voltage lighting and power circuits. Vertical distribution in multiple story buildings: made with metal conduit in fire-rated shafts, with metal conduit extending through shafts for minimum distance of 6 inches.

3.1.2 Conduit Installation

Unless indicated otherwise, conceal conduit under floor slabs and within finished walls, ceilings, and floors. Keep conduit minimum 6 inches away from parallel runs of flues and steam or hot water pipes. Install conduit parallel with or at right angles to ceilings, walls, and structural members where located above accessible ceilings and where conduit will be visible after completion of project.

3.1.2.1 Restrictions Applicable to EMT

- a. Do not install underground.
- b. Do not encase in concrete, mortar, grout, or other cementitious materials.
- c. Do not use in areas subject to severe physical damage including but not limited to equipment rooms where moving or replacing equipment could physically damage the EMT.
- d. Do not use in hazardous areas.
- e. Do not use outdoors.
- f. Do not use in fire pump rooms.
- g. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.2.2 Restrictions Applicable to Flexible Conduit

Use only as specified in paragraph FLEXIBLE CONNECTIONS. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.2.3 Conduit Support

Support conduit by pipe straps, wall brackets, threaded rod conduit

hangers, or ceiling trapeze. Fasten by wood screws to wood; by toggle bolts on hollow masonry units; by concrete inserts or expansion bolts on concrete or brick; and by machine screws, welded threaded studs, or spring-tension clamps on steel work. Threaded C-clamps may be used on rigid steel conduit only. Do not weld conduits or pipe straps to steel structures. Do not exceed one-fourth proof test load for load applied to fasteners. Provide vibration resistant and shock-resistant fasteners attached to concrete ceiling. Do not cut main reinforcing bars for any holes cut to depth of more than 1 1/2 inches in reinforced concrete beams or to depth of more than 3/4 inch in concrete joints. Fill unused holes. In partitions of light steel construction, use sheet metal screws. In suspended-ceiling construction, run conduit above ceiling. Do not support conduit by ceiling support system. Conduit and box systems: supported independently of both (a) tie wires supporting ceiling grid system, and (b) ceiling grid system into which ceiling panels are placed. Do not share supporting means between electrical raceways and mechanical piping or ducts. Coordinate installation with above-ceiling mechanical systems to assure maximum accessibility to all systems. Spring-steel fasteners may be used for lighting branch circuit conduit supports in suspended ceilings in dry locations. Where conduit crosses building expansion joints, provide suitable expansion fitting that maintains conduit electrical continuity by bonding jumpers or other means. For conduits greater than 2 1/2 inches inside diameter, provide supports to resist forces of 0.5 times the equipment weight in any direction and 1.5 times the equipment weight in the downward direction.

#### 3.1.2.4 Directional Changes in Conduit Runs

Make changes in direction of runs with symmetrical bends or cast-metal fittings. Make field-made bends and offsets with hickey or conduit-bending machine. Do not install crushed or deformed conduits. Avoid trapped conduits. Prevent plaster, dirt, or trash from lodging in conduits, boxes, fittings, and equipment during construction. Free clogged conduits of obstructions.

#### 3.1.2.5 Locknuts and Bushings

Fasten conduits to sheet metal boxes and cabinets with two locknuts where required by NFPA 70, where insulated bushings are used, and where bushings cannot be brought into firm contact with the box; otherwise, use at least minimum single locknut and bushing. Provide locknuts with sharp edges for digging into wall of metal enclosures. Install bushings on ends of conduits, and provide insulating type where required by NFPA 70.

#### 3.1.2.6 Flexible Connections

Provide flexible steel conduit between 3 and 6 feet in length for recessed and semirecessed lighting fixtures; for equipment subject to vibration, noise transmission, or movement; and for motors. Install flexible conduit to allow 20 percent slack. Minimum flexible steel conduit size: 1/2 inch diameter. Provide liquidtight flexible conduit in wet and damp locations for equipment subject to vibration, noise transmission, movement or motors. Provide separate ground conductor across flexible connections.

#### 3.1.3 Boxes, Outlets, and Supports

Provide boxes in wiring and raceway systems wherever required for pulling of wires, making connections, and mounting of devices or fixtures. Boxes for metallic raceways: cast-metal, hub-type when located in wet

locations, when surface mounted on outside of exterior surfaces, when surface mounted on interior walls exposed up to 7 feet above floors and walkways, and when specifically indicated. Boxes in other locations: sheet steel, except that aluminum boxes may be used with aluminum conduit, and nonmetallic boxes may be used with nonmetallic conduit system. Provide each box with volume required by NFPA 70 for number of conductors enclosed in box. Boxes for mounting lighting fixtures: minimum 4 inches square, or octagonal, except that smaller boxes may be installed as required by fixture configurations, as approved. Boxes for use in masonry-block or tile walls: square-cornered, tile-type, or standard boxes having square-cornered, tile-type covers. Provide gaskets for cast-metal boxes installed in wet locations and boxes installed flush with outside of exterior surfaces. Provide separate boxes for flush or recessed fixtures when required by fixture terminal operating temperature; provide readily removable fixtures for access to boxes unless ceiling access panels are provided. Support boxes and pendants for surface-mounted fixtures on suspended ceilings independently of ceiling supports. Fasten boxes and supports with wood screws on wood, with bolts and expansion shields on concrete or brick, with toggle bolts on hollow masonry units, and with machine screws or welded studs on steel. In open overhead spaces, cast boxes threaded to raceways need not be separately supported except where used for fixture support; support sheet metal boxes directly from building structure or by bar hangers. Where bar hangers are used, attach bar to raceways on opposite sides of box, and support raceway with approved-type fastener maximum 24 inches from box. When penetrating reinforced concrete members, avoid cutting reinforcing steel.

#### 3.1.3.1 Boxes

Boxes for use with raceway systems: minimum 1 1/2 inches deep, except where shallower boxes required by structural conditions are approved. Boxes for other than lighting fixture outlets: minimum 4 inches square, except that 4 by 2 inch boxes may be used where only one raceway enters outlet. Mount outlet boxes flush in finished walls.

#### 3.1.3.2 Pull Boxes

Construct of at least minimum size required by NFPA 70 of code-gauge aluminum or galvanized sheet steel, except where cast-metal boxes are required in locations specified herein. Provide boxes with screw-fastened covers. Where several feeders pass through common pull box, tag feeders to indicate clearly electrical characteristics, circuit number, and panel designation.

#### 3.1.4 Mounting Heights

Mount panelboards, circuit breakers, and disconnecting switches so height of operating handle at its highest position is maximum 78 inches above floor. Mount lighting switches 48 inches above finished floor. Mount receptacles 18 inches above finished floor, unless otherwise indicated. Mount other devices as indicated. Measure mounting heights of wiring devices and outlets to center of device or outlet.

#### 3.1.5 Conductor Identification

Provide conductor identification within each enclosure where tap, splice, or termination is made. For conductors No. 6 AWG and smaller diameter, provide color coding by factory-applied, color-impregnated insulation. For conductors No. 4 AWG and larger diameter, provide color coding by

plastic-coated, self-sticking markers; colored nylon cable ties and plates; or heat shrink-type sleeves.

### 3.1.6 Splices

Make splices in accessible locations. Make splices in conductors No. 10 AWG and smaller diameter with insulated, pressure-type connector. Make splices in conductors No. 8 AWG and larger diameter with solderless connector, and cover with insulation material equivalent to conductor insulation.

### 3.1.7 Covers and Device Plates

Install with edges in continuous contact with finished wall surfaces without use of mats or similar devices. Plaster fillings are not permitted. Install plates with alignment tolerance of 1/16 inch. Use of sectional-type device plates are not permitted. Provide gasket for plates installed in wet locations.

### 3.1.8 Electrical Penetrations

Seal openings around electrical penetrations through fire resistance-rated walls, partitions, floors, or ceilings in accordance with UL standards.

### 3.1.9 Grounding and Bonding

Provide in accordance with NFPA 70. Ground exposed, non-current-carrying metallic parts of electrical equipment, metallic raceway systems, grounding conductor in metallic and nonmetallic raceways, telecommunications system grounds, and neutral conductor of wiring systems. Where ground fault protection is employed, ensure that connection of ground and neutral does not interfere with correct operation of fault protection.

### 3.1.10 Equipment Connections

Provide power wiring for the connection of motors and control equipment under this section of the specification. Except as otherwise specifically noted or specified, automatic control wiring, control devices, and protective devices within the control circuitry are not included in this section of the specifications and are provided under the section specifying the associated equipment.

### 3.1.11 Elevator

Provide circuit to line terminals of elevator controller, and disconnect switch on line side of controller, outlet receptacle and work light.

### 3.1.12 Repair of Existing Work

Perform repair of existing work, demolition, and modification of existing electrical distribution systems as follows:

#### 3.1.12.1 Workmanship

Lay out work in advance. Exercise care where cutting, channeling, chasing, or drilling of floors, walls, partitions, ceilings, or other surfaces is necessary for proper installation, support, or anchorage of conduit, raceways, or other electrical work. Repair damage to buildings,

pipng, and equipment using skilled craftsmen of trades involved.

#### 3.1.12.2 Existing Concealed Wiring to be Removed

Disconnect existing concealed wiring to be removed from its source. Remove conductors; cut conduit flush with floor, underside of floor, and through walls; and seal openings.

#### 3.1.12.3 Continuation of Service

Maintain continuity of existing circuits of equipment to remain. Maintain existing circuits of equipment energized. Restore circuits wiring and power which are to remain but were disturbed during demolition back to original condition.

### 3.2 FIELD FABRICATED NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets.

### 3.3 WARNING SIGN MOUNTING

Provide the number of signs required to be readable from each accessible side. Space the signs in accordance with NFPA 70E.

### 3.4 FIELD APPLIED PAINTING

Paint electrical equipment as required to match finish of adjacent surfaces or to meet the indicated or specified safety criteria. Painting: as specified in Section 09 90 00 PAINTS AND COATINGS. Where field painting of enclosures for panelboards, load centers or the like is specified to match adjacent surfaces, to correct damage to the manufacturer's factory applied coatings, or to meet the indicated or specified safety criteria, provide manufacturer's recommended coatings and apply in accordance to manufacturer's instructions.

### 3.5 FIELD QUALITY CONTROL

Furnish test equipment and personnel and submit written copies of test results. Give Contracting Officer 5 working days notice prior to each test.

#### 3.5.1 Devices Subject to Manual Operation

Operate each device subject to manual operation at least five times, demonstrating satisfactory operation each time.

#### 3.5.2 600-Volt Wiring Test

Test wiring rated 600 volt and less to verify that no short circuits or accidental grounds exist. Perform insulation resistance tests on wiring No. 6 AWG and larger diameter using instrument which applies voltage of approximately 500 volts to provide direct reading of resistance. Minimum resistance: 250,000 ohms.

-- End of Section --

SECTION 28 31 76.00 22

INTERIOR COMBINATION EMERGENCY COMMUNICATIONS SYSTEMS  
04/16

PART 1 GENERAL

1.1 RELATED SECTIONS

Section 26 00 00.00 20 BASIC ELECTRICAL MATERIALS AND METHODS, applies to this section, with the additions and modifications specified herein. In addition, refer to the following sections for related work and coordination:

Section 14 24 23 HYDRAULIC PASSENGER ELEVATORS

Section 23 00 00 AIR SUPPLY, DISTRIBUTION, VENTILATION, AND EXHAUST SYSTEMS

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

FM GLOBAL (FM)

FM APP GUIDE (updated on-line) Approval Guide  
<http://www.approvalguide.com/>

INTERNATIONAL ELECTROTECHNICAL COMMISSION (IEC)

IEC 60268-16 (2003; ED 4.0) Sound System Equipment -  
Part 16: Objective Rating Of Speech  
Intelligibility By Speech Transmission  
Index

INTERNATIONAL ORGANIZATION FOR STANDARDIZATION (ISO)

ISO 7240-16 (2007) Fire Detection And Alarm Systems -  
Part 16: Sound System Control And  
Indicating Equipment

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2017; ERTA 1-2 2017; TIA 17-1; TIA 17-2;  
TIA 17-3; TIA 17-4; TIA 17-5; TIA 17-6;  
TIA 17-7; TIA 17-8; TIA 17-9; TIA 17-10;  
TIA 17-11; TIA 17-12; TIA 17-13; TIA  
17-14; TIA 17-15; TIA 17-16; TIA 17-17 )  
National Electrical Code

NFPA 72 (2019; TIA 19-1; ERTA 2019) National Fire  
Alarm and Signaling Code

UNDERWRITERS LABORATORIES (UL)

UL 268	(2009) Smoke Detectors for Fire Alarm Systems
UL 521	(1999; Reprint Apr 2015) Heat Detectors for Fire Protective Signaling Systems
UL Electrical Constructn	(2015) Electrical Construction Equipment Directory
UL Fire Prot Dir	(2015) Fire Protection Equipment Directory

1.3 DEFINITIONS

Wherever indicated in this specification or on the contract drawings, the equipment, devices, and functions shall be defined as follows:

a. COMBINATION EMERGENCY COMMUNICATIONS SYSTEM: NFPA 72 terminology describing a combination fire alarm and mass notification system where the building mass notification system is integrated with the building fire alarm control unit to form one combined system that performs both functions.

b. COMBINATION EMERGENCY COMMUNICATIONS SYSTEM PANELS (CECP): Combination Emergency Communications System Panel may consist of separate autonomous control unit and fire alarm control unit supplied from the same or different manufacturers, or a single panel supplied by one manufacturer. Where the term "COMBINATION EMERGENCY COMMUNICATIONS SYSTEM PANEL" or "CECP" is utilized the intent is NOT to limit the designer to one manufacturer or a single enclosure. HOWEVER; whether installed as combined or separate panels or provided by one or separate manufacturers, the panels shall be integrated in their controls and performance to meet the requirements of this section and NFPA 72.

c. AUTONOMOUS CONTROL UNIT (ACU): The primary control unit for the building mass notification system portion of the CECP. ACU may be physically separate from, or a integral part, of the FACU

d. FIRE ALARM CONTROL UNIT (FACU): Fire alarm system component portion of the CECP, provided with primary and secondary power sources, which receives signals from initiating devices or other fire alarm control units, and processes these signals to determine part or all of the required fire alarm system output function(s). FACU may be separate from or a portion of the ACU. Where more than one fire alarm control unit is installed in the building, one panel shall be designated as the Master Fire Alarm Control Unit.

e. SUPPLEMENTARY FIRE ALARM CONTROL PANELS: When necessary multiple interconnected fire alarm control units may be utilized to create a networked system consisting of a master fire alarm control unit and one or more supplementary fire alarm control panels capable of peer to peer communications. Networked panels shall be UL Listed as compatible. Interconnecting separate manufacturers' fire alarm panels or fire alarms panels not capable of peer-to-peer communications is prohibited. The term "supplementary fire alarm control panel" does not refer to releasing service fire alarm panels.

f. LOCAL OPERATING CONSOLE (LOC): Equipment used by authorized personnel and emergency responders to make live voice building-wide mass notification system messages

g. SUPPLEMENTARY NOTIFICATION APPLIANCE CIRCUIT(SNAC)and AUXILIARY POWER SUPPLY PANELS: A panel separate from the "combination emergency communications system panel"(s), usually located remote from the main panel(s). SNAC's are utilized to power visual notification appliance circuits and auxiliary power supplies provide supplementary power to devices or functions requiring power in addition to that available from the SLC.

h. MONITOR MODULE: Term utilized to describe a Signaling Line Circuit Interface as defined by NFPA 72.

i. CONTROL MODULE AND RELAY MODULE: Terms utilized to describe an "Emergency Control Function Interface" as defined in NFPA 72.

j. TERMINAL CABINET: A steel cabinet with locking, hinge-mounted door that terminal strips are securely mounted inside. Utilizing tape or glue is not an acceptable means of mounting terminal strips.

k. TEXTUAL AUDIBLE APPLIANCES: Term utilized by NFPA 72 to describe speaker appliances. The term "textual audible appliance" is utilized because the term "speaker" might also refer to a person who is speaking.

#### 1.4 SYSTEM DESCRIPTION

##### 1.4.1 Scope

This work includes completion of design and modifying the existing combination emergency communications system as described herein and on the contract drawings for Bachelor Enlisted Quarters P-136.

Equipment and devices shall be compatible and operable with existing building combination fire alarm and mass notification system. Equipment shall not impair reliability or operational functions of the existing system. The existing building system panel is Notifier NFS2-3030.

##### 1.5 SUBMITTALS

Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

###### SD-01 Preconstruction Submittals

Within 36 days of contract award but no less than 14 days prior to commencing any work on site, the Prime Contractor shall submit the following for review and approval:

Qualifications for Fire Alarm System Subcontractor

On Staff Fire Alarm System Designer(s)

Supervising Fire Alarm Technician

Installing Technicians

#### Testing Personnel

Contractor SD-02,SD-03 & SD-05 submittals received prior to the review and approval of the Qualifications of the Fire Alarm Subcontractor shall be returned Disapproved Without Review. All resultant delays shall be the sole responsibility of the Prime Contractor.

Contract requirements for the Fire Alarm Contractor to have NICET Certified Fire Alarm Technicians on staff are NOT negotiable. The Prime Contractor's Quality Control Manager shall not endorse nor submit the qualifications for the fire alarm system subcontractor if fire alarm contractor does not have the required NICET Technicians on staff. All resultant delays shall be the sole responsibility of the Prime Contractor.

#### SD-02 Shop Drawings

Include Annotated catalog data, in table format on the drawings, showing manufacturer's name, model, voltage, and catalog numbers for equipment and components. Submitted shop drawings shall not be smaller than ANSI D (22 in x 34 in).

#### Wiring Diagrams

Provide point-to-point wiring diagrams showing the points of connection and terminals used for electrical field connections in the system, including interconnections between the equipment or systems that are supervised or controlled by the system. Diagrams shall show connections from field devices to the CECP and remote fire alarm control units, initiating circuits, switches, relays and terminals. Point-to-point wiring diagrams shall be job specific. Point-to-point wiring diagrams shall not indicate connections or circuits not being utilized.

Provide complete riser diagrams indicating the wiring sequence of devices and their connections to the control equipment. Include a color-code schedule for the wiring. Include floor plans showing the locations of devices, appliances, and equipment.

#### System Operation

A complete list of device addresses.

#### As-Built Drawings

Provide four sets of detailed as-built drawings. Furnish four sets of CD or DVD discs containing software backup with CAD-based drawings in latest version of AutoCAD \*.dwg format of as-built drawings and schematics. Discs shall also include as-built drawings plotted to scale in \*.pdf format along with \*.pdf copies of the manufacturer's data, and calculations. The drawings shall include complete wiring diagrams showing point-to-point connections between devices and equipment, both factory and field wired. Include a riser diagram and drawings showing the as-built location of devices and equipment. The drawings shall show the system as installed, including deviations from both the contract drawings and the approved shop drawings. These drawings shall be submitted within 14 days after the final acceptance test of the

system. At least one copy of the as-built (red-lined) drawings shall be provided at the time of, or prior to, the final acceptance test.

SD-03 Product Data

Provide UL or FM listing cards for equipment provided. Include annotated catalog data, in table format, showing manufacturer's name, model, voltage and catalog numbers for all equipment and components.

Smoke detectors  
Heat detector

SD-06 Test Reports

Field Quality Control  
Testing Procedures  
Smoke detector testing procedures  
Heat detector testing procedures

SD-07 Certificates

Installer

1.6 QUALITY ASSURANCE

1.6.1 Qualifications

1.6.1.1 Qualifications for Fire Alarm System Subcontractor

The Prime Contractor shall be responsible for obtaining the services of a qualified fire alarm system subcontractor for the design and installation of the combination emergency communications system. The firm designated by the Prime Contractor as their qualified fire alarm system subcontractor:

- a. Shall have been in existence a minimum of four years prior to contract award.
- b. Shall provide proof of previous experience installing a system of equal or greater complexity, utilizing the same equipment to be provided under this contract.
- c. Shall provide proof of adequate qualified staffing to meet all of the following requirements:

1.6.1.1.1 On-Staff Fire Alarm System Designer(s)

A qualified fire alarm contractor shall have at least one on staff NICET Level IV SET, (Senior Engineering Technician) fire alarm technician. This technician shall also have proof of factory certified training for the design of the systems utilizing the equipment being installed. The NICET Level IV technician(s) shall be the lead technician responsible for the contractor's design of the combination emergency communications system, including, but not limited to, the selection and design of necessary equipment, battery, power and circuit calculations, provision of the required manufacturers data and the creation and completion of the shop drawings. The submittal materials (drawings, calculations & manufacturer's data) shall bear the stamp and signature of the NICET Level

IV technician. RFI's pertaining to the combination emergency communications system shall be reviewed and signed by the NICET Level IV technician prior to submission to the Contracting Officer.

A NICET Level III, ET (Engineering Technician) fire alarm technician may be utilized to create the shop drawings, calculations and submittal material provided the Level III technician is directly supervised by the NICET Level IV technician. The NICET Level IV technician remains responsible for the system design and submittal materials. Both technicians' signatures and stamps shall be included on the submittal material.

#### 1.6.1.1.2 Supervising Fire Alarm Technician

A NICET Level II, AET (Associate Engineering Technician) fire alarm technician with a minimum of 8 years experience shall supervise the installation of the combination emergency communications system. The fire alarm technicians supervising the installation of equipment shall also be factory trained in the installation, adjustment, testing, and operation of the equipment specified herein and on the approved shop drawings. Supervising technician shall be a direct employee of the firm designated by the Prime Contractor as their qualified fire alarm system subcontractor.

#### 1.6.1.1.3 Installing Technicians

The installing Contractor shall provide fire alarm technicians with a minimum of four years of experience utilized to assist in the installation and termination devices, appliances, cabinets and panels. The fire alarm technicians installing the equipment shall be factory trained in the installation, adjustment, testing, and operation of the equipment specified herein and on the approved shop drawings. Technicians with minimum NICET Level I or II Certification are preferred. Installing technicians shall be direct employees of the firm designated by the Prime Contractor as their qualified fire alarm system subcontractor.

#### 1.6.1.1.4 Testing Personnel

The installing Contractor shall provide Fire Alarm Technicians with a minimum of eight years of experience utilized to test and certify the installation of the combination emergency communications system devices, appliances, cabinets and panels. The fire alarm technicians testing the equipment shall be factory trained in the installation, adjustment, testing, and operation of the equipment specified herein and on the approved shop drawings. Testing personnel shall be direct employees of the firm designated by the Prime Contractor as their qualified fire alarm system subcontractor.

### 1.6.2 Regulatory Requirements

#### 1.6.2.1 Requirements for Fire Protection Service

Equipment and material shall be Listed by UL and listed in UL Fire Prot Dir, UL Electrical Constructn or Approved by FM and listed in FM APP GUIDE. Where the terms "listed" or "approved" appear in this specification, they shall mean listed in UL Fire Prot Dir or FM APP GUIDE. The omission of these terms under the description of any item of equipment described shall not be interpreted as waiving this requirement. All listings or approval by testing laboratories shall be from an existing ANSI or UL published standard.

1.7 DELIVERY, STORAGE, AND HANDLING

Protect equipment delivered and placed in storage from the weather, humidity, and temperature variation, dirt and dust, and other contaminants.

1.8 WARRANTY PERIOD REPAIR SERVICE, MAINTENANCE AND ADJUSTMENTS

During guarantee period, the service technician shall be on-site within 24 hours after notification. All repairs shall be completed within 24 hours of arrival on-site. During the guarantee period, the installing fire alarm contractor is responsible for conducting all required testing and maintenance in accordance with the requirements and recommended practices of NFPA 72 and the system manufacturer. Installing fire alarm contractor is NOT responsible for any damage resulting from abuse, misuse or neglect of equipment by the end user

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

2.1.1 Manufacturer

Components shall be of current design and shall be in regular and recurrent production at the time of installation. Provide design, materials, and devices for a combination emergency communications system, complete, conforming to NFPA 72, except as otherwise or additionally specified herein.

2.1.2 Standard Products

Provide materials, equipment, and devices that are UL Listed or FM Approved for fire protection service when so required by NFPA 72 or this specification. Select material from one manufacturer, where possible, and not a combination of manufacturers, for any particular classification of materials. Material and equipment shall be the standard products of a manufacturer regularly engaged in the manufacture of the products for at least two years prior to bid opening.

2.1.3 Nameplates

Major components of equipment shall have the manufacturer's name, address, type or style, model or serial number, catalog number, date of installation, installing Contractor's name and address, and the contract number provided on a new plate permanently affixed to the item or equipment. Major components include, but are not limited to, the following:

- a. CECP (includes component panels)
- b. Automatic transmitters (for both the installation-wide fire alarm reporting system and installation-wide mass notification systems)

Furnish nameplates to obtain approval by the Contracting Officer before installation. Obtain approval by the Contracting Officer for installation locations. Nameplates shall be etched metal or plastic, permanently attached by screws to panels or adjacent walls.

## 2.2 GENERAL PRODUCT REQUIREMENT

All combination emergency communications system equipment shall be listed for use under the applicable reference standards.

## 2.3 FIRE ALARM CONTROL UNIT (FACU) AND AUTONOMOUS CONTROL UNIT(ACU) - EXISTING

## 2.4 WIRING

Provide wiring materials under this section as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM with the additions and modifications specified herein.

### 2.4.1 Alarm Wiring

The SLC wiring shall be solid copper cable in accordance with the manufacturers requirements. Copper signaling line circuits and initiating device circuit field wiring shall be No. 14 AWG size conductors at a minimum.

## 2.5 SMOKE DETECTORS

### 2.5.1 Photoelectric Smoke Detectors

Provide addressable photoelectric smoke detectors as follows:

a. Provide analog/addressable photoelectric smoke detectors utilizing the photoelectric light scattering principle for operation in accordance with UL 268. Smoke detectors shall be listed for use with the fire alarm control unit.

b. Provide self-restoring type detectors that do not require any readjustment after actuation at the FACU to restore them to normal operation. detectors shall be UL Listed or FM Approved as smoke-automatic fire detectors.

c. Components shall be rust and corrosion resistant. Vibration shall have no effect on the detector's operation. Protect the detection chamber with a fine mesh metallic screen that prevents the entrance of insects or airborne materials. The screen shall not inhibit the movement of smoke particles into the chamber.

d. The detector address shall identify the particular unit, its location within the system, and its sensitivity setting. detectors shall be of the low voltage type rated for use on a 24 VDC system.

e. An operator at the control panel, having a proper access level, shall have the capability to manually access the following information for each initiating device.

- (1) Primary status
- (2) Device type
- (3) Present average value
- (4) Present sensitivity selected

(5) detector range (normal, dirty, etc.)

#### 2.5.2 Smoke Detector Testing

Smoke detectors shall be tested in accordance with NFPA 72 and the manufacturer's recommended calibrated test method.

#### 2.6 Heat detector

##### 2.6.1 Spot Type Heat Detectors

Heat detectors shall be combination fixed temperature and rate-of-rise (ROR-FT). The alarm condition shall be determined by comparing detector valve with the stored values. Heat detector spacing shall be rated in accordance with UL 521. Spot type heat detectors shall be listed for 50 by 50 feet spacing. Detectors located in areas subject to moisture, exterior atmospheric conditions, or hazardous locations as defined by NFPA 70, shall be types approved for such locations.

##### 2.6.1.1 Combination Fixed-Temperature and Rate-of-Rise Detectors

Detectors shall be designed for surface outlet box mounting and supported independently of wiring connections. Contacts shall be self-resetting after response to rate-of-rise principle. Under fixed temperature actuation, the detector shall have a permanent external indication that is readily visible. Detector units located in boiler rooms, showers, or other areas subject to abnormal temperature changes shall operate on fixed temperature principle only. The UL 521 test rating for the fixed temperature portion shall be 135 degrees F.

##### 2.6.2 Heat Sensing

A moving average of the detectors heat sensing value to automatically compensate for conditions that could affect detection operations. System shall automatically maintain a constant heat sensing sensitivity from each detectors by compensating for environmental factors.

##### 2.6.3 Self-Test Routines

Automatic self-test routines shall be performed on each detectors that will functionally check detectors sensitivity electronics and ensure the accuracy of the value being transmitted. Any detectors that fails this test shall indicate a trouble condition with the detectors location at the fire alarm control unit.

##### 2.6.4 Operator Access

An operator at the CECP, having the proper access level, shall have the capability to manually access the following information for each heat detectors:

- a. Primary status
- b. Device type
- c. Present average value

#### 2.6.5 Operator Control

An operator at the CECP with proper access, shall have the capability to manually control the following information for each heat detector:

- a. Alarm detection sensitivity values
- b. Enable or disable the point/device
- c. Control detector relay driver output

#### 2.6.6 Heat detector testing

Heat detectors shall be tested in accordance with NFPA 72 and the manufacturer's recommended calibrated test method.

### 2.7 SYSTEM OPERATION

#### 2.7.1 Fire Alarm and Supervisory Initiating Devices

Connect alarm initiating devices to Class A or Class B signal line circuit (SLC) pathways, and install in accordance with NFPA 72.

The contract required 25 percent spare capacity per SLC limits the design to 38 addresses per Class B SLC.

In accordance with NFPA 72 the number of addresses between isolation modules on a Class A SLC pathway is limited to 50 addresses OR the maximum number of addresses per the manufacturer (most manufacturers limit the number of addresses between isolation modules to 20 addresses.)

- a. Given the limitations above, utilizing Class A or Class B signal line circuit pathways is at the discretion of the Fire Alarm Contractor.

#### 2.7.2 Functions and Operating Features

The system shall provide the following functions and operating features:

- a. Provide Class A or B signaling line circuit pathways
- b. Provide alarm verification capability for smoke detectors. Alarm verification shall initially be set for 20 seconds.
- c. Alarm, supervisory, and trouble signals shall be automatically transmitted to the fire department.
- d. Alarm functions shall override trouble or supervisory functions. Supervisory functions shall override trouble functions.
- e. Programmed information shall be stored in non-volatile memory.
- f. Where the fire alarm system is responsible for initiating an action in another emergency control device or system, such as an elevator system, the addressable fire alarm relay shall be within 3 feet of the emergency control device.
- g. An alarm signal shall automatically initiate the following functions:

- (1) Transmission of an alarm signal to the fire department.
  - (2) Visual indication of the device operated on the FACU, and on the remote annunciator. Indication on the annunciator shall be by floor & room number, device address, and device type.
  - (3) Operation of a smoke detector in an elevator lobby or other location associated with the automatic recall of elevators, shall recall the elevators in addition to other requirements of this paragraph.
- h. A supervisory signal shall automatically initiate the following functions:
- (1) Visual indication of the device operated on the FACU, and on the remote annunciator. Indication on the annunciator shall be by floor & room number, device address, and device type.
  - (2) Sound the audible alarm at the respective panels.
  - (3) Transmission of a supervisory signal to the fire department.
  - (4) Recording of the event electronically in the history log of the FACU.
  - (5) Supervisory signals shall be non-latching.
- i. A trouble condition shall automatically initiate the following functions:
- (1) Visual indication of the system trouble on the FACU, and the remote annunciator and sound the audible alarm at the respective panels.
  - (2) Transmission of a trouble signal to the fire department.
  - (3) Recording of the event electronically in the history log of the fire control system unit.
  - (4) Trouble conditions shall be non-latching.

### PART 3 EXECUTION

#### 3.1 INSTALLATION OF COMBINATION EMERGENCY COMMUNICATIONS SYSTEM EQUIPMENT DEVICES AND APPLIANCES

- a. Smoke and Heat detectors: Locate detectors as required by NFPA 72 and their listings and as shown on the contract drawings on a 4 inch mounting box. Detectors located on the ceiling shall be installed not less than 4 inches from a side wall to the near edge. Those located on the wall shall have the top of the detector at least 4 inches below the ceiling, but not more than 12 inches below the ceiling. In raised floor spaces, the smoke detectors shall be installed to protect 225 square feet per detector. Install smoke detectors no closer than 5 feet from air handling supply outlets.

### 3.2 SYSTEM FIELD WIRING

#### 3.2.1 Wiring within Cabinets, Enclosures, and Boxes

Provide wiring installed in a neat and workmanlike manner and installed parallel with or at right angles to the sides and back of any box, enclosure, or cabinet. Conductors that are terminated, spliced, or otherwise interrupted in any enclosure, cabinet, mounting, or junction box shall be connected to terminal blocks. Mark each terminal in accordance with the wiring diagrams of the system. Make connections with approved pressure type terminal blocks, that are securely mounted. The use of wire nuts or similar devices shall be prohibited. Wiring shall conform to NFPA 70.

#### 3.2.2 Conduit

Minimum conduit size shall be 3/4 inch in diameter except for 1/2 inch drops to individual devices. Conceal conduit in finished areas of new construction and wherever practicable in existing construction. Run conduit or tubing concealed unless specifically shown otherwise on the drawings. Provide an insulated green grounding conductor for all circuit(s) installed in conduit.

- a. Galvanized rigid steel (GRS) conduit shall be utilized where exposed to weather, where subject to physical damage, and where exposed on exterior of buildings. Intermediate Metal Conduit (IMC). IMC may be used in lieu of GRS as allowed by NFPA 70.
- b. Electrical Metallic Tubing (EMT) is permitted above suspended ceilings or exposed where not subject to physical damage. EMT shall have a factory applied red exterior coating. Do not use EMT underground, encased in concrete, mortar or grout, in hazardous locations, where exposed to physical damage, outdoors or in fire pump rooms. Use die-cast compression connectors.
- c. Flexible metal conduit is permitted for initiating device circuits 6 ft length in length or less. Flexible metal conduit is prohibited for notification appliance circuits and signaling line circuits. Use liquidtight flexible metal conduit in damp and wet locations.

#### 3.2.3 Wire

Voltages shall not be mixed in any junction box, housing, or device, except those containing power supplies and control relays. Shielded wiring shall be utilized where recommended by the manufacturer. For shielded wiring, the shield shall be grounded at only one point, that shall be in or adjacent to the FACU. Pigtail or T-tap connections to signal line circuits, initiating device circuits, supervisory alarm circuits, and notification appliance circuits are prohibited. Pull all conductors splice free. Color coding is required for circuits and shall be maintained throughout the circuit. Conductors used for the same functions shall be similarly color coded. Wiring shall conform to NFPA 70.

#### 3.2.4 Conductor Terminations

Label all conductor terminations in panels and equipment associated with the combination emergency communications system (FACU, ACU, LOC, Amplifiers, SNAC & Auxiliary power supply panels, MNS transmitter and Fire alarm transmitter) Each conductor or cable shall have a shrink-wrap label

to provide a unique and specific designation. Each combination emergency communications system cabinet and panel shall contain a laminated drawing that indicates each conductor, its label, circuit, and terminal. The laminated drawing shall be neat, using 12 point lettering minimum size, and mounted within each cabinet, panel, or unit so that it does not interfere with the wiring or terminals. Maintain existing color code scheme where connecting to existing equipment.

### 3.3 FIRESTOPPING

Provide firestopping for conduit penetrations through fire rated floor slabs, walls, partitions, and shaft enclosures.

### 3.4 PAINTING

Paint exposed electrical, fire alarm conduit, and surface metal raceway to match adjacent finishes in exposed areas. In lieu of painting conduit, the contractor may utilize red conduit with a factory applied finish. Paint junction boxes, conduit and surface metal raceways red in unfinished areas.

In unfinished areas, paint all fire alarm conduit, junction boxes and covers red. In lieu of painting conduit, the contractor may utilize red conduit with a factory applied finish.

In finished areas, paint exposed fire alarm conduit, surface metal raceways, junction boxes, and electrical boxes to match adjacent finishes. The inside cover of the junction box must be identified as "Fire Alarm" and the conduit must have painted red bands 3/4 inch wide at 10 foot centers and at each side of a floor, wall, or ceiling penetration.

### 3.5 FIELD QUALITY CONTROL

#### 3.5.1 Testing Procedures

Submit detailed test procedures prepared and signed by a registered professional engineer (P.E.) who has passed the fire protection engineering written examination administered by the National Council of Examiners for Engineering and Surveys (NCEES). Registered Professional Engineer or a NICET Level 3 Fire Alarm Technician, and signed by representative of the installing company, for the fire detection and alarm system 60 days prior to performing system tests. Detailed test procedures shall list all components of the installed system such as initiating devices and circuits, notification appliances and circuits, signaling line devices and circuits, control devices/equipment, batteries, transmitting and receiving equipment, power sources/supply, annunciators, special hazard equipment, emergency communication equipment, interface equipment, and transient (surge) suppressors. Test procedures shall include sequence of testing, time estimate for each test, and sample test data forms. The test data forms shall be in a check-off format (pass/fail with space to add applicable test data) and shall be used for the preliminary testing and the acceptance testing. The test data forms shall record the test results and shall:

- a. Identify the NFPA Class of pathways for all Initiating Device Circuits (IDC), Notification Appliance Circuits (NAC), Voice Notification System, and Signaling Line Circuits (SLC).
- b. Identify each test required by NFPA 72 Test Methods and required

test herein to be performed on each component, and describe how this test shall be performed.

c. Identify each component and circuit as to type, location within the facility, and unique identity within the installed system. Provide necessary floor plan sheets showing each component location, test location, and alphanumeric identity.

d. Identify all test equipment and personnel required to perform each test (including equipment necessary for testing smoke detectors using real smoke).

e. Provide space to identify the date and time of each test.

f. Provide space to identify the names and signatures of the individuals conducting and witnessing each test.

### 3.5.2 Tests Stages

a. Preliminary Testing: Conduct preliminary tests to ensure devices and circuits are functioning properly. Tests shall meet the requirements of paragraph entitled "Minimum System Tests." After preliminary testing is complete, provide a letter certifying the installation is complete and fully operable. The letter shall state each initiating and indicating device was tested in place and functioned properly. The letter shall also state that panel functions were tested and operated properly. The letter shall include the names and titles of the witnesses to the preliminary tests. The Contractor and an authorized representative from each supplier of equipment shall be in attendance at the preliminary testing to make necessary adjustments.

b. Request for Formal Inspection and Tests: Requests for Formal Inspection and Tests shall not be submitted until after the connections to the installation-wide fire reporting system and the installation-wide mass notification system have been completed and fully functional. When preliminary tests have been completed and corrections made, submit a signed, dated certificate with a request for formal inspection and tests to the Naval Facilities Engineering Command, Fire Protection Engineer.

c. Final Testing: Notify the Contracting Officer in writing when the system is ready for final acceptance testing. Submit request for test at least 15 calendar days prior to the test date. The tests shall be performed in accordance with the approved test procedures in the presence of the Contracting Officer. Furnish instruments and personnel required for the tests. A final acceptance test will not be scheduled until the operation and maintenance (O&M) manuals are furnished to the Contracting Officer and the following are provided at the project site:

- (1) The systems manufacturer's technical representative
- (2) As-built (red-lined) drawings of the system as actually installed
- (3) Megger test results
- (4) Loop resistance test results

- (5) Complete program printout including input/output addresses

The final tests shall be witnessed by the NAVFAC MIDLANT Fire Protection Engineer. At this time, any and all required tests shall be repeated at their discretion. Following acceptance of the system, as-built drawings and O&M manuals shall be delivered to the Contracting Officer for review and acceptance.

### 3.5.3 Minimum System Tests

Test the system in accordance with the procedures outlined in NFPA 72, ISO 7240-16, IEC 60268-16. The required tests are as follows:

Demonstrate communications with the supervising station as specified by the Contracting Officer. As a minimum, verify all points are correctly received at the supervising station receiver and automated software system.

Demonstrate communications with the installation-wide mass notification system as specified by the Contracting Officer.

- a. Megger Tests: After wiring has been installed, and prior to making any connections to panels or devices, wiring shall be megger tested for insulation resistance, grounds, and/or shorts. Conductors with 300 volt rated insulation shall be tested at a minimum of 250 VDC. Conductors with 600 volt rated insulation shall be tested at a minimum of 500 VDC. The tests shall be witnessed by the Contracting Officer and test results recorded for use at the final acceptance test.
- b. Loop Resistance Tests: Measure and record the resistance of each circuit with each pair of conductors in the circuit short-circuited at the farthest point from the circuit origin. The tests shall be witnessed by the Contracting Officer and test results recorded for use at the final acceptance test.
- c. Verify the absence of unwanted voltages between circuit conductors and ground. The tests shall be accomplished at the preliminary test with results available at the final system test.
- d. Verify that the control unit is in the normal condition as detailed in the manufacturer's O&M manual.
- e. Test each initiating and indicating device and circuit for proper operation and response at the control unit. Smoke detectors shall be tested in accordance with manufacturer's recommended calibrated test method. Use of magnets is prohibited. Testing of duct smoke detectors shall comply with the requirements of NFPA 72.
- f. Test the system for specified functions in accordance with the contract drawings and specifications and the manufacturer's O&M manual.
- g. Test both primary power and secondary power. Verify, by test, the secondary power system is capable of operating the system for the time period and in the manner specified.
- h. Determine that the system is operable under trouble conditions as specified.

- i. Visually inspect wiring.
  - j. Test the battery charger and batteries.
  - k. Verify that software control and data files have been entered or programmed into the FACU. Hard copy records of the software shall be provided to the Contracting Officer.
  - l. Verify that red-line drawings are accurate.
  - m. Measure the current in circuits to ensure there is the calculated spare capacity for the circuits.
  - n. Measure voltage readings for circuits to ensure that voltage drop is not excessive.
  - o. Disconnect the verification feature for smoke detectors during tests to minimize the amount of smoke needed to activate the detector. Testing of smoke detectors shall be conducted using real smoke. The use of canned smoke is prohibited.
  - p. Opening the circuit at not less than all of alarm initiating devices added to test the wiring supervisory feature.
  - q. Demonstrate modem communications with remote sites as specified by the Contracting Officer. Dial in capability shall also, be demonstrated, using specified security.
  - r. Demonstrate fiber optic communications with remote sites as specified by the Contracting Officer. Dial in capability shall also, be demonstrated, using specified security.
- End of Section --